Alcohol pre-treatment to eliminate the interference of micro additive particles in the identification of microplastics using Raman spectroscopy

- 4 Dunzhu Li^{1,2}, Emmet D. Sheerin^{1,4}, Yunhong Shi^{2,1}, Liwen Xiao^{2,3}*, Luming Yang^{2,1},
- 5 John J. Boland^{l,4*}, Jing Jing Wang^{l*}
- 6
- 7 ¹AMBER Research Centre and Centre for Research on Adaptive Nanostructures and
- 8 Nanodevices (CRANN), Trinity College Dublin, Dublin D02 PN40, Ireland.
- 9 ²Department of Civil, Structural and Environmental Engineering, Trinity College Dublin,
- 10 Dublin D02 PN40, Ireland.
- ³TrinityHaus, Trinity College Dublin, Dublin D02 PN40, Ireland.
- ⁴School of Chemistry, Trinity College Dublin, Dublin D02 PN40, Ireland.
- *Correspondence to: Jing Jing Wang, jjwang@tcd.ie; Liwen Xiao, liwen.xiao@tcd.ie; John J.
 Boland, jboland@tcd.ie
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22 ABSTRACT

Raman spectroscopy is an indispensable tool in the analysis of microplastics smaller than 20 23 µm. However, due to its limitation, Raman spectroscopy may be incapable of effectively 24 distinguishing microplastics from micro additive particles. To validate this hypothesis, we 25 characterized and compared the Raman spectra of six typical slip additives with polyethylene 26 and found that their hit quality index values (0.93-0.96) are much higher than the accepted 27 28 threshold value (0.70) used to identify microplastics. To prevent this interference, a new protocol involving an alcohol treatment step was introduced to successfully eliminate additive 29 30 particles and accurately identify microplastics. Tests using the new protocol showed that three typical plastic products (polyethylene pellets, polyethylene bottle caps and polypropylene food 31 containers) can simultaneously release microplastic-like additive particles and microplastics 32 regardless of plastic type, daily-use scenario or service duration. Micro additive particles can 33 also adsorb onto and modify the surfaces of microplastics in a manner that may potentially 34 increase their health risks. This study not only reveals the hidden problem associated with the 35 substantial interference of additive particles in microplastic detection, but also provides a cost-36 effective method to eliminate this interference and a rigorous basis to quantify the risks 37 associated with microplastic exposure. 38

KEYWORDS: microplastic, micro additive particles, Raman spectroscopy, alcohol pretreatment, hit quality index

41 Synopsis

Alcohol pre-treatment eliminates the potential misidentification of the chemical additives and
 microplastic particles released during plastic degradation by enabling particle separation and
 individual analysis.



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48 1. INTRODUCTION

Microplastics (MPs, solid-polymer-containing particles, European Chemical Agency¹) are a 49 growing global concern,²⁻⁴ especially MPs $<10 \mu m$ in size because they can translocate from 50 the gut cavity to the lymph and circulatory systems, causing systemic exposure and 51 accumulation in the tissues of humans and animals.⁵ Currently, Raman spectroscopy is an 52 indispensable tool⁶⁻¹¹ to identify and characterize the chemical composition of single MP 53 particles below 20 µm, including nano-sized MP down to 50 nm¹⁰ (NMPs, <1000 nm^{12, 13}). 54 Raman spectroscopy is non-destructive, highly accurate and generates spectra due to the 55 interaction of light with local bond vibrations.⁶ Despite these advantages, it is incapable of 56 distinguishing between materials with subtle differences in chemical structure, since some 57 fingerprint vibrations such as carbonyl group (C=O) are weakly detected.¹⁴ 58

However, distinguishing between a target substances and reference materials with similar chemical structures is essential in MP studies. Modern plastics are a complex cocktail of polymers, chemical additives and residual monomers.¹⁵ On average, nonfiber plastics contain 93% polymer resin and 7% additives by mass.¹⁶ Typical organic additives consist of small molecules, which are substantially different from the polymers (macromolecules) comprised of repeating monomer units. The potential risks of these additives heavily depend on their physicochemical properties. For instance, the additive butylated hydroxytoluene (BHT) can primarily target liver, increasing liver weight and enzyme activity¹⁷ while the additive erucamide has low toxicity to human health.¹⁸ While polymer-based MPs <10 μ m can translocate and accumulate in tissues, such as liver and kidney, the specific risk of MPs to human health is still unknown.⁵ For these reasons, it is crucial to separately determine the levels of additives and MPs for accurate exposure assessments and effective management of their potential risks.

Many additives are insoluble in water while some (i.e., slip additives) are designed to naturally 72 migrate to the surface of plastics.^{19,20} Consequently, the latter additives are likely to be released 73 into water, especially during the initial stages of plastic degradation. More importantly, some 74 additives have very similar chemical structure to that of their parent polymers. For example, 75 behenamide (CH₃(CH₂)₂₀CONH₂) is a typical slip additive widely used in polyethylene (PE) 76 plastic (i.e., bottle water caps^{21, 22}). It comprises a long saturated alkyl chain terminated at one 77 end by an amide group (i.e., adjoining carbonyl and amine groups). PE polymer, on the other 78 hand, is comprised exclusively of long saturated alkyl chains. Given the strong Raman signal 79 associated with these saturated alkyl chains (i.e., v(C-H)) and relatively weak Raman signals 80 from carbonyl and amine groups,^{14,23} it is extremely difficult to distinguish between pure micro 81 82 additives particles (MAPs) derived from a slip additive such as behenamide and PE MPs from the parent plastic. 83

It is well known in food safety studies that plastic packaging is a significant source of plastic additives that migrate to contacted food.^{19, 24-27} However, there are very few reports of additive particle release in MPs studies. Here, we hypothesize that MAPs released from plastics are potentially misassigned as MPs in MP studies due to the limitations of Raman spectroscopy. To test this hypothesis, we first characterised the Raman spectra of six typical additives and PE in bulk form and as micron-sized particles and found that their hit quality index values (0.93-0.96) are much higher than the accepted threshold value (0.70) used to identify MPs in 91 microplastic studies. To prevent this interference, we introduced a new protocol that includes an alcohol rinse step that successfully separates interfering MAPs from the MPs and allows 92 them to be separately analysed. We then investigated three typical plastic products (i.e., PE 93 pellets, PE caps and Polypropylene-PP food containers) using the new protocol to confirm the 94 simultaneous release of MAPs and MPs regardless of the plastic type, daily use scenario or 95 service duration. This study not only reveals the hidden problem associated with the 96 97 interference of additives in the detection of MPs, but also provides a cost-effective method to prevent this problem. 98

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100 2. MATERIALS AND METHODS

101 **2.1. Precautions for contamination prevention**

During the experiments, the following steps were followed to avoid any potential MP contamination: boro 3.3 glassware were chosen for sample preparation; thoroughly cleaned particle-free nitrile gloves and cotton-based laboratory coats were worn during experiments. All water samples were covered by glass lids and all filtered samples were stored in glass containers. A blank control sample was analysed every ten samples by filtering 100 mL DI water. PE MPs were detected in blank control samples with the average levels of 107 MPs per liter while no PP MPs were found in control studies.

2.2. Studies on standard MPs, MAPs and real-world plastic products

110 **2.2.1.** Preparation of standard MPs and MAPs samples

To investigate standard PE MPs, 5 mg of additive-free standard PE spheres (Cospheric, size range of 3-16 μm) were dispersed in 1 liter of DI water (25 °C) and recaptured using a goldcoated polycarbonate (PC) membrane filter (APC, the pore size of 0.8 μm, herein referred to as the filter). The captured standard PE MPs were then characterized using multiple techniques, such as Raman spectroscopy and atomic force microscopy (AFM). Following the same method, standard micro-sized particles samples of behenamide (Merck), stearamide (TCI), erucamide
(TCI), oleamide (TCI), stearic acid (Merck) and erucic acid (TCI) were also prepared and
investigated.

To validate the newly developed protocol, samples were prepared by mixing standard stock PE 119 spheres and stearic acid additives in DI water and tested. The standard stock PE sphere solution 120 was prepared by evenly dispersing around 10 mg standard PE spheres (in powder form) in 1 121 122 liter DI water via sonication. The PE sphere concentration (around 3000 spheres per mL) in this stock solution was determined by membrane filtration and Raman determination using 123 established protocols.^{7, 28, 29} Test water samples were then prepared by mixing 1mL stock PE 124 sphere solution and 100 mg stearic acid additive in 1 liter DI water (containing around 3000 125 PE spheres and 100 mg stearic acid additive per liter). The water samples were shaken at a 126 speed of 150 rpm for 2 hrs (25 °C) before filtration and test. 127

128 2.2.2. Plastic food containers study

PE and PP are the most widely used plastics, accounting for 33% and 21% of global market share, respectively.²⁰ In this study, PP-based food containers, PE-based bottle caps and Standard PE pellets were chosen to investigate the potential interference of chemical additives in the identification of MPs released from plastic products.

Brand-new PP-based food containers (purchased from local stores) were cleaned thoroughly 133 after removing the packaging. Mimicking daily-use scenarios, the clean containers were filled 134 with 500 mL DI water and microwaved for 5 mins. After that, the containers were covered by 135 the lid and shaken at a speed of 150 rpm for 5 mins to mimic the shaking actions during users' 136 holding, moving and eating. After cooldown, the water samples were filtered using the filter. 137 Raman determination was performed following typical MPs protocol ²⁹⁻³¹ to characterise and 138 quantify the particles on the filter. The filter was then placed onto a glass holder and rinsed 139 using ~30 mL ethanol (HPLC grade, in glass bottle, Fisher Chemical). After the rinse, the 140

remaining particles on the filter were again characterised and quantified using Ramanspectroscopy.

To determine the sources of MAPs, the container experiments were repeated 50 times following the same protocol detailed above. Detailed chemical analysis (before and after ethanol rinse) was undertaken on the water samples from the 10th and 50th run.

146 2.2.3. Standard PE pellets study

147 For standard PE pellets (low density, Merck, nominal size of 5 mm), 5g of pellets were placed in 80 mL DI water in a glass bottle, which was then shaken at a speed of 150 rpm for 4 hrs (25 148 °C), consistent with previous reports.^{32, 33} The pellets were removed from water sample using 149 a stainless steel mesh (mesh size of 1 mm). The particles releases into the water samples were 150 captured using the filter and characterized using multiple techniques. Similar to the container 151 tests, the particles were analysed before and after an ethanol rinse. Before filtration, the water 152 samples were also tested using TOC analyser to determine the concentration of organic Carbon 153 (C) and Nitrogen (N). In addition, the ethanol filtrate from the rinse was drop casted on a gold 154 coated substrate, air dried and tested using Fourier-transform infrared spectroscopy (FTIR). 155

156 **2.2.4. Bottle water caps study**

Bottled water was purchased from local stores and the PE-based water bottle caps (n=4) were carefully rinsed using DI water and placed in 100 mL DI water in a glass flask. The flask was then shaken at a speed of 150 rpm for 4 hrs (25 °C). The particles released into the water samples was captured using the filter and characterized using multiple techniques. Similar to the container tests, the filter was investigated before and after an ethanol rinse. In addition, GC-MS tests were also conducted to determine the composition of alcohol-dissolved MAPs released from the PE cap samples.

2.3. Alcohol treatment to eliminate interference of MAPs on MPs detection

165 Two types of alcohol treatments were conducted: alcohol rinse and in-situ ethanol test. During 166 an alcohol rinse, the filter containing captured particles was placed onto a glass holder and 167 rinsed using \sim 30 mL alcohol (ethanol or methanol). In the case of in-situ ethanol tests, a target 168 particle or a specific region of the filter was exposed to 1 drop of ethanol (20 µL) and was 169 immediately re-imaged after air-drying (Videos. S1-2, detailed in supporting material).

170 **2.4. Characterization and determination of MPs and MAPs**

171 **2.4.1 MPs and MAPs determination using Raman spectroscopy in our lab**

A calibrated Raman spectrometer (Renishaw InVia) equipped with a charge-coupled device 172 173 (CCD), an upright microscope (NT-MDT) and a 532nm laser (Coherent Inc.) was used to identify and quantify MPs captured on the filter surface. For typical particle detection, the 174 accumulation was set to 3 times and exposure time set to 10 seconds, which is similar to the 175 typical test setting for MP determination.^{7, 28, 29} For some particles with weak signals, the 176 accumulation time was increased to obtain clear spectra. The spectra were measured in the 177 range of 500-3500 cm⁻¹. Referring to previous studies, ^{30, 31, 34} the HQI value of 0.70 was set as 178 the threshold for identifying a particle as a *potential* MP. The HQI was obtained by conducting 179 Pearson correlation analysis (OriginPro 8.6) between the target particle and the standard 180 polymer. If necessary, the Raman spectra background of target particles were subtracted before 181 analysis (LabSpec 5). Given the high similarity between MAPs and MPs, in-situ ethanol tests 182 and manual spectra checks were further conducted to confirm whether particles were MPs. To 183 identify a MP, around 20 µL ethanol was drop-casted on the target particle. After air drying, if 184 the morphology changed substantially, additional ethanol was added until there is no significant 185 morphology change. Then, the particle's Raman spectra were collected and compared with the 186 known fingerprint spectra of standard parent polymers. For example, for *potential* PE MPs, 187 characteristic peaks at around 1415, 1440 and 1460 cm⁻¹ were checked, which are associated 188 with the CH₂ vibrations in PE and the level of PE polymer crystallinity.^{35, 36} To quantify the 189

MPs levels, four representative spots (typically 2 spots in the middle area and 2 spots close to the edge of the filter with a total tested area of 1.5 mm²) were analysed. After Raman test, the total number of MPs in tested area were obtained using software ImageJ (US National Institutes of Health). Finally, the MPs level per liter was calculated based on the water sample volume, total area of filter, tested filter area and confirmed MP numbers. Following this protocol, the recovery rate of tests involving standard PE microplastic spheres can reach 93.8%, as previously detailed.^{37, 38}

197 **2.4.2. MPs and MAPs determination using other technologies**

Scanning electron microscope (SEM, Zeiss Ultra Plus), FTIR instrument (PerkinElmer), TOC
analyser (Shimadzu, TOC-L), AFM (NT-MDT) and GC-MS (Shimadzu) were conducted to
characterize and determine MPs and MAPs, the test conditions were detailed at supporting
material.

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203 3. RESULTS

3.1 Challenge of Raman spectroscopy to distinguish MAPs and MPs

We begin with a Raman analysis of standard PE and behenamide (one typical slip additive used in plastic, Figure 1A). The Raman spectra of these two bulk materials showed minor differences. PE showed a small peak at 1415 cm⁻¹ associated with the vibration of CH_{2} ,^{36, 39} while there is a minor peak in the behenamide spectrum at around 3415 cm⁻¹ associated with the NH-amine vibration. However, even for the bulk materials, these differences are too small to distinguish behenamide from PE. The HQI between the bulk behenamide and PE is 0.97, much higher than the typically accepted threshold value (0.70). ^{30, 31, 34}

Behenamide powder and standard PE spheres were then dispersed in DI water and recaptured using an Au-coated filter. Interestingly, a high number of irregular shaped micro-sized solid particles were obtained from the behenamide sample (Figure 1B), which are remarkably similar to the shape of MPs reported in previous publications.^{40, 41} When the particles size decreases to the micro scale, the two small Raman peaks differentiating the materials in the bulk spectra become even weaker and close to the signal-noise ratio level under typical Raman test setting used in MP studies.^{7, 28} For a 5- μ m particle of behemaide, the HQI compared to PE bulk can reach 0.95, as its spectrum is very similar to that of a standard PE sphere (HQI=0.97, compared to PE bulk). Evidently, it is very difficult on the basis of Raman to distinguish between behenamide MAPs and PE MPs.



Figure 1. (A) Raman spectra of PE and behenamide in bulk and micro sized particles. The standard bulk PE sheet obtained from Goodfellow. (B) AFM image of behenamide micro particles on filter surface. (C) Raman spectra of MAPs from six typical slip additives and standard PE and PP sheets.

In addition to the behenamide test, five typical slip additives were also separately dispersed in 236 DI water, re-captured and tested using Raman spectroscopy. In comparison to standard PE, the 237 HQIs for all additive particles were in the 0.93-0.96 range (Figure 1C), much higher than the 238 accepted threshold value (0.70). Moreover, the HQI of these additives compared to standard 239 PP are around 0.8-0.9 and so that these particles may also be easily *mis-assigned* as PP MPs. 240 This confirms that this interference problem is common across a wide range chemical additives 241 typically incorporated into plastics. Improvement in detection and analysis may be achieved 242 by specifically analysing narrow frequency ranges associated with characteristic peaks (e.g., 243 1000-1500 cm⁻¹ to distinguish between PE and slip additives, Figure S1), enhancing the 244 equipment sensitivity, modifying test protocols and analysis algorithms, but it is very difficult 245 for Raman to distinguish between chemical additives and MPs based on currently used MP test 246 protocol.29-31 247

While most additives are insoluble in water (solubility <0.0005 g/100 g (0-60 °C) for stearic 248 acid in water,⁴² Figure S2), they can be dissolved and extracted using alcoholic solvents 249 (solubility 2.3 g/100 g (20°C) - 400 g/100 g (60°C) for stearic acid in ethanol⁴²⁻⁴⁵). Tests using 250 filter-captured oleamide particles show that they are dissolved and removed in a few minutes 251 after exposure to just one drop (20 µL) of ethanol (Video. S1). Crucially, exposure to ethanol 252 has no impact on MPs, as evidenced by the stability of 5µm standard PE sphere after repeated 253 in-situ exposure tests to ethanol (Figure S3). This is consistent with a previous report that most 254 polymers are highly resistant to ethanol and methanol.⁴⁶ 255

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3.2 MAP release and its interference in detection of MPs from plastic products

PP-based food containers were investigated to check for MAP interference under real-world
 conditions. Raman-based chemical determination following the typical MPs protocol²⁹⁻³¹

260 confirmed high quantities $(26,000,000 \pm 11,900,000 \text{ per liter})$ of PE-like MPs released into the water samples during the first use. The HQIs of most tested particles compared to standard PE 261 were over 0.95 (Figure 2A, B). It is also noticeable that the HQIs of tested particles compared 262 to standard PP can reach 0.85-0.89 and so these particles could be mis-assigned as PP MPs 263 since they originated from a PP-based container. This high MP release level is comparable to 264 previous reports on plastic containers (releasing over 1 million MPs per liter^{26, 47} or 1.2-7.6 mg 265 MPs from a single container⁴⁸). However, most of these confirmed MPs dissolved away when 266 the filter was placed onto a glass holder and rinsed using ethanol. FTIR tests of the dissolved 267 particles showed a significant peak associated with carbonyl (C=O) group (1740 cm⁻¹) and 268 library fitting indicates they are slip additives such as stearic acid (Figure S4). After the ethanol 269 rinse, a Raman analysis of the filter confirmed that the PE-like particles (HQIs > 0.95) were 270 271 completely removed. The remaining particles (230 \pm 30 PP MPs per liter) exhibited HQIs values compared to standard PP that were higher than 0.95, exhibiting clear characteristic peaks 272 at around 810 and 840 cm⁻¹ associated with CH₂ rocking, C-C stretching and crystallinity of 273 PP.⁴⁹ Evidently, MAPs can substantially interfere with the determination of MP levels, in this 274 case up to 5 orders of magnitude difference in levels. 275

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Figure 2. (A) Typical Raman spectra of PE-like particle (from plastic food container), PP MP (from plastic food container), standard PE and PP bulk sheet, respectively. The standard bulk PE and PP sheet obtained from Goodfellow. The scale bars in the inserted images are 5 μm. (B) The quantities of PE-like particles and PP MPs during first to 50th test of the plastic food container. (C) SEM images of particles release from standard PE pellets before (upper panel) and (D) after (lower panel) ethanol rinse. All particles were on Au coated PC filter membrane.

301 To check whether this interference is a short-lived effect due to the initial condition of the manufactured product and/or the facile depletion of MAPs from the product surface region, the 302 food containers were repeatedly used 50 times and the water samples from the 10th and 50th run 303 were chemically analysed. Without the ethanol rinse, the PE-like MPs were always higher than 304 18 million per liter during the 50 runs. This result contrast strongly with the low MPs release 305 levels after the ethanol rinse, only 390 ± 40 and 340 ± 70 of PP MPs released during 10^{th} and 306 50th use, respectively. On this basis, there is a consistent level of MAPs released and the 307 interference phenomenon persists over time. This is consistent with the ability of slip additives 308 309 to continuously migrate to the surface, even though they are generally blended inside the bulk polymer.⁵⁰ 310

Distinguishing between PE-like MAPs and PE MPs released from PE parent plastics is even 311 more difficult for Raman spectroscopy. Due to their widespread use in MPs studies, 32, 33, 51, 52 312 commercial standard PE pellets were investigated by shaking in DI water (25 °C). Two types 313 of particles were released: small ball-like particles (1-3 µm) and large irregular fragment (50-314 100 µm) (Figure 2C). Raman determination confirmed both types of particles are PE MPs, with 315 HQIs compared to standard PE for the small ball-like particles and large fragments of 0.89 and 316 317 0.88, respectively (Figure S5). However, most of the small ball-like particles were ethanol soluble. FTIR filtrate tests showed significant peaks associated with carbonyl (C=O) (1750 cm⁻ 318 ¹) and amide (N-H₂) groups (3200-3500 cm⁻¹) while TOC-TN analyser confirmed that the 319 carbon to nitrogen molar ratio (C/N) of these ball-like particles is around 22:1. These results 320 indicates they are likely behenamide or erucamide. As to the large irregular fragments, the 321 quantity and size distribution remained unchanged after the ethanol rinse. Moreover, Raman 322 spectra of the insoluble large fragments exhibited of HQI of around 0.9 compared to standard 323 PE, which indicates that these fragments are *real* PE MPs. 324

Raman-based tests of bottle water caps (a typical PE product) also confirmed that high 325 quantities of PE-like particles are released in the water samples. These PE-like particles were 326 easily dissolved by alcohols, which confirmed that they are MAPs (Video. S2). GC-MS tests 327 showed that the retention time of the main peak from the MAPs released from cap sample was 328 20.5 min (Figure S6). Mass-to-charge ratio (m/z) analysis show that the chemical composition 329 of the main peak matched that of oleamide (Figure S6, with similarity of 94/100). Evidently, 330 331 the release of MAPs is a pervasive phenomenon regardless of plastic types, daily use scenario and service duration. 332

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334 3.3 MAPs modify the surface of MPs

MAPs can attach and modify the surfaces of MPs. Figure 3 shows a large irregular shaped 335 particle (labelled + in Figure 3A) released from a bottled water cap. Raman spectrum from the 336 labelled particle is similar to that of the parent polymer (PE). However, the peak at 1415 cm⁻¹ 337 associated with the vibration of CH₂ from PE is very weak, which indicates this particle may 338 339 be a MAP or MAP/MP mixture. After exposure to 1 drop of ethanol (Figure 3B, in-situ test), the particle topography was substantially changed, with the reduction on the right side of the 340 particle and the emergence of a separate smaller particle on the left. Following additional 341 342 ethanol treatment, the big irregular fragment was transformed into a fiber-like shape, with the loss of material on the left and right (Figure 3C). After 5 drops of ethanol, further ethanol 343 treatment resulted in no significant change in the fiber shape. Raman analysis of the ethanol-344 exposed particle showed that the PE vibration of CH₂ at 1415 cm⁻¹ is visible, which indicates 345 that the mixed additive coating has been removed from the surface of the PE particle. Similarly, 346 for the case of PE pellets samples, it is evident that smaller particles can attach onto the surface 347 of large MPs (Figure 2C, D). After the ethanol rinse, the attached particles are completely 348 removed, which indicates they were likely MAPs. Organic surface coatings on MPs can 349

substantially enhance the cellular internalization capacity of MPs.^{53, 54} Recent studies have also
shown that MP shape is a critical determinant of health risks in humans.⁵⁵ Evidently, alcohol
pre-treatment can reveal/remove MAPs attached on MP surfaces, which enables the *real*morphology and risks of MP to be determined.



Figure 3. In-situ test of micro-plastic and additives mixture particle release from bottle water cap. (A)
Raw particles captured using Au-coated PC filter. (B) Particle changes after the drop and dry of 1 drop
of ethanol. (C) Particle changes after the drop and dry of 5 drops of ethanol. (D) Raman spectra of the
raw particle, particle after 5 drops of ethanol and standard PE, respectively.

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372 3.4 Protocol to test MAPs and MPs separately.

To effectively separate MAPs and MPs and prevent misassignment, an alcohol rinse step was incorporated into a modified protocol (Figure 4). The filter was first washed using alcohol solvent to remove any possible additive contamination in the filter. After that, the filter was used to capture the MPs and MAPs particles from the water sample. The captured particles were then rinsed by alcohol. Tests using real samples (food containers, PE pellets samples, etc.) confirmed that a rinse with ~30 mL ethanol/methanol for 30 mins is sufficient to remove the interfering MAPs. Finally, the residual particles on filter surface were analysed using
Raman spectroscopy while the alcohol filtrate containing the dissolved MAPs was analysed
using other techniques (i.e., GC-MS).

To validate this protocol, a water sample was prepared by mixing standard PE spheres (3-16 382 µm) and stearic acid in DI water. The PE sphere level was 3000 particles per liter, which is 383 similar to the MP level previously reported.⁵⁶ Following the proposed protocol, the PE spheres 384 were collected and counted yielding a recovery rate of 93.8%, which is comparable to previous 385 report.⁵⁷ In addition, the presence of stearic acid dissolved in the methanol rinse was also 386 successfully identified using GC-MS (Figure S7). As to the topography of PE spheres, the 387 original round and smooth surfaces were initially modified by MAP attachment but fully 388 recovered to original smooth shape after the methanol rinse (Figure S8). Hence, the developed 389 protocol can successfully and effectively separate additives from MPs and avoid 390 misassignment, while facilitating the characterisation of the true topography of MPs. 391





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406 4. DISSCUSSION

407 **4.1 The challenges to avoid MAPs in MPs detection**

Accurately mimicking real world conditions is critical to quantitatively determine the levels of 408 MPs released from plastics, including their true morphology. For example, in the manufacturers' 409 instructions for microwaveable PP food containers, the contents (water and/or food) are 410 suggested to be thoroughly heated and allowed cool down before consuming. During this cool 411 412 down period the plastic container will continue to release MPs and MAPs at a rate and level following which an accurate assessment of the risk to the consumer is possible, including the 413 414 formation of MAPs/MPs composite particles where MAPs become attached to the surfaces of MPs (Figure 3). This is crucial to accurately assess MPs translocation inside the human body 415 and for a rigorous risk assessment of MPs to human health.53 416

In this paper, we identified that plastics can release up to five orders of magnitude higher levels 417 of MAPs than MPs (Figure 2). Moreover, the small quantities of released MPs may be blended 418 or even covered by MAPs (Figure 3), making it extremely difficult or even impossible to find 419 and cleanly detect MPs using Raman. There are two possible contributions to this behaviour: 420 MAPs are initially released at the surface as mobile molecular species or clusters that aggregate 421 into a very small particles (less than 20 µm) when they come into contact with water (Figure 422 2). Another possible contribution is the migration of additives directly from the bulk to 423 creep/cover the plastic surface.^{22, 25, 50, 58} Our studies showed that an initial prewash of the 424 plastic part/product in ethanol had no substantial effect on the subsequent MAP release, 425 demonstrating that there is facile transport to the surface, consistent with the dominant release 426 of MAPs over MPs. Longitudinal studies will be required to determine the exact profile of the 427 MAP release and whether the level wanes after extended use, beyond the 50 product cycles 428 reported in this work (Figure 2B) 429

Although typical slip additives were characterized here, the potential interference from other
additives is also expected given that over 400 additives are used in just 10 types of plastics.⁵⁹
For example, BHT is a typical antioxidant widely used in PP. The HQI of BHT to PP is around
0.8, higher than the accepted threshold value. This indicates that water-insoluble BHT could
potentially interfere in the determination of PP MPs.

435 Great care must also be taken in the analysis of environmental and other organic-rich samples such as the ground/marine water, sediments and soils samples. Particle separation based on 436 density differences are widely used in MP study.⁶⁰ However, this method is not able to separate 437 MAPs from MPs efficiently as these MAPs have similar density to LDPE (Table S1). In 438 addition, the melting point of behenamide and stearamide are similar to PE while others are 439 lower than that of PE. This indicates that the temperature-based separation method may only 440 be useful for certain additives. As to digestion, most studies (~ 60%) did not involve a digestion 441 process to remove organic material during sample isolation and preparation,⁶ which means that 442 there is potential blending and interference of MAPs during MP detection. Only a small portion 443 of these studies involve a sample digestion process aimed at removing environmentally-444 intoduced organic matter but even this will likely fail to remove MAPs effectively. For 445 example, digestion of behenamide using three typical chemicals (H₂O₂, HCl, and NaOH, 1 M, 446 60 °C 24 hours, following typical digestion processes ^{57, 61-63}), fails to remove behenamide 447 MAPs. 448

In addition to micro-sized particles, the interference of nano-sized MAPs (NMAPs, < 1000 nm)
is also likely. Raman spectroscopy is the most widely used non-destructive technique for NMPs
characterisation.⁸⁻¹¹ Our case study confirmed the high quantities of NMAPs are released from
PE plastic (Figure S9). However, the potential interference of NMAPs in NMPs determination
is expected to be even greater given that the signal/noise ratio decreases as the MPs size
decreases (Figure 1). Hence, the prevention of NMAPs interference is also essential.

455 **4.2 The misassignment of MPs and MAPs**

Given the similarity between some MAPs and MPs, the high quantity of MAPs release and the 456 lack of preventative steps (~ 60% of MPs studies did not involve a digestion pre-treatment⁶), it 457 is likely that MAPs interference played a significant role in many previous MPs studies. For 458 some reported PE MPs confirmed by Raman analysis, there was no vibration of CH₂ at around 459 1420 cm^{-1 7,9,10,28} but instead the presence of an extra peak at around 3100 cm⁻¹.^{7,28} In addition, 460 a peak around 1750 cm⁻¹ associated with carbonyl group was also observed in the case of other 461 confirmed PE MPs.²⁸ These minor changes in the Raman spectra are generally attributed to 462 environmental weathering and/or the inclusion of additional compounds and pigments.²⁸ 463 However, it is evident that pure MAPs can account for these Raman features (Figures 1-2). 464 HQI analysis based on a full spectrum comparison is widely used in MPs studies but it is 465 difficult to distinguish and account for minor differences (Figures 1-3). Additionally, in some 466 instances just a few characteristic vibrational bands associated with the specific polymer were 467 used for MPs determination and chemical spectra mapping.⁶⁴⁻⁶⁶ However, comparing MAPs of 468 typical slip additives to standard PP using the spectra range between 2500-3200 cm⁻¹ (Figures 469 1 and 2), the characteristic peaks at 2720, 2850 and 2880 cm⁻¹ perfectly match that of PP. The 470 comparison to standard PP using this narrow spectral range yields HQIs for typical slip 471 additives' particles (i.e., oleamide and erucic acid) of over 0.9, much higher than the accepted 472 threshold value. 473

MAP misassignment clearly occurred in studies of plastic food containers. There were over a million MPs per liter released in the present study and in previous reports.^{26, 47, 48} However, after an ethanol rinse, the MPs level dropped by 5 orders of magnitude in this study. Similarly, while large quantities of MP were reported to be released from plastic baby feeding bottles^{3, 37} our re-assessment based on the new alcohol protocol showed that the levels of MPs decreased from over 1 million per liter to around 100-100,000 per liter, which depends on multiple factors, such as the brand type and duration of use. Beyond the cases mentioned above, the problem of
unintentional misassignment of MAPs in previous MPs studies is significant and primarily due
to the unanticipated limitations of the detection technologies and sampling protocols used.
Evidently, a re-assessment of the MPs release levels is required, especially in instances where
extremely high levels were reported.

The misassignment of MPs and MAPs can also result in an inaccurate risk assessment and false 485 management given their different physicochemical properties and toxicity. Firstly, while MAPs 486 are particles, they are easily broken down into their constituent molecules. MPs are highly 487 recalcitrant macromolecules that are inert, with very poor biodegradability.⁶⁷ For instance, 488 there is only negligible weight loss observed when PE was kept in moist soil for 12-32 years.⁶⁷ 489 In contrast, many MAPs have good biodegradability (European Chemicals Agency). For 490 instance, over 70% of stearic acid mixed in soils can be biodegraded to CO₂ within 3 months.⁶⁸ 491 The toxicity of MPs and MAPs to human health can be substantially different. For MAPs, it 492 was reported that BHT chronically damages the liver, such as increase the liver weight and 493 enzymes activity.^{17, 69} The chronic exposure to erucic acid can lead to myocardial lipidosis, 494 though this effect is reversible and transient.⁷⁰ As for erucamide, oleamide, behenamide, 495 stearamide and stearic acid, EU Commission regulation¹⁸ pointed out that they are allowed in 496 the production of food grade plastic materials, which indicates that they should have 497 498 insignificant risk to humans. An assessment conducted by the Canadian Environment and Health Department also confirmed that erucamide and oleamide have low toxicity to human 499 health.⁷¹ As for MPs, a previous study found that MPs smaller than 10 µm can translocate and 500 accumulate in tissues, such as liver and kidney.⁵ However, the specific risks of MPs to human 501 502 health is still unknown⁵ although the negative health impact of MPs on animals is widely reported.⁷²⁻⁷⁴ Currently, studies focusing on MPs toxicity characterization use both commercial 503 MP spheres and real irregular MPs generated from bulk plastics. However, there was no 504

consideration given to the potential levels of additives in these MPs samples. The types and 505 concentrations of additives in MPs can be significantly different. For instance, antioxidant 506 additives can range from 0.05% to 3% (by mass) in the same type of plastics.²⁰ It is interesting 507 to note that toxicity studies using the same type of MPs draw contradicting conclusions⁵⁵, 508 which may reflect different levels of additives incorporated in plastics sourced from different 509 manufacturers. Obviously, this requires further investigation. However, it should be noted that 510 511 the mixing of MAPs and MPs and the coating of the latter by the former may enhance the cellular internalization capacity and alter the toxicity of MPs. Clearly, it is crucial to have an 512 effective method to separate and investigate MPs and MAPs to accurately assess such risks. 513

514 **4.3 Cost-effective method to distinguish between MAPs and MPs**

To date, there is still no consensus on a standard MP test method.⁷⁵ Researchers and regulators are making huge efforts to develop reliable MP detection methodologies. Recently, the California Water Boards developed a MP analytical method that involves spiking water samples with typical polymer particles (PE, PS, etc.) and conducting a cross-laboratory validation.⁷⁶ However, due to the omission of MAPs, this approach will likely be incapable of accurately determining MPs in real-world water samples that will unavoidably contain significant quantities of polymer-like MAPs.

Our proposed protocol with an alcoholic solvent rinse step achieved effective separation of 522 523 interfering MAPs from MPs and enabled quantitative chemical analysis of each separately. Interestingly, 50% ethanol treatment was previously employed in an MPs study to destroy the 524 foam generated during filtration⁵⁶, which indicates that ethanol treatment may have multiple 525 advantages in MPs detection. While here we used Au-coated PC filter, the alcohol rinse is also 526 suitable for other types of filters, such as aluminium oxide filter in our preliminary test. 527 However, alcoholic solvents may not be effective for all MAPs used in plastics or other organic 528 matter introduced from the surrounding environment. Further improvements are possible and 529

achievable. Increasing the ethanol temperature is an effective method to substantially increase 530 the solubility of many organic additives^{42, 45} (Figure S2). It should be noted that directly adding 531 ethanol into water samples at room temperature is not effective for removing MAPs due to the 532 extremely low solubility of additives in water/ethanol mixture regardless of the mixing ratio.⁴⁵ 533 However, raising the temperature or changing the solvent can effectively increase the 534 solubility. In addition, directly adding isopropanol into water samples at room temperature in 535 the ratio of 50/50 (volume) should be feasible to remove MAPs interference.⁷⁷ Other solvents 536 such as acetone and polysorbate 80 are also potential choices. While there are numerous solvent 537 538 combinations, it is critical to ensure the solvents do not damage the target MPs.

Taken together, we have demonstrated that additives and polymers, the two major components 539 of plastics, can simultaneously release micron-sized particles, which substantially interfere 540 with an accurate determination of MPs release levels using Raman spectroscopy. Alcoholic 541 solvent pre-treatment is proved to be a cost-effective method to enable the separation, detection 542 and analysis of MPs and MAPs. By tuning the solvent types, pre-treatment conditions and 543 filtration methods, researchers can systematically explore the release quantity/size, the 544 attachment/detachment process and morphology changes of MAPs and MPs under different 545 conditions. Crucially, the approach provided here can be used to re-assess the accuracy of 546 previous MPs studies and avoid potential misleading results in future MPs research. 547

548

549 ASSOCIATED CONTENT

550 Supporting Information

The Supporting Information associated with this article can be found in another file (9 Figures,
1 Table and 2 Videos).

553 Methods for in-situ alcohol treatment; methods for particle characterization using SEM, AFM,

554 FTIR, etc; videos for in-situ alcohol treatment; Raman, FTIR and GC/MS test results for

additives and microplastics, respectively; stearic acid solubility in water and ethanol, respectively; In-situ ethanol test of standard PE sphere; SEM images of PE coated by additives and rinsed by ethanol, respectively; nano-sized additives particles; information summary of typical additives and polymers.

559

560 AUTHOR INFORMATION

561 Author Contributions

J.J.W. L.X. and J.J.B conceptualized and supervised the study, reviewed and edited the manuscript,
D.L performed the experiment, analysed the data and wrote the original manuscript, E.S performed the
experiment (FTIR and SEM), Y.S and L.Y prepared samples and performed the experiment (Raman),
and all authors provided comments on the manuscript.

566 Notes

567 The authors declare that they have no known competing financial interests.

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