

## Exchange coupling in $\text{CaMnO}_3$ and $\text{LaMnO}_3$ : Configuration interaction and the coupling mechanism

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The equilibrium structure and exchange constants of  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$  have been investigated using total-energy unrestricted Hartree-Fock (UHF) and localized orbital configuration interaction (CI) calculations on bulk compounds and  $\text{Mn}_2\text{O}_{11}^{14-}$  and  $\text{Mn}_2\text{O}_{11}^{16-}$  clusters. The predicted structure and exchange constants for  $\text{CaMnO}_3$  are in reasonable agreement with estimates based on its Néel temperature. A series of calculations on  $\text{LaMnO}_3$  in the cubic perovskite structure shows that a Hamiltonian with independent orbital ordering and exchange terms accounts for the total energies of cubic  $\text{LaMnO}_3$  with various spin and orbital orderings. Computed exchange constants depend on orbital ordering. Exchange contributions to the total energy vary between  $-20$  and  $20$  meV per Mn ion, differences in orbital ordering energy vary between  $3$  and  $100$  meV, and a Jahn-Teller distortion results in an energy reduction of around  $300$  meV. The lattice constant of the lowest energy cubic perovskite structure ( $3.953$  Å) is in good agreement with the lattice constant of the high-temperature “cubic” phase of  $\text{LaMnO}_3$  ( $3.947$  Å). The total energy of *Pnma*  $\text{LaMnO}_3$  was minimized by varying lattice parameters and seven internal coordinates and a structure  $194$  meV per Mn ion below that of a structure determined by neutron diffraction was found. This optimized structure is nearly isoenergetic with a cubic perovskite structure, with a 5% Jahn-Teller distortion. UHF calculations tend to underestimate exchange constants in  $\text{LaMnO}_3$ , but have the correct sign when compared with values obtained by neutron scattering; exchange constants obtained from CI calculations are in good agreement with neutron-scattering data provided the Madelung potential of the cluster is appropriate. Cluster CI calculations reveal a strong dependence of exchange constants on Mn  $d e_g$  orbital populations in both compounds. CI wave functions are analyzed in order to determine which exchange processes are important in exchange coupling in  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$ .

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### I. INTRODUCTION

$\text{CaMnO}_3$  and  $\text{LaMnO}_3$  are end-point compounds in the series  $\text{Ca}_{1-x}\text{La}_x\text{MnO}_3$ , which has been thoroughly studied experimentally and theoretically.<sup>1</sup> They have relatively simple atomic and magnetic structures, their magnetic excitations are well described by a spin wave Hamiltonian,<sup>2,3</sup> and their exchange constants  $J$  are well established by neutron scattering<sup>2,3</sup> and from the Néel temperature.<sup>4,5</sup> Exchange coupling in manganites has been extensively studied using model Hamiltonian<sup>6-8</sup> and *ab initio* calculations.<sup>9-15</sup> This paper presents results of bulk *ab initio* unrestricted Hartree-Fock (UHF) and cluster configuration interaction (CI) calculations of exchange constants for both compounds.

Exchange constants obtained from CI calculations are in excellent agreement with experiment, and the localized orbital CI wave functions are analyzed to determine which quantum fluctuations are most important in exchange coupling. Model Hamiltonian calculations have attributed the exchange coupling energy to  $\text{O}^{2-}$  to  $\text{Mn}^{3+/4+}$  superexchange,<sup>6</sup> Mn  $d^{4+}$   $d^{4+}/\text{Mn} d^{5+}$   $d^{3+}$   $t_{2g}$  superexchange,<sup>7</sup> or both.<sup>8</sup> Results of calculations presented below show that both exchange mechanisms operate and that O superexchange is the more important of the two. This was also found to be the case in the model Hamiltonian calculations of Meskinen *et al.*<sup>8</sup>

CI cluster calculations provide detailed information on exchange couplings between neighboring Mn ions, however a bulk electronic structure technique is required to study or-

bitical ordering in  $\text{LaMnO}_3$ . Total energies of  $\text{LaMnO}_3$  with *A*- and *G*-type antiferromagnetic (*A*-AF and *G*-AF) and ferromagnetic (FM) spin orderings have been computed in several isovolume structures in order to establish whether or not orbital ordering and spin ordering terms in the Hamiltonian for  $\text{LaMnO}_3$  are independent. Obviously exchange constants will depend on orbital ordering, as the latter determines which empty orbitals are available to participate in exchange coupling. However, it is not known whether the  $e_g$  electron density in  $\text{LaMnO}_3$  for a particular orbital ordering depends on spin ordering. It is shown below that a common orbital ordering energy for any of several orbital orderings can be identified, and that this energy is independent of spin ordering to a high degree. Spin and orbital ordering terms in the Hamiltonian are therefore independent, although orbital ordering determines the exchange constants.

At low temperatures,  $\text{CaMnO}_3$  exists in a cubic perovskite structure (lattice constant  $3.73$  Å) with *G*-AF magnetic ordering<sup>4</sup> and a Néel temperature of  $130$  K. Using the Rushbrooke-Wood formula,<sup>5</sup> this Néel temperature implies an exchange constant  $J=6.6$  meV. Note that throughout this work the spin Hamiltonian is of the form due to Domb and Sykes<sup>16</sup>:

$$H = \sum_{\langle ij \rangle} J_{ij} \frac{\hat{S}_i \cdot \hat{S}_j}{S^2}. \quad (1)$$

$\hat{S}_i$  is a spin operator,  $S$  is the magnitude of the total spin for an ion, and  $J_{ij}$  is the exchange constant for a pair of ions.

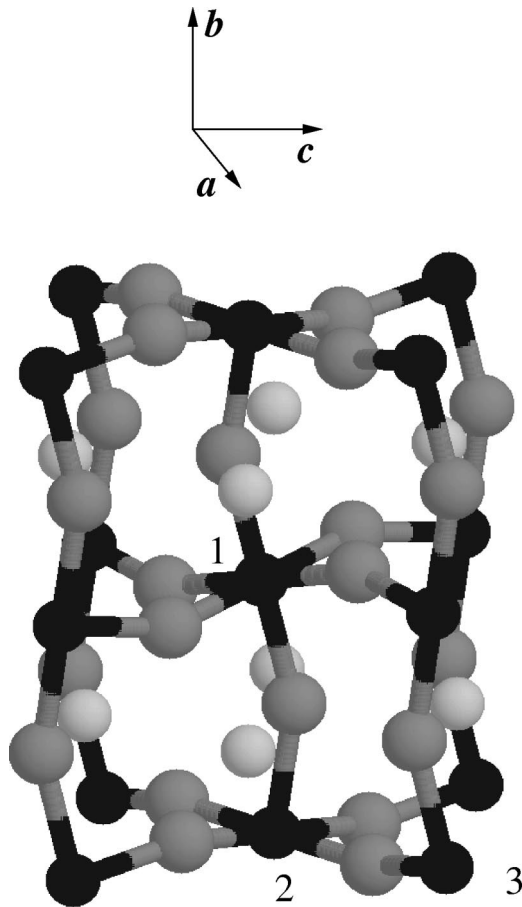


FIG. 1. *Pnma* structure of  $\text{LaMnO}_3$  according to Elemans *et al.* (Ref. 18). Mn-O bonds are shown explicitly. Mn ions are dark spheres, O ions are light spheres, and La ions are unconnected light spheres. Mn ions labeled 1 and 2 are AF coupled ( $J_{\perp}$ ) and Mn ions labeled 2 and 3 are FM coupled ( $J_{\parallel}$ ). The cluster used to compute the AF coupling constant had the same structure as Mn ions 1 and 2 and their associated  $\text{O}^{2-}$  ion quasioctahedra. The cluster used to compute the FM coupling constant had the same structure as Mn ions 2 and 3 and their associated  $\text{O}^{2-}$  ion quasioctahedra.

This form is adopted for the Hamiltonian, as it is the same as that adopted in modeling spin-wave dispersion in neutron-scattering studies,<sup>2,3</sup> except for a small Dzyaloshinsky-Moriya term.

At low temperatures the space group of  $\text{LaMnO}_3$  is *Pnma*.<sup>4</sup> The ground-state magnetic structure is *A*-AF, and the unit cell contains four formula units consisting of rotated and distorted octahedra. There is one more *d* electron per Mn ion (c.f.  $\text{CaMnO}_3$ ), which occupies an  $e_g$  orbital and induces a Jahn-Teller distortion in each  $\text{MnO}_6$  octahedron, resulting in three distinct Mn-O bond lengths of 1.91, 1.97, and 2.18 Å.<sup>17</sup> The occupied  $e_g$  orbital is a linear combination of  $d_{x^2-y^2}$  and  $d_{3z^2-r^2}$  orbitals. The largest orbital component lies along the most elongated Mn-O bond. The *Pnma* structure is shown in Fig. 1. The *a*, *b*, and *c* axes referred to below are indicated in this diagram. The results of a number of neutron and x-ray scattering studies of the structure of  $\text{LaMnO}_3$  (Refs. 18–23) over a range of temperatures are summarized in Ref. 17. The *Pnma* structure can be viewed as containing planes of  $\text{Mn}^{3+}$

ions, each joined to its in-plane neighbors by pairs of short (1.91 Å) and long (2.18 Å) Mn-O bonds. Each  $\text{Mn}^{3+}$  ion in a particular plane is coupled to  $\text{Mn}^{3+}$  ions in planes immediately above and below by two Mn-O bonds (1.97 Å). The symmetry of the *Pnma* structure is such that there is one in-plane (nearest neighbor) exchange constant ( $J_{\parallel}$ ) and one out-of-plane constant ( $J_{\perp}$ ). Both  $J_{\parallel}$  and  $J_{\perp}$  have been determined from two independent neutron-scattering studies to be  $-6.7$  and  $4.8$  meV, respectively.<sup>2,3</sup> Thus there is FM coupling within planes and AF coupling between planes.

In Sec. II, existing models for the exchange coupling mechanism are briefly reviewed and our method for determining the exchange coupling mechanism is described. Results of UHF and CI calculations on  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$  in various structures are presented in Sec. III and discussed in Sec. IV. Particular emphasis is given to an analysis of exchange constants for  $\text{LaMnO}_3$  in terms of distortions of charge clouds of  $\text{O}^{2-}$  ions and differences in correlation energies for AF and FM coupled states of adjacent Mn ions are used to explain computed exchange constants.

## II. EXCHANGE COUPLING MECHANISM

The first comprehensive attempt to explain atomic and magnetic structures in doped and undoped manganites was made by Goodenough<sup>24</sup> in 1955. He assumed three classes of exchange interaction between neighboring Mn ions in undoped  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$  lattices. A specific ordering of empty  $e_g$  orbitals and relative orientations of pairs of empty  $e_g$  orbitals corresponding to Goodenough's classification are illustrated in Fig. 2. When empty  $e_g$  orbitals are available on a pair of neighboring Mn ions and are oriented toward one another [Fig. 2(b)], then AF coupling of the Mn ion spins is energetically favored. This is because electrons from the central  $\text{O}^{2-}$  ion of either spin are postulated to delocalize onto both Mn ions simultaneously, owing to the favorable exchange interaction (Hund's rule) between the delocalized electron and the Mn ion spin. However, if the Mn ion spins are FM aligned, only the electron from the central  $\text{O}^{2-}$  ion with the same spin orientation as the Mn ions can delocalize onto either Mn ion, resulting in a higher energy for that state. Thus the empty orbital arrangement shown in Fig. 2(b) results in an AF coupling of Mn spins. This is a type-I exchange interaction according to Goodenough.<sup>24</sup> When one empty  $e_g$  orbital is suitably oriented for  $\text{O}^{2-}$  ion electron delocalization [Fig. 2(c)], FM coupling of the Mn ion spins is favored. This is a type-II interaction. Finally, when no empty hybrids are available [Fig. 2(d)], no delocalization occurs. This is a type-III interaction. This model has been used to explain the relative energies of *A*-AF, *G*-AF, and FM magnetic states of  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$  with a cubic perovskite structure.<sup>14</sup> In that work it was found that the relative energies of these magnetic structures could be explained by counting the numbers of each type of interaction in each magnetic state, and calculating the relative energy of each type of interaction. For both  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$  it was found that the type-I AF interaction was more energetically favorable than the type-II interaction by 10 meV.<sup>14</sup> The simplified description of exchange interactions just given as-

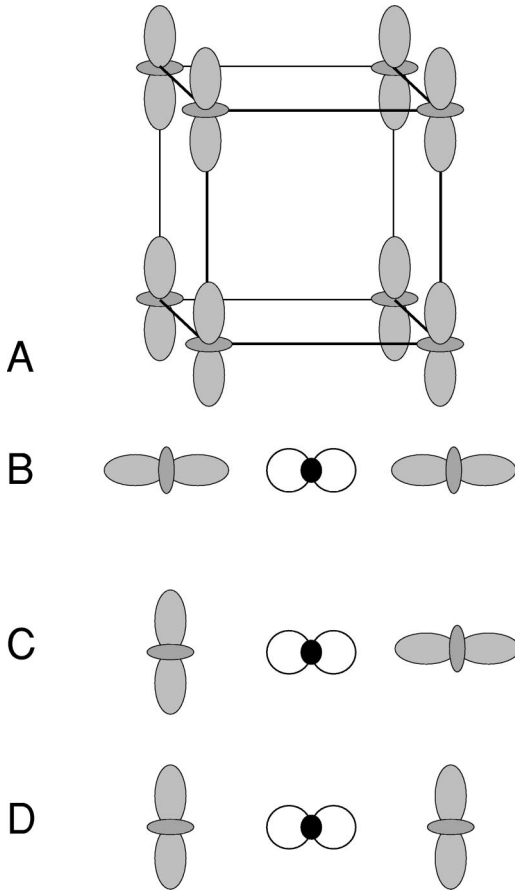


FIG. 2. Empty orbital ordering in LaMnO<sub>3</sub>. (A) The empty orbital arrangement which results when occupied orbitals are  $d_{x^2-y^2}$   $d_{x^2-y^2}$  ordered. (B) Empty orbital arrangement with AF spin coupling favored. (C) Empty orbital arrangement with FM spin coupling favored. (D) Empty orbital arrangement with weak spin coupling.

sumes that an empty  $e_g$  orbital is either available or not. However, empty  $e_g$  orbitals in LaMnO<sub>3</sub> are not purely  $d_{x^2-y^2}$  or  $d_{3z^2-r^2}$  in character.<sup>6</sup> The mixed character of the empty  $e_g$  orbital permits some exchange coupling even when the empty  $e_g$  orbital is not optimally oriented.

This type of reasoning was used by Millis<sup>6</sup> in a calculation of exchange coupling energies in CaMnO<sub>3</sub> and LaMnO<sub>3</sub>. In that work configurations allowed by the Pauli principle in which one or two electrons hop from the central O<sup>2-</sup> ion to one or both neighboring Mn ions are considered. Configurations which differ by a single-electron hop have a single hopping matrix element  $t$ . Diagonal elements of the Hamiltonian are parametrized using the energy required to excite one electron or a pair of electrons from an O<sup>2-</sup> ion to a Mn ion. In CaMnO<sub>3</sub> the configuration which is assumed to lead to a stabilization of the AF state over the FM state is one in which a pair of electrons on the O<sup>2-</sup> ion is excited onto separate Mn<sup>4+</sup> ions. If this were indeed the origin of exchange coupling in CaMnO<sub>3</sub> then one would expect this configuration to appear in an *ab initio* ground-state CI wave function, but this is not the case. However, the main idea of this model, that more low-energy configurations are available

to singlet states than high-spin multiplicity states, is in accord with results presented here.

CI cluster calculations of exchange constants in La<sub>2</sub>CuO<sub>4</sub> (Refs. 25 and 26) and KNiF<sub>3</sub>,<sup>27</sup> which used delocalized molecular orbitals, have been reported quite recently. The CI cluster calculations described below were carried out in a localized orbital basis. The localized orbital basis provides a means of identifying the exchange coupling mechanism in terms of fluctuations of electrons between localized orbitals. These calculations were performed on Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> and Mn<sub>2</sub>O<sub>11</sub><sup>16-</sup> clusters representing fragments of CaMnO<sub>3</sub> and LaMnO<sub>3</sub>. Details of the calculations, including the method used to generate the localized orbitals, details of a spherical array of point charges surrounding the clusters, etc., are given in the Appendix. The wavefunctions for the clusters contain orbitals which are partitioned into a (doubly occupied) core orbital space, an active space containing the  $2p$  orbitals of the O<sup>2-</sup> ion situated between the two Mn ions in the cluster as well as singly occupied Mn  $d$  orbitals, and an external space containing unoccupied Mn  $d$  orbitals. The core orbital space contains “core” electrons as well as valence electrons not in the active orbital space. The ions in the clusters treated quantum mechanically consisted of two corner-sharing MnO<sub>6</sub> octahedra. The localized orbitals in the active space for the Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> and Mn<sub>2</sub>O<sub>11</sub><sup>16-</sup> clusters are shown in Figs. 3 and 4, respectively. The main electronic configuration for the Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> cluster representing CaMnO<sub>3</sub> is one in which each Mn ion with a formal 4+ charge contains three  $t_{2g}$  electrons and each oxygen ion exists in a closed-shell O<sup>2-</sup> configuration. The actual charge on the Mn ions is significantly reduced, as there is a covalent component to the Mn-O bonds, as can clearly be seen in the contour plot of the localized orbital with mainly O  $2p_z$  character in the top panel of Fig. 3. The actual Mn ion charge in CaMnO<sub>3</sub> is +2.13, according to a Mulliken population analysis of the UHF wave functions obtained for CaMnO<sub>3</sub>. The formal charge on Mn ions in LaMnO<sub>3</sub> is 3+; however, a Mulliken population analysis of UHF wave functions for LaMnO<sub>3</sub> yields a charge of +2.24. The O ion charges in the two compounds are -1.33 (CaMnO<sub>3</sub>) and -1.75 and -1.82 (LaMnO<sub>3</sub>). Exchange constants were calculated by finding the energy difference between the spin-singlet and spin-septet (nonet) states of the Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> and Mn<sub>2</sub>O<sub>11</sub><sup>16-</sup> clusters.

Wave functions were constructed from the localized orbitals shown in Figs. 3 and 4 and doubly occupied core orbitals. A septet state for the Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> cluster was constructed from six singly occupied  $t_{2g}$  orbitals and doubly occupied O  $2p$  orbitals localized on the central O ion in the cluster. The form of this wave function is

$$\begin{aligned} & \psi^{\text{septet}} \\ &= A[\{\text{core}\}(\phi_{xy,l}\phi_{xz,l}\phi_{yz,l}\phi_{xy,r}\phi_{xz,r}\phi_{yz,r})(\alpha\alpha\alpha\alpha\alpha)]. \end{aligned} \quad (2)$$

$A$  is the antisymmetrizing operator, and the subscripts  $l$  or  $r$  on  $t_{2g}$  orbitals in Eq. (2) indicate that they are centered on the left or right Mn ion, respectively.  $\{\text{core}\}$  is a product of doubly occupied orbitals in the core orbital space which in-

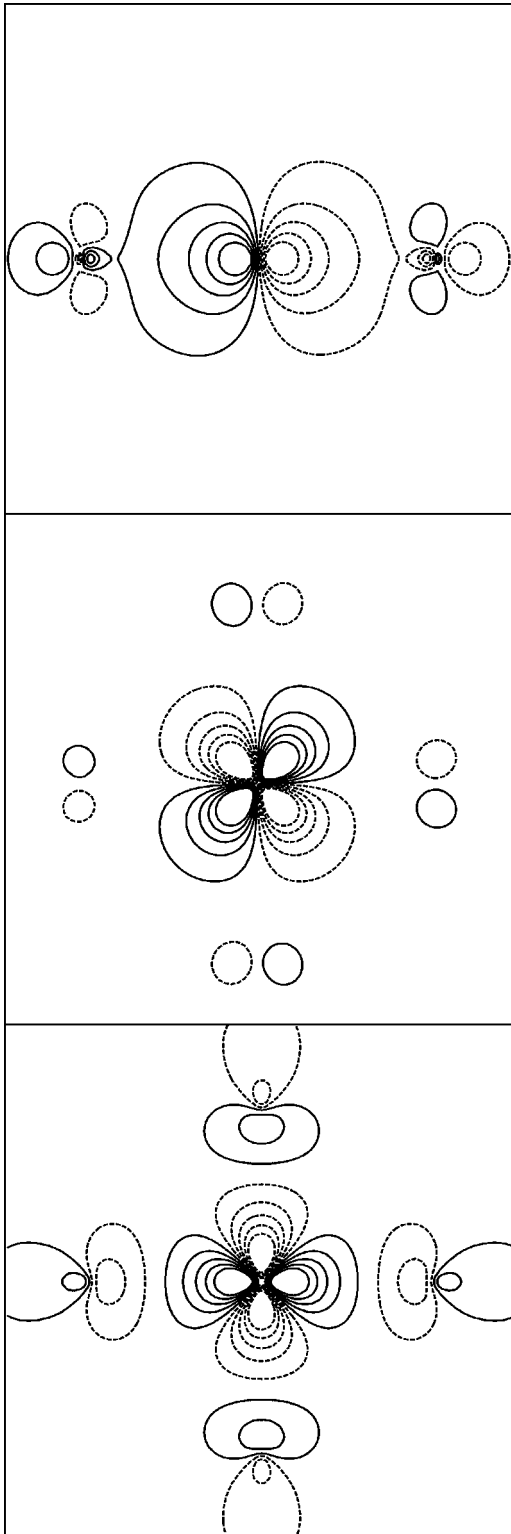


FIG. 3. Localized orbital basis used for  $\text{CaMnO}_3$  cluster CI calculations. Top panel: O  $2p_z$  orbital; middle panel: Mn  $d_{xz}$  orbital; bottom panel: Mn  $d_{3z^2-r^2}$  orbital. The latter is the empty  $e_g$  orbital responsible for exchange coupling.

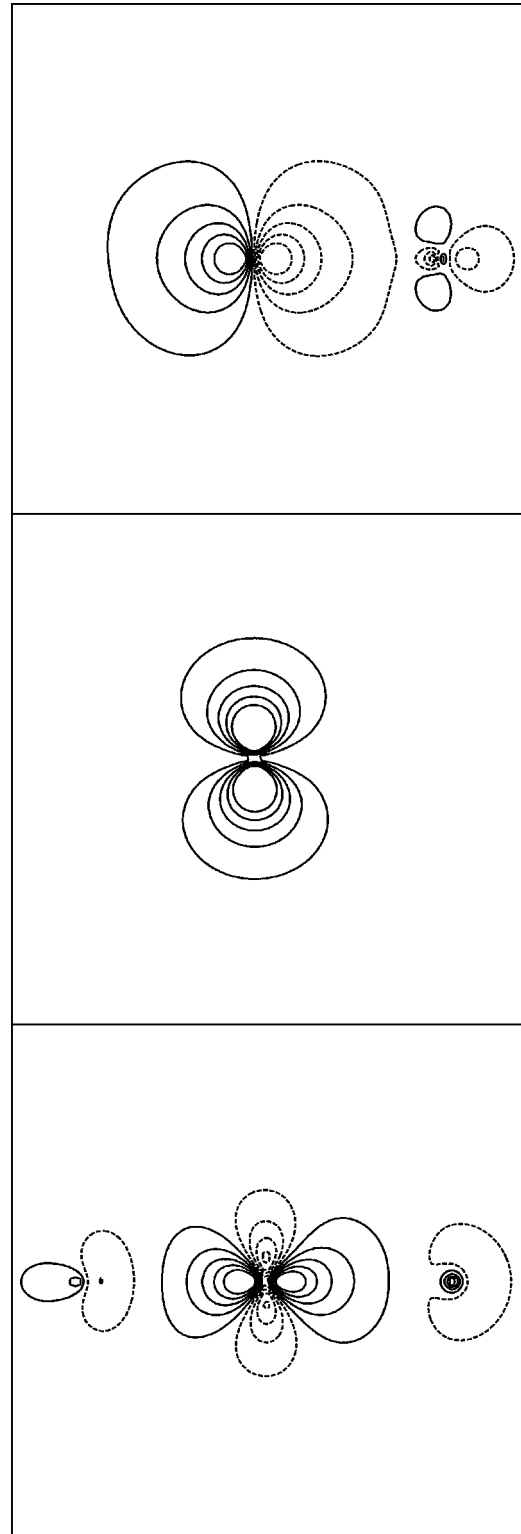


FIG. 4. Localized orbital basis used for  $J_{\perp}$  exchange constant cluster CI calculation for  $\text{LaMnO}_3$ . Top panel O  $2p_z$  orbital; middle panel: filled  $e_g$  orbital perpendicular to Mn-O-Mn axis; bottom panel: empty  $e_g$  orbital oriented along Mn-O-Mn axis.



cludes the  $2p$  orbitals on the central O<sup>2-</sup>. This is the restricted open shell Hartree-Fock (ROHF) wave function for the septet state, constructed using localized molecular orbitals. Self-consistent-field (SCF) ROHF wave functions can be computed using a number of standard electronic structure packages such as the GAMESS package<sup>28</sup> used in this work.

The singlet state is constructed from the same set of singly occupied orbitals with a spin coupling of the form

$$\frac{1}{\sqrt{2}}(\alpha\alpha\beta\beta\beta - \beta\beta\beta\alpha\alpha). \quad (3)$$

This is one of five spin eigenfunctions<sup>29</sup> (SEF's) for six electrons coupled into a singlet state. Provided that the spatial orbitals multiplying this SEF are ordered such that orbitals localized on each Mn ion are grouped together, we expect this SEF to dominate the CI wave function, since Hund's rule requires spins on each ion to be coupled with the same spin. This is indeed found to be the case in the actual CI wave function for the singlet state of the Mn<sub>2</sub>O<sub>11</sub><sup>4-</sup> cluster representing CaMnO<sub>3</sub>. The wave function for the singlet state is therefore

$$\begin{aligned} \psi^{singlet} = & \frac{1}{\sqrt{2}} A[\{\text{core}\}(\phi_{xy,l}\phi_{xz,l}\phi_{yz,l}\phi_{xy,r}\phi_{xz,r}\phi_{yz,r}) \\ & \times (\alpha\alpha\beta\beta\beta - \beta\beta\beta\alpha\alpha)]. \end{aligned} \quad (4)$$

Using conventional rules for evaluating determinantal energies,<sup>33</sup> the energy difference between the two states is  $K_{xz,l\ xz,r} + K_{yz,l\ yz,r}$ , with the singlet state lying *above* the septet state (assuming that other intersite exchange integrals are zero because of negligible spatial overlap). When the ground state energies of the singlet and septet states of the Mn<sub>2</sub>O<sub>11</sub><sup>4-</sup> cluster with wave functions in Eqs. (2) and (4) were evaluated, the singlet state was 3.6 meV above the septet state. This implies a value of 1.8 meV for the exchange integrals just mentioned. Note that we use the notation  $K_{ij}$  for exchange integrals between specific molecular orbitals while we use the notation  $J$  for the (effective) exchange coupling energy of two spins on different Mn ions. The singlet and septet states of this configuration are analogous to the Heitler-London valence bond wave function for the singlet and triplet states of the He atom in a  $1s2s$  configuration. In that case the triplet state is lower than the singlet state by  $K_{1s2s}$ .

In general, CI wave functions with  $N$  electrons in the active orbital space consist of linear combinations of spin-adapted functions (SAF's)

$$\psi_{CI} = \sum_i c_i \psi_i^{SAF}, \quad (5)$$

$$\psi_i^{SAF} = A(\{\text{core}\} \phi_j \phi_k \dots \phi_s \phi_t \Theta_a), \quad (6)$$

where a SAF is a product of spatial orbitals and a SEF  $\Theta_a$  for the particular spin state in question. The septet and singlet SAF's in Eqs. (2) and (4) are the dominant terms in a more general CI expansion of the septet and singlet wave

TABLE I. Relative energy and magnetic moment per Mn ion in CaMnO<sub>3</sub>.

Spin ordering	Relative energy (meV) <sup>a</sup>	$\mu$ ( $\mu_B$ )
FM	0.0	3.00
A-AF	-23.7	3.27
C-AF	-45.1	3.15
G-AF	-64.3	3.23

<sup>a</sup>The lattice constant is 3.73 Å.

function of the Mn<sub>2</sub>O<sub>11</sub><sup>4-</sup> cluster. All SAF's which are obtainable by exciting one or two electrons from the dominant SAF's to empty orbitals in the active space are included in the expansion. As stated above, the 13 orbitals in the active space in the calculations described here are comprised of ten orbitals of mainly Mn  $3d$  character and three of mainly O  $2p$  character localized on the O ion between the two Mn ions. These excited electron SAF's enter the wave function with a maximum weight of order  $10^{-2}$  and a corresponding occupancy of order  $10^{-4}$ , and it is these which lower the energy of the singlet state below the septet state when the spins are AF coupled. The main excited SAF's in the singlet and septet wave functions are those in which *one* electron is excited from an O  $2p$  orbital to the Mn  $e_g$  orbital aligned with the Mn-O axis [O to  $e_g$  ( $1e$ )], a *pair* of electrons are excited from one O  $2p$  orbital to the *same* Mn  $e_g$  orbital [O to  $e_g$  ( $2e$ )], and an excitation in which a  $t_{2g}$  electron is transferred from one Mn ion to the other ( $t_{2g}$  exchange). Obviously the latter exchange process is only allowed in the singlet state as it violates the Pauli exclusion principle in the septet state when the  $t_{2g}$  shells are half filled, as in CaMnO<sub>3</sub>. Excitations in which a pair of electrons are excited from the O ion to separate Mn ions are found to have negligible weights for both spin states.

### III. RESULTS

#### A. CaMnO<sub>3</sub>: bulk UHF calculations

UHF total energy calculations were performed using the CRYSTAL98 code<sup>34</sup> for FM A-, C-, and G-AF spin orderings. The energy of the cubic FM structure with the experimental lattice constant of 3.73 Å was adopted as the reference energy (0 meV); calculations were also performed for each of the spin orderings with a lattice constant of 3.75 Å. Total energies and magnetic moments from these calculations are given in Table I. When these total-energy differences are fitted to the Hamiltonian in Eq. (1) with nearest- ( $J_1$ ) and second-nearest- ( $J_2$ ) neighbor interactions (i.e., along  $[a,0,0]$  and  $[a,a,0]$ , where  $a$  is the lattice constant), the parameters obtained for a lattice constant of 3.73 Å are  $J_1 = 10.7$  meV and  $J_2 = 0.3$  meV. For a lattice constant of 3.75 Å, the parameters are  $J_1 = 10.1$  meV and  $J_2 = 0.3$  meV. It is generally believed that exchange interactions which connect magnetic ions along a linear chain are stronger than those which do not, such as the  $J_2$  interaction here. However, in the cubic perovskite structure, exchange interactions along  $[2a,0,0]$ , etc., contribute equally to all

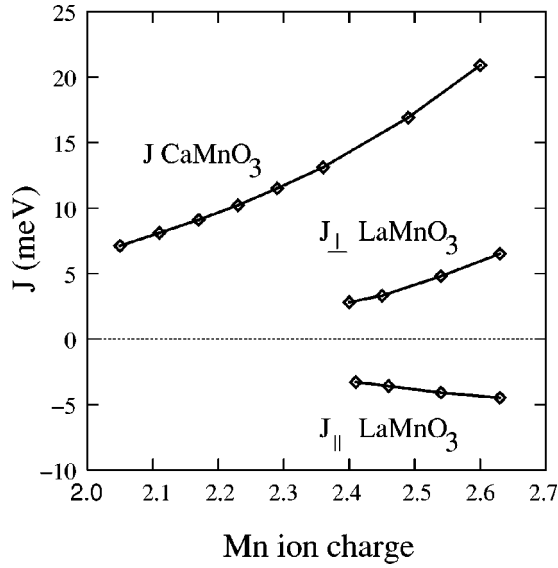


FIG. 5. Exchange coupling constants for  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$  from CI cluster calculations with varying Mn ion Mulliken populations. The variation in Mulliken population was induced by changing the magnitude of point charges at Mn and La or Ca ion sites.

four spin orderings studied, and so cannot be extracted from the data presented here. Similar values for  $J_1$  have been obtained from model Hamiltonian calculations by Meskine *et al.* ( $J_1 = 6.6$  meV).<sup>8</sup> Note that the definition used for the exchange energy in that work, the difference between the energy of a pair of ferromagnetically and antiferromagnetically coupled Mn ions, is *twice* the exchange energy defined in Eq. (1) above. Hence values for exchange energies from that work have been divided by two in order to compare them to values in the present work.

### B. $\text{CaMnO}_3$ : cluster CI calculations

Exchange energies obtained from cluster CI calculations depend strongly on Mn  $e_g$  and O  $2p$  orbital populations. In turn these populations depend on the Madelung potential of a sphere of point charges surrounding the  $\text{Mn}_2\text{O}_{11}^{14-}$  cluster. The charges were located on crystal ion sites, and Mulliken populations of ions in bulk UHF calculations on  $\text{CaMnO}_3$  were used as a guide in choosing the magnitudes of these charges. The sphere of point charges had a radius of just over 20 Å and contained around 3300 charges. The radius was chosen so that the sphere was overall almost charge neutral; each unit cell of point charges was also neutral. The dependence of exchange energies in  $\text{CaMnO}_3$  and  $\text{LaMnO}_3$  on Mn ion charge, measured by the Mulliken population of that ion in the SCF cluster calculation, is shown in Fig. 5. The charge on the two Mn ions *in the cluster* was adjusted by transferring charge from Mn point charge sites to Ca or La point charge sites *in the sphere of point charges*. The total charge of the Mn and Ca (or La) point charges was kept constant and the O ion charge was maintained at the UHF Mulliken population value. It can be seen that the magnitude of the exchange energy increases as charge is removed from the Mn ion, which is reasonable as charge is mainly being trans-

TABLE II. Exchange constants in  $\text{CaMnO}_3$  derived from experiment and *ab initio* calculations.

	$J$ (meV)
Experiment <sup>a</sup>	6.6
Cluster CI <sup>b</sup>	8.1
Model Hamiltonian <sup>c</sup>	6.6
UHF <sup>b</sup>	10.7

<sup>a</sup>Rushbrooke *et al.* (Ref. 5).

<sup>b</sup>This work. The lattice constant is 3.73 Å.

<sup>c</sup>Meskine *et al.* (Ref. 8).

ferred to or from the  $e_g$  orbitals which are directly involved in the exchange coupling mechanism—as the  $e_g$  orbital becomes filled, the exchange energy diminishes. The CI calculation value of  $J_1 = 8.1$  meV quoted for  $\text{CaMnO}_3$  in Table II is the value obtained for an Mn cluster ion charge of +2.13, the Mn ion charge determined from the UHF calculation. This is to be compared to an estimate of the experimental value of  $J_1 = 6.6$  meV, derived from the Néel temperature of  $\text{CaMnO}_3$ .

The fundamental SAF's for the septet and singlet states of the  $\text{Mn}_2\text{O}_{11}^{14-}$  cluster were given in Eqs. (2) and (4). In the fundamental SAF wave functions for either spin state, each has a SAF coefficient  $c_i$  of unity; however when additional SAF's are permitted in the wave function (i.e., permitting  $\text{O}^{2-}$  ion  $2p$  to  $e_g$  excitations, etc.) the weights of fundamental SAF's are around 0.9950 and additional SAF's corresponding to O superexchange and  $t_{2g}$  exchange enter the wave function with SAF coefficients of order 0.01. Even for limited active spaces (as in these calculations) the number of SAF's entering the wave function means that a convenient way to analyze the wave function is to tabulate the summed occupancies (i.e.,  $|c_i^2|$ ) of configurations of a particular type. There are, for example, several SAF's in which one electron is excited from an O  $2p$  orbital to an Mn  $e_g$  orbital.<sup>35</sup> The relative magnitudes of these occupancies are a measure of the importance of each type of fluctuation about the fundamental SAF configurations. Summed occupation numbers for the  $\text{Mn}_2\text{O}_{11}^{14-}$  cluster are given in Table III. It can be seen that the fundamental (or main) SAF has an occupancy of 0.9926 for the singlet state, while it has an occupancy of 0.9943 in the septet state; therefore, there are larger correlation effects in the singlet state. SAF's in which a  $t_{2g}$  electron has hopped from one Mn ion to the other have an occupancy of 0.0005, while these fluctuations are absent from the septet state owing to the Pauli exclusion principle, as noted above. However, the main difference in septet and singlet wave functions is in the occupancy of states in which one electron is transferred from an O  $2p$  orbital to an  $e_g$  orbital, the occupancy being 0.0038 for the singlet state and 0.0027 for the septet state. The occupancy of SAF's in which a pair of electrons is transferred from O  $2p$  to one Mn  $e_g$  orbital is the same for both spin states. The energies of both spin states relative to the energy of the fundamental septet SAF are also given in Table III. The septet state with O superexchange fluctuations is 133.4 meV below the fundamental septet SAF. This is the correlation energy for that state.<sup>36</sup> The singlet

TABLE III. Relative energy and SAF occupation numbers for singlet and septet states of Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> cluster representing CaMnO<sub>3</sub>.

State	Energy (meV) <sup>a</sup>	Main SAF	$t_{2g}$ Exchange	O to $e_g$ (1e)	O to $e_g$ (2e)
singlet <sup>b</sup>	+ 3.6	1.0000	0.0000	0.0000	0.0000
septet <sup>b</sup>	0	1.0000	0.0000	0.0000	0.0000
singlet <sup>c</sup>	- 149.6	0.9926	0.0005	0.0038	0.0017
septet <sup>c</sup>	- 133.4	0.9943	0.0000	0.0027	0.0017

<sup>a</sup>Energies are relative to the restricted open shell Hartree-Fock septet state.

<sup>b</sup>Fundamental SAF only.

<sup>c</sup>Fundamental SAF plus all single and double excitations in active space from fundamental SAF.

state with O superexchange and  $t_{2g}$  fluctuations is 149.6 meV below the reference energy and 153.2 meV below the fundamental singlet SAF energy. The latter energy is the correlation energy for the singlet state. Correlation energies for the Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> and Mn<sub>2</sub>O<sub>11</sub><sup>16-</sup> cluster CI wave functions are illustrated schematically in Fig. 6. Correlation energies are around 50% larger in Mn<sub>2</sub>O<sub>11</sub><sup>14-</sup> than in Mn<sub>2</sub>O<sub>11</sub><sup>16-</sup>, and this is reflected in the larger exchange energy in CaMnO<sub>3</sub>. It is worth noting that when the CI cluster calculation for the exchange energy in CaMnO<sub>3</sub> was performed with no point charge array surrounding the cluster, the exchange energy obtained was 57 meV, well in excess of the experimental value. This emphasizes the importance of Madelung terms in the crystal Hamiltonian in determining exchange energies in strongly correlated materials.

### C. LaMnO<sub>3</sub>: bulk UHF calculations

Total-energy calculations were performed on LaMnO<sub>3</sub> in the ideal perovskite (cubic) structure, a tetragonal perovskite structure, a cubic structure with a Jahn-Teller distortion of the MnO<sub>6</sub> octahedra, and the *Pnma* structure with atomic coordinates derived from experiment,<sup>18</sup> and by minimizing the total energy by varying lattice parameters and internal coordinates not determined by symmetry. These structures are summarized in Table IV. The Jahn-Teller distortion consisted of elongation or contraction of Mn-O bonds parallel to the *ac* axes of the unit cell. These are the Mn-O bonds which

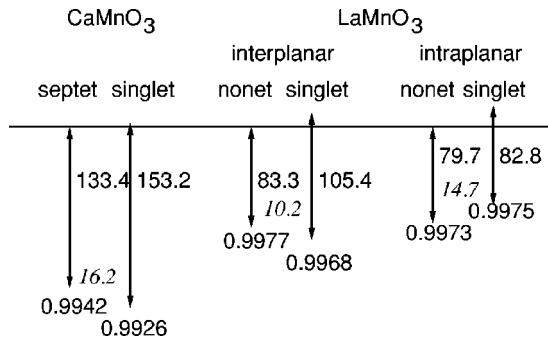


FIG. 6. Correlation energies in CaMnO<sub>3</sub> and LaMnO<sub>3</sub>. Magnitudes of correlation energies are illustrated by vertical arrows, and are given in meV in plain text. Energy differences between low and high multiplicity spin states are given in italics, and occupancies of the fundamental SAF in each state are given at the base of each arrow. The horizontal line is the SCF ROHF energy for each state.

induce FM coupling between Mn ions in the *Pnma* structure. The cubic structure with the lowest energy had a lattice constant of 3.953 Å (volume 61.77 Å<sup>3</sup> per Mn ion), which is comparable to the lattice constant of the “cubic” phase of LaMnO<sub>3</sub> (3.947 Å) which occurs at temperatures above 750 K.<sup>17</sup> All relative energies and lattice volumes will be assumed to be per Mn ion hereafter. When this structure is changed by a 5% Jahn-Teller distortion (Table IV), the energy is lowered by 304 meV and the magnetic ground state of the structure switches from  $d_{x^2-y^2} d_{3z^2-r^2}$  FM to  $d_{3x^2-r^2} d_{3y^2-r^2}$  FM (see below).

The total energy of the *Pnma* structure using coordinates from experiment<sup>18</sup> (Table IV) is 200 meV above the Jahn-Teller distorted structure. The total energy of the *Pnma* struc-

TABLE IV. Structural parameters in Jahn-Teller-distorted LaMnO<sub>3</sub> and *Pnma* LaMnO<sub>3</sub> determined by experiment and total-energy minimization. Each cell is a  $\sqrt{2} \times 2 \times \sqrt{2}$  doubling of the primitive perovskite unit cell.

Ion	<i>x</i>	<i>y</i>	<i>z</i>
La <sup>a</sup>	0.549	0.250	0.010
Mn	0.000	0.000	0.000
O	-0.014	0.250	-0.070
O	0.309	0.039	0.224
La <sup>b</sup>	0.517	0.250	0.001
Mn	0.000	0.000	0.000
O	-0.002	0.250	-0.027
O	0.290	0.014	0.237
La <sup>c</sup>	0.500	0.250	0.000
Mn	0.000	0.000	0.000
O	0.000	0.250	0.000
O	0.2625	0.000	0.2625

<sup>a</sup>Experimental structure (Ref. 18) (Fig. 1), lattice parameters  $a = 5.742$  Å,  $b = 7.668$  Å, and  $c = 5.532$  Å.

<sup>b</sup>Optimized structure, lattice parameters  $a = 5.740$  Å,  $b = 7.754$  Å, and  $c = 5.620$  Å.

<sup>c</sup>Jahn-Teller distorted structure, lattice parameters  $a = 5.590$  Å,  $b = 7.905$  Å, and  $c = 5.590$  Å. Note that the Jahn-Teller distortion is in the *xz* plane in this table to allow an easy comparison between its structural parameters and those of the *Pnma* structures. Elsewhere in this work the Jahn-Teller distortion is assumed to be in the *xy* plane.



ture was minimized<sup>37</sup> by varying the lattice parameters and seven internal coordinates not determined by symmetry of the  $Pnma$  space group. The total energy of the energy minimized structure is 6 meV above the Jahn-Teller distorted structure. The optimized lattice parameters and internal coordinates are given in Table IV; the  $a$  lattice vector is essentially unchanged while the  $b$  and  $c$  lattice vectors increase in magnitude by 1.1% and 1.6%, respectively. The lattice volume rises from 60.89 to 62.53 Å<sup>3</sup>. Probably the most important changes which occur on minimizing the total energy are that the degree of Jahn-Teller distortion is reduced and La-O distances increase significantly. In the lowest energy cubic structure there is one Mn-O distance of 1.976 Å and a La-O distance of 2.795 Å. On introducing the 5% Jahn-Teller distortion these become Mn-O distances of 1.877, 1.976, and 2.075 Å and La-O distances of 2.795 and 2.797 Å. In the experimental  $Pnma$  structure<sup>18</sup> the Mn-O distances are 1.903, 1.957, and 2.185 Å and the La-O distances are 2.433, 2.461, and 2.548 Å. These change to 1.910, 1.944, and 2.135 Å and 2.609, 2.666, and 2.684 Å in the energy-minimized structure. Hence lower energies are found for structures with larger La-O distances and a reduced Jahn-Teller distortion. The combined ionic radii of La<sup>3+</sup> and O<sup>2-</sup> are 2.76 Å.<sup>38</sup> La-O distances in the energy-minimized  $Pnma$  and cubic structures lie just below the combined ionic radii distance, whereas the La-O distances in the experimental  $Pnma$  structure lie well below this distance. The cubic structure with a Jahn-Teller distortion and the energy-minimized  $Pnma$  structure are both lower in energy than the lowest energy cubic structure by around 300 meV. This energy lowering by a Jahn-Teller distortion is half of the lowering assumed by Millis<sup>39</sup> in a calculation of electron-phonon coupling in Ca<sub>1-x</sub>La<sub>x</sub>MnO<sub>3</sub>. The UHF calculations reported here are similar to those reported by Su *et al.*<sup>15</sup> They reported an energy lowering of 1055 meV when the cubic structure is changed to the experimental  $Pnma$  structure with no volume change. This calculation will overestimate the energy difference between such structures as the cubic structure with the  $Pnma$  structure equilibrium volume is not the minimum-energy cubic structure.

For the cubic perovskite structure it was found that variations of the total energies of different spin and orbital orderings can be fitted very well by a Hamiltonian of the form

$$H = \sum_{\langle ij \rangle} J_{ij} \frac{\hat{S}_i \cdot \hat{S}_j}{S^2} + H_{OO}, \quad (7)$$

where  $H_{OO}$  is an orbital ordering term which depends only on the orbital order. For these calculations the cubic unit cell was doubled along [110], [101], and [011] directions ( $G$ -AF spin and orbital ordering) and along the [001] direction ( $A$ -AF spin and orbital ordering) and total energies and charge density difference plots<sup>40</sup> were computed for  $d_{x^2-y^2} d_{x^2-y^2}$ ,  $d_{x^2-y^2} d_{3z^2-r^2}$ , and  $d_{3z^2-r^2} d_{3z^2-r^2}$  orbital orderings and FM,  $A$ -AF, and  $G$ -AF spin orderings. The  $d_{x^2-y^2} d_{3z^2-r^2}$   $A$ -AF combination is incompatible with the unit cell doublings chosen, and was omitted. Total energies are given in Table V, and charge-density difference plots for each of these orbital orderings are shown in Fig. 7.

TABLE V. Relative energy and magnetic moment per Mn ion in cubic LaMnO<sub>3</sub> with various spin and orbital orderings.

Spin and orbital ordering <sup>a</sup>	Relative energy (meV)	$\mu$ ( $\mu_B$ )
FM $d_{x^2-y^2} d_{x^2-y^2}$	0.0	4.00
FM $d_{x^2-y^2} d_{3z^2-r^2}$	-131.5	4.00
FM $d_{3z^2-r^2} d_{3z^2-r^2}$	-6.1	3.99
$A$ -AF $d_{x^2-y^2} d_{x^2-y^2}$	-14.4	4.05
$A$ -AF $d_{3z^2-r^2} d_{3z^2-r^2}$	-34.4	3.87
$G$ -AF $d_{x^2-y^2} d_{x^2-y^2}$	-34.9	3.88
$G$ -AF $d_{x^2-y^2} d_{3z^2-r^2}$	-95.4	3.89
$G$ -AF $d_{3z^2-r^2} d_{3z^2-r^2}$	-34.0	3.88

<sup>a</sup>The lattice constant is 3.934 Å.

For  $d_{x^2-y^2} d_{x^2-y^2}$  and  $d_{3z^2-r^2} d_{3z^2-r^2}$  orbital orderings, distinct exchange constants in the  $xy$  plane,  $J_{\parallel}$ , and in the  $xz$  plane,  $J_{\perp}$ , are postulated, whereas for  $d_{x^2-y^2} d_{3z^2-r^2}$  ordering a single exchange constant  $J = J_{\parallel} = J_{\perp}$  is postulated. Exchange constants for each orbital ordering are given in Table VI. AF exchange constants are obtained when the adjacent Mn orbital ordering is the same and FM coupling is observed when adjacent Mn  $e_g$  orbitals differ. This observation also applies to  $Pnma$  structures studied: FM coupling is observed between in-plane Mn ions with alternating  $e_g$  orbital orientations; AF coupling is observed when adjacent Mn  $e_g$  orbit-

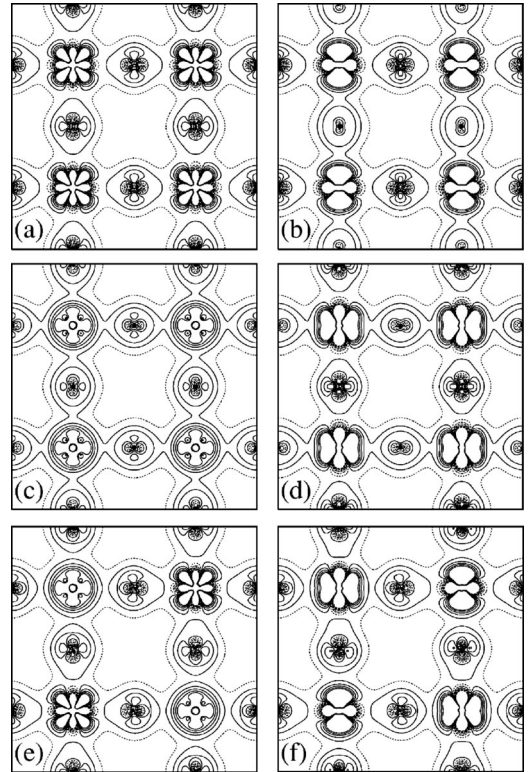


FIG. 7. Charge-density difference plots for cubic LaMnO<sub>3</sub> with (a) and (b)  $d_{3z^2-r^2} d_{3z^2-r^2}$ , (c) and (d)  $d_{x^2-y^2} d_{x^2-y^2}$ , and (e) and (f)  $d_{x^2-y^2} d_{3z^2-r^2}$  orbital ordering. Left and right panels show density differences in the  $xy$  and  $xz$  planes, respectively. The differences in charge densities are the UHF SCF density for the solid minus the UHF SCF densities for the O<sup>2-</sup> ions and the Mn<sup>4+</sup> ion.



TABLE VI. Exchange constants in cubic LaMnO<sub>3</sub> with various orbital orderings.

Spin and orbital ordering <sup>a</sup>	$J_{\perp}$ (meV) <sup>b</sup>	$J_{\parallel}$ (meV) <sup>c</sup>
$d_{x^2-y^2} d_{x^2-y^2}$	7.2	5.1
$d_{x^2-y^2} d_{3z^2-r^2}$	-6.0	-6.0
$d_{3z^2-r^2} d_{3z^2-r^2}$	14.2	-0.1

<sup>a</sup>The lattice constant is 3.953 Å.

<sup>b</sup>Exchange constant for Mn ions coupled perpendicular to the  $ac$  plane.

<sup>c</sup>Exchange constant for Mn ions coupled parallel to the  $ac$  plane.

als have the same orientation. Magnitudes of AF couplings vary between 5.1 and 14.2 meV, and one FM coupling of -6.0 meV is observed in the  $d_{x^2-y^2} d_{3z^2-r^2}$  A-AF ordering.

Once exchange constants have been computed for a particular orbital ordering, a comparison of structures with the same magnetic structure but different orbital ordering permits differences in orbital ordering energies to be calculated. The actual magnitude of the orbital ordering energy,  $H_{OO}$ , of course depends on the reference energy chosen. The choice of the FM  $d_{x^2-y^2} d_{x^2-y^2}$  structure as the reference energy structure yields values of -17.4, -20.0, and -113.4 meV for the  $d_{x^2-y^2} d_{x^2-y^2}$ ,  $d_{3z^2-r^2} d_{3z^2-r^2}$ , and  $d_{x^2-y^2} d_{3z^2-r^2}$  relative orbital ordering energies. The important result here is that an alternating orbital order ( $d_{x^2-y^2} d_{3z^2-r^2}$ ) is around 90 meV below those with the same orbital order on each site ( $d_{x^2-y^2} d_{x^2-y^2}$  or  $d_{3z^2-r^2} d_{3z^2-r^2}$ ) in the cubic perovskite structure. When the values of  $H_{OO}$  and exchange constants just mentioned are used to compute the relative energies of the eight spin and orbital orderings considered, the maximum deviation from the relative energies reported in Table V is 0.2 meV, demonstrating the suitability of the Hamiltonian in Eq. (7). The fact that charge-density difference plots for different spin ordering and the same orbital ordering are very similar suggests that this should be the case.

Using the fact that orbital and spin contributions to the Hamiltonian are independent, differences in total energy of a particular spin order as a function of lattice distortion may be attributed to differences in orbital ordering energy. Figure 8 is a plot of total energy for each orbital ordering with G-AF magnetic order as a function of isovolume, tetragonal lattice distortion. These calculations were performed using  $P4/mmm$  space group symmetry. It can be seen that  $d_{x^2-y^2} d_{3z^2-r^2}$  orbital ordering is the most stable ordering only within a small parameter range about the cubic structure. When the tetragonal distortion is such that the lattice is elongated along the  $z$  axis,  $d_{3z^2-r^2} d_{3z^2-r^2}$  ordering is favored. However, when it is compressed along this axis,  $d_{x^2-y^2} d_{x^2-y^2}$  ordering is favored. This may be explained by a simple electrostatic argument—the ordering which is favored in either case is the one where the occupied  $e_g$  orbitals are oriented along the elongated axis or axes, thereby reducing the Coulombic repulsion energy. The greatest stabilization relative to the cubic lattice is found for an  $x/z$  ratio of 0.94, where the energy is 164 meV below that of the cubic G-AF reference energy. This stabilization is still significantly

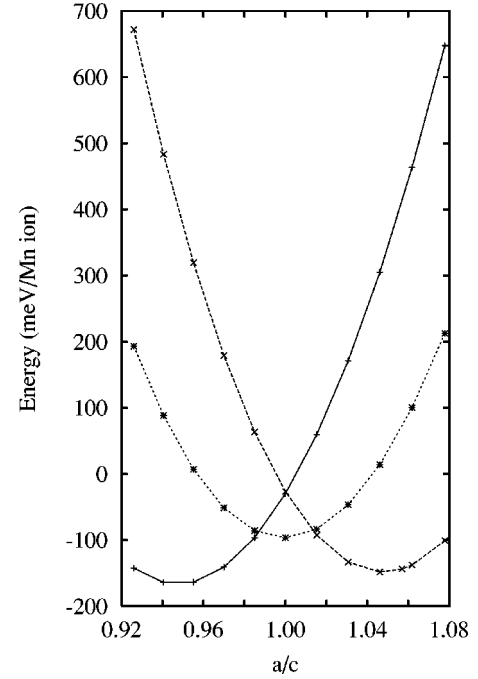


FIG. 8. Total energies of  $d_{x^2-y^2} d_{x^2-y^2}$ ,  $d_{3z^2-r^2} d_{3z^2-r^2}$ , and  $d_{x^2-y^2} d_{3z^2-r^2}$  orbital-ordered structures with G-AF magnetic ordering. The reference energy is the cubic LaMnO<sub>3</sub>  $d_{x^2-y^2} d_{x^2-y^2}$  FM energy (Table V).

less than the stabilization of 298 meV which results when the energy minimized  $Pnma$  structure is adopted.

Relative energies and exchange constants for the Jahn-Teller-distorted structure and both  $Pnma$  structures studied are given in Tables VII and VIII, respectively. Charge-density difference plots for the Jahn-Teller distorted structure are shown in Fig. 9. The magnetic ground state of the Jahn-Teller distorted structure is FM, but this is almost isoenergetic with the A-AF structure. This is because the in-plane

TABLE VII. Relative energy and magnetic moment per Mn ion in  $Pnma$  and Jahn-Teller distorted cubic LaMnO<sub>3</sub>.

Structure and spin ordering	energy (meV)	$\mu$ ( $\mu_B$ )
$Pnma$ (Experiment) FM <sup>a</sup>	0.0	4.00
$Pnma$ (Experiment) A-AF	-1.2	4.00
$Pnma$ (Experiment) G-AF	13.9	3.96
$Pnma$ (Optimized) FM <sup>b</sup>	0.0	4.00
$Pnma$ (Optimized) A-AF	-2.0	3.96
$Pnma$ (Optimized) G-AF	21.9	3.94
Jahn-Teller FM <sup>c</sup>	0.0	4.00
Jahn-Teller A-AF	1.1	3.98
Jahn-Teller G-AF	33.6	-

<sup>a</sup>Reference energy is 194 meV above the optimized FM  $Pnma$  structure (Table IV).

<sup>b</sup>Reference energy is that of this structure and magnetic order (Table IV).

<sup>c</sup>Reference energy is 8 meV below the optimized FM  $Pnma$  structure (Table IV).

TABLE VIII. Exchange constants in  $Pnma$   $\text{LaMnO}_3$  derived from experiment and *ab initio* and model Hamiltonian calculations.

	$J_{\perp}$ (meV) <sup>a</sup>	$J_{\parallel}$ (meV) <sup>b</sup>
Experiment <sup>c</sup>	4.8	-6.7
UHF (Experiment) <sup>d</sup>	0.6	-3.7
UHF (Experiment) <sup>e</sup>	0.8	-3.5
UHF (Optimized) <sup>f</sup>	1.0	-6.0
UHF (Jahn-Teller) <sup>g</sup>	-0.6	-8.1
LSDA (Experiment) <sup>h</sup>	-3.1	-9.1
Cluster CI (Experiment) <sup>d</sup>	3.3	-3.6
Cluster CI (Optimized) <sup>f</sup>	5.1	-7.4
Cluster CI (Optimized/La pseudopotential) <sup>f</sup>	5.2	-7.4
model Hamiltonian <sup>i</sup>	2.6	-7.8

<sup>a</sup>Exchange constant for Mn ions coupled perpendicular to the  $ac$  plane.

<sup>b</sup>Exchange constant for Mn ions coupled parallel to the  $ac$  plane.

<sup>c</sup>Hirota *et al.* (Ref. 2) and Moussa *et al.* (Ref. 3).

<sup>d</sup>This work. Elemans structure (Ref. 18) (Table IV).

<sup>e</sup>Su *et al.* (Ref. 15).

<sup>f</sup>This work. Optimized structure (Table IV).

<sup>g</sup>This work. Jahn-Teller-distorted structure (Table IV).

<sup>h</sup>Soloyev *et al.* (Ref. 11).

<sup>i</sup>Meskine *et al.* (Ref. 8).

exchange constant is FM while the out-of-plane exchange constant is FM (but small). The magnetic ground state of the cubic structure is  $G$ -AF, with AF coupling between all neighboring Mn ions. The switch to FM coupling between neighboring Mn ions inplane is due to the Jahn-Teller distortion inplane. Both  $Pnma$  structures studied have  $A$ -AF magnetic ground states (as is the case in nature) but magnitudes of exchange constants obtained from these calculations are smaller than those obtained from neutron-scattering data<sup>2,3</sup> (Table VIII). Values of 0.6 and  $-3.7$  meV for  $J_{\perp}$  and  $J_{\parallel}$  may be compared to 0.8 and  $-3.5$  meV obtained in a similar UHF calculation<sup>15</sup> and 4.8 and  $-6.7$  meV from experiment.<sup>2,3</sup> A local-spin-density approximation (LSDA) calculation<sup>11</sup> found values of  $-3.1$  and  $-9.1$  meV for  $J_{\perp}$  and  $J_{\parallel}$ . This

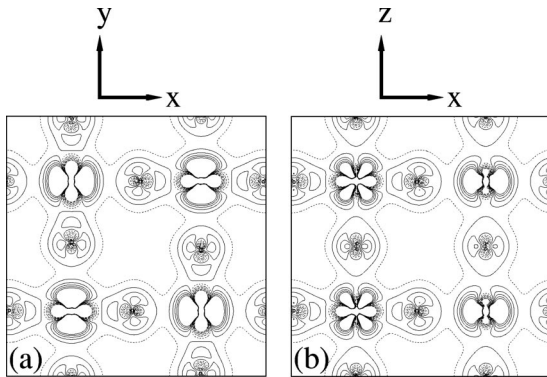


FIG. 9. Charge-density difference plots for  $\text{LaMnO}_3$  with a 5% Jahn-Teller distortion in the  $xy$  plane. Panels (a) and (b) show density differences in the  $xy$  and  $xz$  planes, respectively. The differences in charge densities are the UHF SCF density for the solid minus the UHF SCF densities for the  $\text{O}^{2-}$  ions and the  $\text{Mn}^{4+}$  ion.

calculation did find an  $A$ -AF ground state for  $Pnma$   $\text{LaMnO}_3$ , however, as second-nearest-neighbor exchange constants are significant in the LSDA calculation, and favor an  $A$ -AF magnetic ground state.

#### D. $\text{LaMnO}_3$ : Cluster CI calculations

Cluster CI calculations for  $\text{LaMnO}_3$  were performed using  $\text{Mn}_2\text{O}_{11}^{16-}$  clusters with the Mn ions in the same configuration as a pair of Mn ions in the  $ac$  plane (Fig. 1) and with the Mn ions along a line parallel to the  $b$  axis. The former cluster corresponds to a pair of Mn ions which is expected to be ferromagnetically coupled while the latter corresponds to a pair of ions which is expected to be antiferromagnetically coupled. Mn  $e_g$  orbital ordering in the former cluster had the form illustrated schematically in Fig. 2(c), while the latter had orbital ordering as in Fig 2(b). Clusters and surrounding point charges with the experimental  $Pnma$  structure<sup>18</sup> and the energy minimized structure were used. Exchange constants for  $\text{LaMnO}_3$  derived from these cluster calculations are given in Table VIII. Cluster CI calculations with Mn, O, and La surrounding point charges of 2.6,  $-1.8$ , and 2.8 (close to Mulliken population values from UHF calculations) result in exchange constants of 3.3 and  $-3.6$  meV for  $J_{\perp}$  and  $J_{\parallel}$  when the experimental structure is used. These values change to 5.1 and  $-7.4$  meV when the energy-minimized structure (Table IV) is used.

The Madelung potential has an important role in determining exchange constants in manganites. Obviously ions several lattice constants or more distant from the ions in the central cluster may be treated as point charges rather than distributed charges without significantly altering the potential within the central cluster. However, point charges adjacent to the central cluster may cause a significantly different potential within the cluster and affect the results of the exchange constant calculation. This question was previously addressed by other workers.<sup>25,27</sup> In order to estimate the effect of terminating the cluster with point charges, cluster CI calculations were performed with the 12 La point charges nearest to the central cluster ions replaced by  $\text{La}^{3+}$  pseudopotentials.<sup>41</sup> This resulted in a small increase in  $J_{\perp}$  and no change in  $J_{\parallel}$  compared to the calculation where only point charges were used. The values obtained for  $J_{\perp}$  and  $J_{\parallel}$  from these calculations were 5.2 and  $-7.4$  meV, which are in good agreement with the experimental values: 4.8 and  $-6.7$  meV. Values for the exchange constants derived from the model Hamiltonian calculations of Meskine *et al.*<sup>8</sup> are also given in Table VIII.

Relative energies and SAF occupancies for the  $\text{Mn}_2\text{O}_{11}^{16-}$  clusters used for calculating exchange constants in  $\text{LaMnO}_3$  in the energy-minimized structure are given in Table IX. The fundamental SAF singlet states are 11.9 meV ( $J_{\perp}$ ) and 17.9 meV ( $J_{\parallel}$ ) above the nonet states of the clusters. When additional SAF's are permitted in the wave function the singlet (nonet) states are lowered by 105.4 (83.3) meV ( $J_{\perp}$ ) and 82.8 (79.7) meV ( $J_{\parallel}$ ). These are the correlation energies for these states. The singlet state of the cluster used to calculate  $J_{\perp}$  is 10.2 meV lower in energy than the nonet state giving a value for  $J_{\perp}$  of 5.1 meV, while the nonet state of the cluster used to calculate  $J_{\parallel}$  is 14.7 meV lower in energy than the

TABLE IX. Relative energy and orbital occupation numbers for singlet and nonet states of Mn<sub>2</sub>O<sub>11</sub><sup>16-</sup> cluster representing LaMnO<sub>3</sub>.

State	Energy (meV) <sup>a</sup>	Main SAF	$t_{2g}$ Exchange	O to $e_g$ (1e)	O to $e_g$ (2e)
singlet <sup>b,c</sup>	+ 11.9	1.0000	0.0000	0.0000	0.0000
nonet <sup>b,c</sup>	0.0	1.0000	0.0000	0.0000	0.0000
singlet <sup>c,d</sup>	- 93.5	0.9937	0.0006	0.0037	0.0007
nonet <sup>c,d</sup>	- 83.3	0.9954	0.0000	0.0030	0.0007
singlet <sup>b,e</sup>	+ 17.9	1.0000	0.0000	0.0000	0.0000
nonet <sup>b,e</sup>	0.0	1.0000	0.0000	0.0000	0.0000
singlet <sup>d,e</sup>	- 64.9	0.9949	0.0004	0.0025	0.0006
nonet <sup>d,e</sup>	- 79.9	0.9946	0.0000	0.0038	0.0008

<sup>a</sup>Energies are relative to the restricted open shell Hartree-Fock nonet for the whole cluster, i.e., per Mn ion pair.

<sup>b</sup>Fundamental SAF only.

<sup>c</sup> $J_{\perp}$  calculation.

<sup>d</sup>Fundamental SAF plus all single and double excitations in active space from fundamental SAF.

<sup>e</sup> $J_{\parallel}$  calculation.

singlet state giving a value of  $-7.4$  meV for  $J_{\parallel}$ . From Table IX it can be seen that O to  $e_g$  (1e) excitations are the main fluctuations about the fundamental SAF state. The weight of the fundamental SAF in the singlet states of either cluster is less than in the nonet states, reflecting the greater degree of correlation in the singlet states. In the  $J_{\perp}$  calculation the greater correlation energy of the singlet state, c.f. the nonet state is sufficient to make the singlet state the ground state and give an AF exchange constant. On the other hand, in the  $J_{\parallel}$  calculation the singlet correlation energy is just greater than that of the nonet state and, together with the fact that the singlet state of the fundamental SAF wave function is 17.9 meV above the nonet state, this results in a nonet ground state and a FM exchange constant.

#### IV. DISCUSSION

UHF and CI cluster calculations for the exchange constant in CaMnO<sub>3</sub> are in reasonable agreement with estimates for its value based on the Rushbrooke-Wood formula<sup>5</sup> and its Néel temperature. The calculated exchange constants are larger than the estimate based on experiment. The single AF exchange constant is mainly a result of O to  $e_g$  (1e) excitations which lower the energy of the singlet state of a pair of adjacent Mn ions below that of the septet state. The magnitude of the exchange constant derived from CI cluster calculations depends strongly on the Madelung potential within the cluster, and there is agreement between theory and estimates based on experiment only when that potential results in ionic charges in the cluster similar to those in the bulk UHF calculation.

LaMnO<sub>3</sub> is more complex than CaMnO<sub>3</sub>. It is also more ionic than CaMnO<sub>3</sub> with Mulliken populations of ions closer to the formal ion charges. A number of orbital and spin-ordered states exist within a small energy range, say 300 meV, close to the ground state. The energies of several spin and orbital ordered states of cubic LaMnO<sub>3</sub> are well described by the Hamiltonian in Eq. (7). In the remainder of

this section exchange constants in cubic and Jahn-Teller distorted LaMnO<sub>3</sub> are correlated with Mn<sup>3+</sup> ion orbital ordering and O<sup>2-</sup> ion charge-density distortions and Mn<sup>3+</sup> ion interactions are identified as type I, II, or III according to Goodenough's scheme.<sup>24</sup> Finally, the role of correlation and availability of empty orbitals on magnetic ion sites in AF and FM coupling is discussed.

Cubic LaMnO<sub>3</sub> has a  $d_{x^2-y^2} d_{3z^2-r^2}$  FM ground state and a lattice constant of 3.953 Å. Exchange constants depend on orbital ordering and range from  $-6.0$  to 14.2 meV. Charge-density difference plots (Fig. 7) show that the charge density on a Mn ion is essentially independent of charge densities on neighboring ions. That density is determined solely by the ion's orbital ordering. However, charge densities on more polarizable O<sup>2-</sup> ion sites depend on charge densities at both neighboring Mn ion sites. For  $d_{3z^2-r^2} d_{3z^2-r^2}$  orbital ordering (Fig. 7, top panels), O<sup>2-</sup> ions in Mn-O bonds in the  $xy$  plane undergo a quadrupolar distortion in which charge is displaced from the Mn-O bond axis into directions perpendicular to the bond, while O<sup>2-</sup> ions in Mn-O bonds along the  $z$  axis are much less severely distorted and the ions tend to elongate along the bond axes. The  $d_{3z^2-r^2}$  character of the ordered Mn  $e_g$  orbitals can be seen clearly in the top right panel of Fig. 7. The exchange constant for Mn<sup>3+</sup> ions in the  $xy$  plane with this orbital ordering is  $J_{\parallel} = -0.1$  meV while the exchange constant for Mn<sup>3+</sup> ions along the  $z$  axis is 14.2 meV. Thus a weak exchange coupling is associated with the quadrupolar distortion of charge away from the bond axis, while a much stronger coupling is associated with a nearly spherical ion in which charge density tends to concentrate along the bond axis, compared to the spherical O<sup>2-</sup> ion.

For  $d_{x^2-y^2} d_{x^2-y^2}$  orbital ordering the  $d_{x^2-y^2}$  character of the ordered Mn  $e_g$  orbitals is clearly seen in the middle left panel of Fig. 7. There is a relatively weak quadrupolar distortion of the O<sup>2-</sup> ions in the  $xy$  plane and a stronger quadrupolar distortion of O<sup>2-</sup> ions along the  $z$  axis. The exchange constant for Mn<sup>3+</sup> ions in the  $xy$  plane is 5.1 meV, while it is 7.2 meV for Mn<sup>3+</sup> ions along the  $z$  axis.



The  $d_{x^2-y^2}$  or  $d_{3z^2-r^2}$  character of orbital ordering can be seen in both bottom panels in Fig. 7. In the  $xy$  and  $xz$  planes the  $O^{2-}$  ion charge density is polarized in a circulating pattern, even though the  $O^{2-}$  ions are situated midway between the  $Mn^{3+}$  ions. Charge is polarized toward regions at  $Mn^{3+}$  ion sites where there is a reduction in charge-density below that of spherical  $Mn^{4+}$  ions, as indicated by negative contours in the charge-density difference plots. Around each  $Mn^{3+}$  ion with the  $d_{3z^2-r^2} e_g$  orbital occupied, charge is deformed toward the  $d_{3z^2-r^2}$  ion in the  $xy$  plane and away from it along the  $z$  axis, whereas for  $Mn^{3+}$  ions with the  $d_{x^2-y^2} e_g$  orbital occupied, charge is deformed toward it along the  $z$  axis and away from it in the  $xy$  plane. Thus each  $Mn^{3+}$  ion is coupled to each neighboring  $Mn^{3+}$  ion by a polarized  $O^{2-}$  ion, and there is one FM exchange constant of  $-6.0$  meV.

A simple pattern of orbital ordering is obtained for the Jahn-Teller-distorted structure where the unit cell was doubled in the  $[001]$  direction (Fig. 9). This pattern of orbital ordering was obtained without biasing the initial guess wave function in any way (see the Appendix). Orbital ordering in the  $xy$  plane is an alternating  $d_{3x^2-r^2} d_{3y^2-r^2}$  pattern which is repeated with period one along the  $z$  axis. This is the  $a$ -type orbital ordering discussed in Ref. 42. The FM exchange constant between  $Mn^{3+}$  ions in the  $xy$  plane is  $-8.1$  meV, and the weak FM exchange constant along the  $z$  axis is  $-0.1$  meV. There is a strong deformation of the  $O^{2-}$  ion charge density in the  $xy$  plane toward regions of reduced charge density at the  $Mn^{3+}$  ion sites, associated with strong FM exchange coupling (Fig. 9, left panel). There is strong quadrupolar distortion of the charge density at  $O^{2-}$  ion sites coupling  $Mn^{3+}$  ions along the  $z$  axis, associated with a weak FM exchange coupling.

The same  $a$ -type orbital ordering is also found in the  $Pnma$  structures studied. There is a similar pattern of circulating charge polarization toward regions at  $Mn^{3+}$  ion sites where the charge density is reduced, and there is strong FM exchange coupling between  $Mn^{3+}$  ions lying approximately in the  $ac$  plane ( $-3.7$  and  $-6.0$  meV, UHF calculations; see Table VIII).

The three Mn-Mn interactions described by Goodenough<sup>24</sup> are now tentatively identified in cubic and Jahn-Teller-distorted  $LaMnO_3$  using charge densities on the  $O^{2-}$  sites and orbital ordering at the neighboring Mn ion sites. Type-I interactions are found for  $d_{x^2-y^2} d_{x^2-y^2}$  orbital ordering in cubic  $LaMnO_3$  for both  $J_{\parallel}=5.1$  meV and  $J_{\perp}=7.2$  meV (Fig. 7, middle panels) and  $d_{3z^2-r^2} d_{3z^2-r^2}$  orbital ordering for  $J_{\perp}=14.2$  meV (Fig. 7, top right panel).

Type-II interactions are found for  $d_{x^2-y^2} d_{3z^2-r^2}$  orbital ordering in cubic  $LaMnO_3$  (Fig. 7, bottom panels) for both  $J_{\perp}$  and  $J_{\parallel}=-6.0$  meV, and for  $d_{3x^2-r^2} d_{3y^2-r^2}$  orbital ordering in Jahn-Teller distorted  $LaMnO_3$  [Fig. 9, left panel ( $J_{\parallel}=-8.1$  meV)]. Charge densities are characterized by breaking of symmetry of the  $O^{2-}$  ion along the Mn-O-Mn axis. Obviously this can only occur when the orbital orderings on adjacent Mn ions differ; however, this observation is worth making as such symmetry breaking is characteristic of FM exchange coupling.

Type-III interactions are found for  $d_{3z^2-r^2} d_{3z^2-r^2}$  orbital order in cubic  $LaMnO_3$  (Fig. 7, top left panel) where  $J_{\parallel}=-0.1$  meV; for  $J_{\perp}=-0.6$  meV in the Jahn-Teller-distorted structure (Fig. 9, right panel). In both cases the weak exchange coupling is associated with strong, quadrupolar  $O^{2-}$  charge density deformation.

Cluster CI calculations provide detailed information on the exchange coupling mechanism. Fundamental SAF singlet states for clusters representing both  $CaMnO_3$  and  $LaMnO_3$  lie above the fundamental SAF high-spin multiplicity states; this is expected to be the case for a wide range of magnetic ions exchange coupled via a closed-shell anion. The ground state for the pair of magnetic ions is AF when the difference in correlation energies of the singlet and high spin multiplicity states exceeds the singlet/high spin state splitting, otherwise it is FM. Correlation energies for singlet states exceed correlation energies of the corresponding high spin multiplicity states in the three cases studied here (Fig. 6). This is also likely to be true for a wide range of magnetic ions which are exchange coupled via a closed-shell anion, as there are many more singlet SAF's than high-spin SAF's in any particular active space. For example, in the active space used for the  $LaMnO_3$  cluster CI calculations there are over 18 000 singlet SAF's compared to over 1500 nonet SAF's, which simply reflects the fact that there are many more ways to arrange spin-coupled electrons to form singlet states than there are to form nonet states for a specific number of electrons. Only a few of either singlet or nonet SAF's appear in the ground-state wave functions with a significant weight, but since there are so many more singlet than nonet SAF's, it is not surprising that the singlet state correlation energy is larger.

When one empty Mn  $e_g$  orbital is available to accept one or two electrons from an  $O^{2-}$  ion, as is the case for  $J_{\parallel}$  in  $Pnma$   $LaMnO_3$ , the singlet state correlation energy is only slightly larger than the nonet state correlation energy (82.8 versus 79.7 meV) and the nonet state is the ground state. However, when two empty Mn  $e_g$  orbitals are available, as is the case for  $J_{\perp}$  in  $Pnma$   $LaMnO_3$  and  $J$  in  $CaMnO_3$  (Fig. 6), singlet state correlation energies are significantly larger than the nonet(septet) state correlation energies [105.4 versus 83.3 meV ( $LaMnO_3$ ) and 153.2 versus 133.4 meV ( $CaMnO_3$ )] and the ground states are singlets.

Exchange coupling in  $CaMnO_3$  and  $LaMnO_3$  is largely due to quantum fluctuations in the ground state in which one electron is excited from an  $O^{2-}$  ion into an Mn  $e_g$  orbital. Fluctuations in which an electron is exchanged between  $t_{2g}$  orbitals enter the singlet state in  $Mn_2O_{11}^{14-}$  and  $Mn_2O_{11}^{16-}$  clusters but are not the main contributors to the exchange interaction. Parallel studies of exchange coupling in  $La_2CuO_4$  (Ref. 43) show that  $d_{x^2-y^2} d_{x^2-y^2}$  exchange interactions dominate the exchange coupling in  $La_2CuO_4$  and  $O$  to  $d_{x^2-y^2}$  excitations have a lesser weight than  $Cu^{1+}Cu^{3+}$  excitations in the  $La_2CuO_4$  ground state. This difference in exchange coupling mechanism most likely reflects trends in effective Hubbard  $U$  parameters for  $Mn^{3+/4+}$  and  $Cu^{2+}$  and  $O$   $2p$  to metal  $d$  excitation energies.

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## APPENDIX: DETAILS OF CALCULATIONS

The methods used to generate localized orbitals and point charge arrays and the basis sets and CI computer codes used in this work are described in this section. UHF calculations on the crystalline solid were performed using the CRYSTAL98 code.<sup>34</sup> The basis sets used for both crystal UHF calculations and cluster CI calculations were identical Gaussian orbital basis sets designed for use in the solid state. They are slightly modified versions of the basis sets available from the CRYSTAL98 website.<sup>44</sup> Outer exponents of the Gaussian functions were modified so that the total energy in a UHF calculation on  $\text{CaMnO}_3$  was minimized. The original basis sets had been optimized for different Mn ionic solids. The basis sets used in all calculations are the all-electron basis sets for Mn [86-411d41G (Ref. 45) with two  $d$ -orbital exponents, optimized for  $\text{CaMnO}_3$  by changing the outer  $d$  exponent to 0.259]; O [8-411G (Ref. 45) with a principal quantum number up to  $n=4$ , optimized for  $\text{CaMnO}_3$  by changing the outer  $sp$  exponents to 0.4763 and 0.22]; Ca [86-511d3G (Ref. 46) with the outer  $d$ -orbital exponents optimized for  $\text{CaMnO}_3$  to 3.191, 0.8683, and 0.3191], and an La basis set optimized for the  $\text{La}^{3+}$  ion.<sup>48</sup> The La basis set used in this work differs from the cited basis in that the  $5d$  orbital was removed from the basis and the  $6sp$  and  $7sp$  orbitals were replaced by a single  $sp$  orbital exponent of 0.3917.

Different orbital ordered states in UHF calculations were obtained using a feature in the CRYSTAL98 code which increases the diagonal element of the Fock matrix corresponding to a particular orbital for the first few iterations of the calculation. This results in that orbital being unoccupied during those SCF cycles, and allows the wave function to converge to a state which is a local-energy minimum with a particular orbital ordering.

High-spin multiplicity states, such as the septet and nonet states of the clusters used here, are generally well described by a self-consistent-field (SCF) restricted open-shell Hartree-Fock (ROHF) wave function. All electrons on the cluster were treated explicitly—no pseudopotential approximation was used, except in the test calculation with a  $\text{La}^{3+}$  pseudopotential described above. CI calculations were performed in localized orbital bases, rather than the canonical molecular orbital bases derived from the SCF ROHF calculations. Localization of SCF ROHF molecular orbitals was performed using the Foster-Boys algorithm,<sup>47</sup> which generates localized orbitals with maximally separated centroids. Doubly occupied O  $2p$  orbitals, singly occupied Mn  $t_{2g}$  (or  $t_{2g}$  and an  $e_g$  orbital for  $\text{Mn}_2\text{O}_{11}^{16-}$ ) orbitals, and unoccupied Mn  $e_g$  orbitals were localized in three separate localization steps. These must be performed separately in order to preserve invariance of the ROHF total energy, since any mixing between orbitals of different occupancy will increase the total energy. In the localized orbital ROHF wave functions for either spin state of the  $\text{Mn}_2\text{O}_{11}^{14-}$  and  $\text{Mn}_2\text{O}_{11}^{16-}$  clusters, each Mn  $d$ -electron occupies a separate orbital.

Calculations on low-spin multiplicity states of the clusters

used the same sets of localized orbitals. They demonstrate that the localized orbitals generated for the high-spin multiplicity states are very good approximations of the optimal orbitals for open-shell low-spin multiplicity states, and that a high-spin multiplicity ROHF wave function ought to be an excellent starting point for perturbative calculations on high- and low-spin multiplicity states in the solid state.

In a CI calculation on a cluster of this size it is essential to partition the orbital space into a core space (with doubly occupied orbitals), an active space of orbitals which are occupied or unoccupied in the ROHF main configuration, and a space of redundant, unoccupied orbitals which are not used in the calculation. The active orbitals in this work were the three O  $2p$ -localized orbitals on the central  $\text{O}^{2-}$  ion and a set of  $t_{2g}$  and  $e_g$  orbitals on each Mn ion. The direct multireference CI module<sup>31</sup> in the GAMESS (Ref. 28) program was used for this work. The active space consisted of either the (single) fundamental SAF orbitals or the fundamental SAF plus all possible single or double excitations which can be made from the fundamental SAF into empty active space orbitals.

Calculations were performed for clusters with no surrounding point charges and with point charges in a spherical volume surrounding the cluster. The radius of the sphere was over 20 Å and included around 3300 charges. The charges were located on the ionic sites of either  $\text{CaMnO}_3$  or  $\text{LaMnO}_3$ . The effect of truncation of the point charge array at a finite radius was considered in detail in Ref. 25. Using smaller point charge arrays than those used in this work, the authors of Ref. 25 found that the potential at central cluster ions had an rms difference from the full Madelung potential, of about 3%. Mulliken populations derived from UHF crystal calculations were used as guides for point-charge magnitudes. For  $\text{CaMnO}_3$ , UHF Mulliken populations were  $\text{Ca}^{+1.86}\text{Mn}^{+2.13}\text{O}_3^{-1.33}$ . However, in the SCF ROHF cluster calculation, this choice of point charges results in Mulliken populations of  $\text{Mn}^{+2.60}\text{O}^{-1.31}\text{Mn}^{+2.60}$  on the central Mn-O-Mn chain in the cluster. The Mn and Ca point charge magnitudes were adjusted to  $\text{Ca}^{1.15}\text{Mn}^{2.84}\text{O}^{-1.33}$  and this resulted in Mulliken populations of  $\text{Mn}^{+2.17}\text{O}^{-1.61}\text{Mn}^{+2.17}$  on the central Mn-O-Mn chain and populations of  $-1.64$  and  $-1.67$  on the other two O types in the cluster. Note that this adjustment leaves each point-charge unit cell charge neutral, and the point-charge sphere radius is adjusted so that the entire cluster has a charge near zero. The major changes which occur on adjusting the point charges are as follows: charge is transferred from the outer O ions in the cluster to the Mn ions and central O ion, each gaining about  $0.4e$ ; the AF exchange constant changes from 21.0 to 8.1 meV, in agreement with other calculation methods and in reasonable agreement with experiment; the degree of correlation in the wave function decreases sharply. When a  $\text{Mn}_2\text{O}_{11}^{14-}$  cluster with no external point charges is used, the Mulliken populations on the central Mn-O-Mn chain are  $\text{Mn}^{+2.46}\text{O}^{-0.94}\text{Mn}^{+2.46}$ , and the exchange coupling energy is 57 meV.

A similar adjustment of point charge magnitudes was used

for the  $\text{Mn}_2\text{O}_{11}^{16-}$  cluster CI calculations. The Mulliken populations determined from UHF crystal calculations on the experimental *Pnma* structure were  $\text{La}^{+3.15}\text{O}^{-1.75,-1.82}\text{Mn}^{+2.24}$ .

Cluster point charges of  $\text{La}^{+2.80}\text{O}^{-1.80,-1.80}\text{Mn}^{+2.60}$  resulted in Mulliken populations of  $\text{Mn}^{+2.45}\text{O}^{-1.65}$  in the *Pnma* structure.

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- <sup>36</sup>The correlation energy for a molecule, cluster, etc. is usually defined to be the energy difference between that of a SCF wave function and a correlated wave function. Here the correlation energy is defined to be the difference between the fundamental SAF energy for each state and the energy when fluctuations within the limited active space described above are taken into account. Additional correlation energies, for example those due to fluctuations within an O ion, will be larger. However, it is believed that the correlation effects included in this work are the most important in determining exchange constants.
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- <sup>40</sup>These are plots of the difference in the charge density obtained

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