

Submission for the Award of Doctor of Science (DSc)

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## DECLARATION:

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In submitting this application, I declare that:

- this thesis has not been submitted as an exercise for a degree at this or any other university.
- the listed publications are my original work, or work in which I had a significant role as part of a collaborative project.
- I agree to deposit this thesis in the University's open access institutional repository or allow the Library to do so on my behalf, subject to Irish Copyright Legislation and Trinity College Library conditions of use and acknowledgement.
- I consent to the examiner retaining a copy of the thesis beyond the examining period, should they so wish (EU GDPR May 2018).

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And finally, to my best-friend, soulmate and love of my life David – this is for you.

## CONTEXT AND BACKGROUND:

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“BASIC RESEARCH IS THE PACEMAKER OF TECHNOLOGICAL PROGRESS... . NEW PRODUCTS AND NEW PROCESSES DO NOT APPEAR FULL-GROWN. THEY ARE FOUNDED ON NEW PRINCIPLES AND NEW CONCEPTIONS, WHICH IN TURN ARE PAINSTAKINGLY DEVELOPED BY RESEARCH IN THE PUREST REALMS OF SCIENCE”

*1945 report by Vannevar Bush: Science, The Endless Frontier.*

In research and throughout my career, my commitment to translational medicine has been underpinned by the singular objective of using clinical research to advance human health. The underlying theme of my research is to understand the causes and molecular basis of the development of disease, with particular reference to cancer, and to apply this knowledge to improving disease prevention, detection, diagnosis, and treatment. Over many years, I have maintained a strong track record in developing novel molecular diagnostics and translating this research into clinical service.

I work closely with industry bringing novel technologies and applications to the translational research setting. My work is widely published, cited and has been referenced in seminal textbooks. Since joining Trinity College, I have held many senior leadership roles including, Director of Postgraduate Teaching & Learning of the School of Medicine, where I was responsible for more than 500 postgraduate students and 20 taught Masters and Diploma Courses spanning a broad spectrum of medical and scientific disciplines. During this time, I also introduced several online postgraduate courses.

In 2012, I was appointed chair of College’s Research Ethics Policy Committee (REPC) having chaired the Faculty of Health Sciences Research Ethics Committee (REC) for the previous 7 years. In this role, I developed policy documents and was responsible for aligning Research Ethics Committees across College. I have served on the Joint SJH/TUH hospital REC for 15 years. During the pandemic I was deputy chair of the national REC for covid and was subsequently appointed as deputy chair of the national REC for clinical trials.

I am a key advisor of molecular diagnostics, medical ethics, and policy issues to various governmental and other groups at a National and International levels. I am recognised as a Key Opinion Leader internationally and I act as a scientific advisor to biotech partners (including Becton Dickinson, ThermoFisher, Illumina and Qiagen) and the European Commission (through my work on the Advisory Committee for SC-1 in H2020).

In 2016, I was appointed Director of the Trinity Translational Medicine Institute where I lead an exclusively health sciences-focused educational and research institution, embedded within the acute hospital setting. My philosophy at TTMI centred on the acquisition of knowledge to enhance human wellbeing and thus realise human benefit. It was underpinned by achieving excellence in research. TTMI's strategy of improving human health through translational research is predicated on clinical, laboratory-based and health service research informed by real world clinical bedside problems, and societal and global health challenges.

Throughout my career I have built a research infrastructure, networking nationally and internationally, which continues to make a real difference in people's lives who are affected by cancer. This research forms the bedrock of service provision and interdisciplinary innovation, and this work has contributed to the ambition for a Trinity St James' Hospital Comprehensive Cancer Centre. That ambition is well underway, and the recognition of research excellence is evident with OECl accreditation of the Trinity St James' Cancer Institute in place.

As genomics-focused pharmacology begins to play a greater role in cancer treatment, molecular diagnostics is emerging as a valuable method for obtaining a deeper and more accurate look into the molecular underpinnings of individual tumours.

These technologies have the potential to change the future of oncology and advance the promise of personalised or precision medicine and create new frontiers in health care. They will provide hope to meet a wide range of public health challenges. The essence of this approach, which aims to facilitate the application of basic scientific discoveries in clinical and community settings, is central to my research ethos and has underpinned my role in leading programmes including Trinity Translational Medicine Institute and the Trinity- St James's Cancer Institute.



For more than two decades I have contributed impactful research to the area of molecular diagnostics. My research outputs have been sustained, are held in high regard, and have influenced policy nationally and internationally, and it is on this basis that I have applied for this recognition of my activities.

## OVERVIEW OF NATURE AND EXTENT OF RESEARCH ACTIVITY

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### GENERAL BACKGROUND:

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My research career began in the diagnostic histopathology laboratory setting. As a novice researcher, I was charged with establishing a molecular pathology suite adjacent to the diagnostic service laboratory. In doing this my intention was to establish a translational research bridge, exploring areas that might impact on routine service delivery.

There are several facets to my research which can be seen over a 30-year timeframe, that demonstrate consistency and continued research outputs that are significant at local and international levels.

- Sample Preparation and Assay design.
- Functional Genomics including mRNA Gene and miRNA Expression analyses.
- Genomic analysis focussed on driver mutations of cancer progression
- Elucidation of key pathways underpinning cancer progression and metastasis.

### KEY ASPECTS OF RESEARCH

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My research is of international consequence, and my name is synonymous with the design and development of novel molecular diagnostic assays. I have used these design skills to investigate biological changes that drive disease and have validated many of these assays for use in the clinical setting. I have procured grants worth (gross) >€35M, published >130 peer reviewed journal articles and presented my work on the international stage. In maintaining an excellent record of demonstrated ability, and high-calibre outputs throughout my 30-year career, I have contributed significantly to the development of preventive/therapeutic approaches to areas of unmet clinical need. My work epitomises the medical model that

proposes the customization of healthcare, with medical decisions, practices, or products being tailored to the individual patient – or precision medicine.

In that regard, my research is exclusively patient centred and is uniquely placed to develop and enhance translational research for the benefit of patients and to improve the health of the community. My research resonates at the cutting edge of evidence-based medicine with the integration of basic and clinical research, to advance human health. My position within the Department of Histopathology means that I understand the diagnostic and prognostic deficiencies within current clinical practice and many of the research innovations made under my supervision have been geared towards enhanced clinical diagnostic service delivery.

My research focuses on elucidating the underlying mechanisms of human disease with a focus on functional genomics. I have made seminal discoveries into the pathobiology of cancers including thyroid (see refs: 29, 32, 49, 59, 60, 66, 68, 70, 73, 79, 81, 82, 84, 85, 88, 90, 92, 96, 104, 106, 107, 108, 110, 113, 116, 118, 119, 123) and cervix (see refs: 41,50, 61,69,77,78,100,102, 105,109), which have resulted in major changes to the way patients are treated globally. I have also compiled an extensive body of work on prostate (see refs: 1,2,5,6,9,14,20,25,36,56,62,86,91,94,98) and ovarian cancers (see refs: 23,35,43,48, 53,54,57,64,67,74,75,80).

I have secured competitive and continuous grant funding over three decades with income exceeding €35M (total). This funding has been sourced nationally and internationally and from conventional funding agencies and industrial partnerships including, Irish Cancer Society, Health Research Board, Science Foundation Ireland, Enterprise Ireland, EU – through FP5,6 & 7 and Horizon 2020, and partnerships with industry including ThermoFisher Life Technologies, Becton Dickinson Life Sciences, Qiagen and Illumina.

I act in an advisory capacity for several biotech companies including Becton Dickinson, ThermoFisher, Illumina and Qiagen. As a result of my research in assay design and innovation, I procured European Reference Lab status from ThermoFisher. This industry recognition of my research ensures pre-commercial launch access to novel chemistries, designation as a

beta test site for new technology platforms and analysis kits, and access to substantial in-kind consumables and equipment.

The international significance of my research is further recognized by invitations to deliver plenary and keynote addresses at leading conferences in Pathology and Human Genetics, examples of which include American Society of Human Genetics (Salt Lake City), Chips to Hits (Boston), United States and Canadian Academy of Pathology (Chicago), European Association of Pathology (Barcelona), AACR (San Francisco), IonWorld (Amsterdam), Pathological Society of UK and Ireland.

A significant logjam in the adoption of molecular diagnostic techniques into routine usage in the 1990's was the inability to extract nucleic acids reliably and reproducibly from archival fixed tissue samples. To overcome this, I developed extraction protocols and worked on assay design to ensure degraded samples could effectively be interrogated and reliable results obtained. (e.g. refs 79, 114, 116, 118, 119, 121, 123, 124).

Having established techniques to successfully extract nucleic acids (DNA and RNA (mRNA and miRNA)), I worked with industry to develop new chemistries to be incorporated into fluorescent dyes for optimal detection of gene expression. This work continued to evolve by incorporating multiple dyes in single assays to yield multi-plex analyses (e.g. refs 69,73, 93,107), and ultimately to whole genome expression detection (e.g. refs 32, 37, 85, 88,90,92,106). These approaches led to seminal discoveries that elucidate critical components in carcinogenesis (e.g. refs 76, 102,105, 109, 119,123). This work was also the foundation upon which direct translation of many molecular diagnostic assays were incorporated into routine clinical diagnostics service provision.

The ability to successfully manipulate and investigate RNA species from archival tissues led to a further group of work examining the role of micro RNAs in driving or curtailing cancer progression (for example in refs 29, 49, 51, 70). My work with biotech partners has developed a suite of methodologies that enable enhanced extraction of nucleic acids from archival tissues, reproducible detection of gene expression from archival tissues and targeted detection of clinically relevant driver mutations. I have worked to develop a multi-omics approach that simultaneously detects mRNA transcripts and cell surface markers at a single cell level. These innovations have transformed the diagnostics laboratory's ability to

interrogate the patho-biology, and genetic evolution of disease states and target specific therapies for individual patients at a specific time course in their disease.

My credentials in manipulating and detecting rare transcripts in clinical samples led to several collaborations including work in sepsis and viral bronchiolitis (33), von Willebrand Disease (22, 27,28), psychotic depression (26) and allergic lung inflammation (37). Recently, I led an innovation to develop an application for multi-omics analysis as a predictor of outcome for sepsis patients. This work involved optimising a high-throughput single-cell multiomic analyser and included a microwell-based instrument platform equipped with quality monitoring features, an array of RNA and protein assays and software tools. This pilot and developmental study enabled the identification of relevant subsets of lymphocytes based on known surface marker signatures. The combination of single-cell multiomics and high-dimensional data analysis further enabled a clear resolution of distinct subsets of cells defined by unique protein and gene expression signatures. These likely represent unique differentiation states and potential prognosticators of disease severity. This proof of principle study has been adopted and expanded both within the Trinity SJH network and by the BD international alliance consortium members.

Invited to work as a lead on an EU funded consortium project, I used my skills in manipulating difficult/denatured biological samples and assay design to develop a microfluidics lab on a disk platform. This was used for rapid diagnosis of neonatal sepsis. This interdisciplinary work involving life sciences and engineering was expanded to explore methods to isolate single cells from mixed cell populations (examples listed in refs 39, 58). The prototype generated has been further developed for use in many near patient testing settings globally.

For almost three decades I have worked with industry partners to develop and optimise new chemistries and to clinically evaluate workflows. In this context my laboratory has acted as a reference laboratory for these partners, and this has enabled my researchers to access pre-commercial reagents and instruments. In collaborating with industry, I have also driven the establishment of multinational consortia to design, validate and implement panels of assays for early molecular diagnostics (examples OncoNetwork and Multi-Omics consortia – see refs including 3,8,19,21,24). I have continuously worked to design, develop, validate, and implement, discrete assays and panels of targeted assays for the early detection of cancer,

and for the prediction of those patients who may be directed to specific treatment regimens. In collaboration with these multinational consortia, I have developed a significant programme concerned with molecular diagnostics and prognostics. I worked to develop a simple next Generation Sequencing (NGS) data analysis and interpretation systems predicated on semiconductor sequencing to help understand the impact of genomic variants and rapidly process genomic data using predefined optimized workflows to identify genetic abnormalities and novel variants. This research has established novel sequencing technologies as routine diagnostic testing regimens in clinical laboratories worldwide. Additional transformational significance of this work has seen Trinity College affiliated St James's Hospital become Ireland's first accredited Cancer Molecular Diagnostics Laboratory.

My research on Human Papilloma Virus (HPV), Human Immunodeficiency Virus (HIV), human herpes Virus 8 (HHV-8) and virally driven cancers has informed national policy and has been used in the implementation of vaccination programmes internationally. This work has led to innovations in objectifying conventional screening based on markers identified in my lab that correlate with progression, and by elucidating the pathobiology of HPV in the context of virally driven cancers. I have worked in conjunction with pharma to validate iterations of vaccine and data from these projects has informed international policy regarding national vaccination and screening strategies (15, 30, 31, 41, 44, 45, 50, 69, 76, 97, 102, 105, 109, 117, 120, 122, 125, 126).

Over the past decade I have been researching the process of metastasis and this continues to be the focus of my research activity. I have discovered key elements of the metastatic process which enhance our understanding and offer the prospect of novel treatment options (refs 4,5,12,17,24,32,34, 57). I have designed protocols to identify and characterise biologically relevant circulating tumour cells, cell free DNA and tumour derived exosomes, and a novel algorithm for the integration of tumour derived analytes from peripheral blood for incorporation into early cancer diagnostics and treatment planning.

My research on metastasis and the role of host immune system during metastasis has identified novel potential therapeutics. This emerging field of liquid biopsy is set to change the face of personalised medicine and this element of my research is currently being expanded through a collaboration with Becton Dickinson and the support of Enterprise

Ireland. Metastasis is a major challenge of cancer and accounts for greater than 90% of total cancer lethality. Despite intense efforts to understand the underlying mechanisms of how cancer spreads, treatment of metastatic cancer has not improved. Most treatments and research efforts address basic changes that happen within the metastatic cancer, but an alternative is to study the metastatic process itself, and that has been a focus of my research in the past 10 years. I have shown that successful metastatic cancer cells interact with blood platelets for protection. My work has examined the interplay between tumour cells that are circulating through blood and platelets. I have also examined the mediators that facilitate this process and am currently interrogating a phenomenon called platelet education by tumour cells. The reason underpinning this body of work is to understand the process and then to identify targets at crucial points along the metastatic cascade that are amenable to treatment.

My experience in designing novel diagnostics was employed at the commencement of the pandemic. I designed a LAMP based assay for the detection of covid-19 in self-collected saliva samples. This methodology was used by Trinity to provide a twice weekly screening service for staff and students throughout 2020-2022. It formed the basis of a national programme funded by SFI to monitor incidence and prevent outbreaks in four Irish universities.

Many PIs who have a strong track record in ongoing research have little additional time for contributions to teaching or College service. However, I have achieved and maintained national and international stature and continuous funding while remaining committed to undergraduate and postgraduate teaching, including new course design and curricular development. I have also held and made significant contributions in major administrative roles, informing policy, facilitating enhanced research capacity and academic excellence.

Overall, my research has led to discoveries highlighting pivotal mutations in cancers and directly influencing improved treatment of patients. In essence my research has been the difference between life or death for many patients. My research outputs have been sustained, impactful and disruptive over many years. They have changed routine histopathology service delivery internationally and have enabled early detection and targeted treatment options for patients with a cancer diagnosis.

I believe these outputs to be indicative of a continued and esteemed contribution to the field of Molecular Diagnostics. My research is positioned in the translational space and juxtaposes novel basic biology discovery with implementation in a clinical setting to advance biomedicine and patient outcomes and wellbeing. My work in early cancer diagnostics and theranostics is recognised globally and has made tangible differences in the way patients are managed. The translation of basic research to clinically relevant assays has had an industry disruptive and transformative influence on molecular diagnostics.

I believe this application provides sufficient information to establish that I hold international standing in the field of molecular diagnostics as demonstrated by my publications and research network. My research outputs have been sustained for three decades and have led to major innovations and additions to knowledge within and beyond my field.



## SELECTED PUBLICATIONS

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In this section I shall highlight a selection of publications to demonstrate:

- international standing
- sustained contribution
- major contribution to knowledge within the discipline
- impact on developments in the field

I have selected papers that span more than two decades to reinforce the constant rate of research outputs over this period, and that speak to the broad areas of impact introduced in the General Background section of this document. The selection has been compiled with an eye to including papers demonstrating technical advances which have opened new avenues for further research and those which have attempted to uncover mechanisms underpinning disease progression and elucidating potential therapeutic targets. The publication of each paper in this section has preceded invitations to deliver keynote lectures on their content. This reinforces the notion that they are recognised within the discipline of molecular diagnostics for their significance in elucidating molecular pathways of disease progression. The research has eradicated technical barriers to the reproducible analysis of archival tissues and the findings have been pivotal in the implementation of novel diagnostics for routine use in clinical laboratories.

Thyroid cancer is the most frequent endocrine malignancy. Papillary thyroid carcinoma (PTC), which accounts for approximately 80% of cases, is the most frequent of all thyroid malignancies. In the wake of the Chernobyl nuclear accident in 1986, there was an exponential rise in the diagnosis of thyroid cancers among those exposed to radioactive fallout. This enabled a rapid and comprehensive discovery, and analysis of key mutations, principal among which are chimeric variants of *ret/PTC*. Papillary thyroid carcinoma (PTC) is frequently associated with *RET* gene rearrangements that generate the so-called *RET/PTC* oncogenes. The rearranged during transfection (RET) proto-oncogene, located on chromosome 10q11.2, was isolated in 1985 and shown to be activated by a DNA rearrangement (rearranged during transfection). It encodes a single-pass trans-membrane tyrosine kinase that functions as the receptor (receptor tyrosine kinase, RTK) for the growth factors of the glial cell line-derived neurotrophic factor family. The prevalence of RET/PTC rearrangements in thyroid cancer varies widely probably reflecting not only the geographic variation but also the different procedures used to identify RET/PTC rearrangements.

This paper is important for two reasons. First, it detailed technical advances and novel assay design to enable reproducible and reliable extraction and detection of RNA from archival tissues, and further it applied this technical advance to elucidate the presence of *ret/PTC-1* mutation among autoimmune thyroiditis specimens. This was the first time the mutation was described in benign albeit neoplastic tissues. It demonstrated the importance of *ret/PTC-1* as a facilitator of malignant transformation rather than a driver of it, and the importance of myriad genetic aberrations in tumour heterogeneity.

The finding has been included in Rosai and Ackerman's Surgical Pathology textbook (11th Ed) as a seminal finding in deciphering the molecular pathology of thyroid neoplasia. Moreover, this paper set the stage for a corpus of research conducted under my supervision into thyroid neoplasia and MAP-Kinase signalling, including the concept the canonical WNT/ $\beta$ -catenin pathway plays a pivotal role in the development of thyroid neoplasia.

I was first author on this publication, and I was solely responsible for procuring funding for, designing the project, performing the laboratory work and drafting the manuscript.

## *ret*/PTC-1 Activation in Hashimoto Thyroiditis

O. M. Sheils,\* J. J. O'Leary,† V. Uhlmann,† K. Lüttich,†  
and E. C. Sweeney\*

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Activation of *ret*/PTC-1 has been documented in a minority of papillary thyroid carcinomas (PTC). In a recent study, the authors' group detected the presence of *ret*/PTC-1 in association with a background of florid lymphocytic thyroiditis (LT) in 58% of cases of PTC studied, which prompted them to examine the incidence of RET/PTC-1 expression in 27 examples of various forms of nonlymphomatous lymphoid infiltration of the thyroid by using TaqMan RT-PCR. Overall, 21 cases (78%) were found to express the chimeric transcript of *ret*/PTC-1. Eighteen cases of Hashimoto thyroiditis were positive (95%), and, of these, three had concomitant PTC while the remainder had no histologic evidence of associated malignancy. Three cases of lymphocytic thyroiditis demonstrated activated *ret*/PTC-1 (43%), two having associated PTC. These data suggest either that *ret*/PTC-1 is an indicator of follicular thyroid cell activation or that *ret*/PTC-1 activation is an early event in malignant transformation. If the latter is the case, it may be that, in a defined subset of the cell population, *ret*/PTC-1 activation elicits an autoimmune response, which, while possibly curtailing the development of PTC in the majority of cases, results in destruction of the thyroid parenchyma. *Int J Surg Pathol* 8(3):185–189, 2000

**Key words:** Hashimoto thyroiditis, *ret*/PTC-1, TaqMan RT-PCR.

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Hashimoto thyroiditis is by far the most common form of thyroiditis, with a female incidence some 20 times that of males [1]. The majority of patients are hypothyroid on presentation and have elevated serum thyrotropin concentrations. The histologic changes of diffuse lymphoplasmacytic infiltration of the thyroid with germinal center formation, Hürthle cell change, and variable fibrosis are well recognized. However, the trigger that induces the observed autoimmune response in these patients is unknown.

Several chromosomal translocations and inversions involving the *c-ret* protooncogene have been documented in papillary thyroid carcinoma (PTC) [2]. Activation is engendered by a genetic re-

arrangement where the tyrosine kinase domain of the oncogene is juxtaposed to a second gene. To date, seven characterized varieties of *c-ret* activation have been described in addition to one undefined rearrangement termed *ret/x* [3], with *ret*/PTC-1 occurring most frequently. This variant comprises the tyrosine kinase domain of *c-RET* and a partial sequence of a gene termed H4 [4].

The possibility that antigenic alterations induced by activation of *c-ret* (such as loss of ligand binding and transmembrane domains or adhesive junctions) are responsible for eliciting an immune response has been postulated [5]. In this study, using TaqMan RT-PCR, 95% of Hashimoto thyroiditis tissue samples showed evidence of *ret*/PTC-1 activation. The observations of Wirtschafter et al. [6], who found mRNA expression for *ret*/PTC-1 and /or *ret*/PTC-3 in 95% of patients categorized as having Hashimoto thyroiditis with use of RT-PCR, support the concept of *ret*/PTC-1 activation playing a central role in this phenomenon.

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Reprint requests: Prof. E. C. Sweeney, Department of Histopathology, C.P.L., St. James's Hospital, Dublin 8, Ireland.

### Materials and Methods

Twenty-seven thyroiditis cases accessioned between 1992 and 1998 were included in the study. All tissues were fixed in 10% formal saline and embedded in paraffin. Diagnosis was confirmed on H&E-stained sections in all cases. In this study we evaluated 19 patients with Hashimoto thyroiditis (HT), three of whom had been found to have occult PTC, five cases of nonspecific lymphocytic thyroiditis (LT), two cases of LT associated with PTC, and one case of Graves' disease with heavy lymphocytic infiltration of the gland. Cases were analyzed for the presence of *ret/PTC-1* activation by use of RT-TaqMan PCR.

As previously described [7], attention was given to standard anticontaminating procedures. A single 20 µm section was cut from each block and placed in a sterile Eppendorf tube. Sections were dewaxed in xylene, and RNA extracted by use of a PUREscript RNA Isolation Kit (Gentra Systems Inc. MN, USA), with previously described modifications.

Reverse transcription of extracted RNA was performed by means of a GeneAmp RNA PCR kit (Perkin Elmer, CA, USA) by following the supplied recommended reaction conditions. The derived cDNA was used as a template in the TaqMan reaction. Primers designed with use of Primer Express Software (PE-Biosystems) were as follows:

<i>ret/PTC-1</i> F	CGC GAC CTG CGC AAA
<i>ret/PTC-1</i> R	CAA GTT CTT CCG AGG GAA TTC C
<i>ret/PTC-1</i> Probe	CCA GCG TTA CCA TCG ACC ATC CAA AGT

Primers for *ret/PTC-1* detection were designed to flank the junction between the tyrosine kinase encoding region of *c-ret* and the 5' terminal sequence of H4. The probe was designed to span the *c-ret*-H4 fusion point for *ret/PTC-1*. cDNA from the TPC-1 cell line was used as a positive control for *ret/PTC-1* rearrangement. Primers and TaqMan probe for the housekeeping gene glyceraldehyde phosphate dehydrogenase (GAPDH) were used to confirm the integrity of extracted RNA. Polymerase chain reaction (PCR) was carried out with use of a PE 9600 thermal cycler with TaqMan Core Reagents (PE Applied Biosystems) followed by sequence detection using an ABI Prism 7200 Sequence Detector.

### Results

*ret/PTC-1* chimeric transcripts were detected in 18 of 19 (95%) cases of Hashimoto thyroiditis, in addi-

tion to the control cell line (TPC-1). Sixteen of these cases (84%) had no evidence of malignancy, while the remaining three (16%) displayed concomitant PTC. mRNA extracted from both areas of PTC and Hashimoto thyroiditis showed activated *ret/PTC-1* in each case. Seven cases of lymphocytic thyroiditis (LT) were examined for expression of the chimeric RET/PTC-1 transcript. Three of seven cases of LT had positive signals for *ret/PTC-1*. Of these cases, two had associated PTC. A single case of Graves' disease was analyzed and was negative for RET/PTC-1 expression (Fig. 1). All cases from HT and LT cohorts harboring PTC were positive for *ret/PTC-1* activation (Fig. 2). Those cases of HT and LT with PTC were biochemically hypothyroid on presentation. Among the nonspecific LT group, three were euthyroid on presentation with the remainder hypothyroid, and the single case of Graves' disease was biochemically hyperthyroid (Table 1).

Statistical analysis comparing the incidence of *ret/PTC-1* positivity with thyroiditis demonstrated a significant association ( $p=0.0104$ ) (Table 2).

Successful amplification of the extracted RNA was achieved in all cases, as demonstrated by positive signals for GAPDH.

### Discussion

The *ret/PTC* oncogene, an activated form of *c-ret*, has been described as a specific phenomenon in some papillary thyroid carcinomas (PTC) [8]. In a recent study, our group demonstrated a statistically significant incidence of *ret/PTC-1* activation with concomitant thyroiditis in PTC [5]. These findings prompted us to examine a series of tissues from various forms of nonlymphomatous lymphoid infiltration of the thyroid, among which we detected the *ret/PTC-1* chimeric transcript in 78%.

The activation of the PTC oncogene has previously been thought to be restricted to PTC [9], although there are varying opinions as to whether it may be associated with indolent [10] or aggressive [11] variants of the disease. The detection of *ret/PTC-1* in 94% of patients (14/15) with Hashimoto thyroiditis without evidence of malignancy was unexpected and poses a number of interesting questions: (1) Is it possible that Hashimoto thyroiditis may represent an early malignant (pre-microscopic) state to which a subset of patients are able to mount an immune response, curtailing the development of PTC, albeit with the autoimmune destruction of thyroid tissue? (2) Is it possible that *ret/PTC-1* is a marker for follicular cell activation rather than being linked with the specific development of PTC? The fact that follicular adenomas and

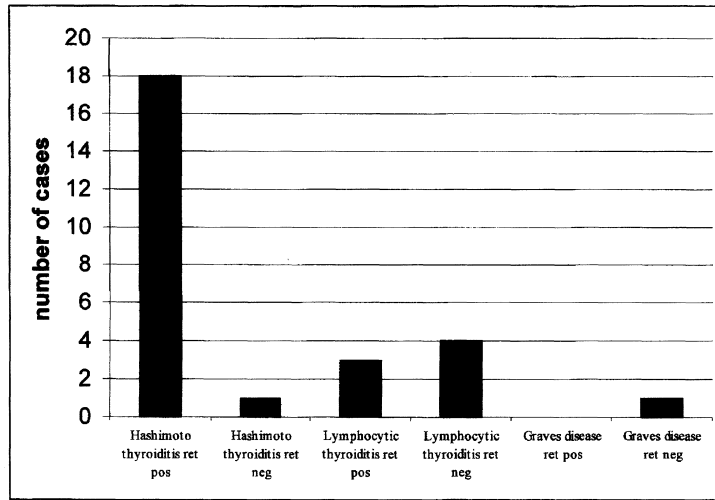


Fig. 1. RET/PTC-1 expression and associated thyroiditis.

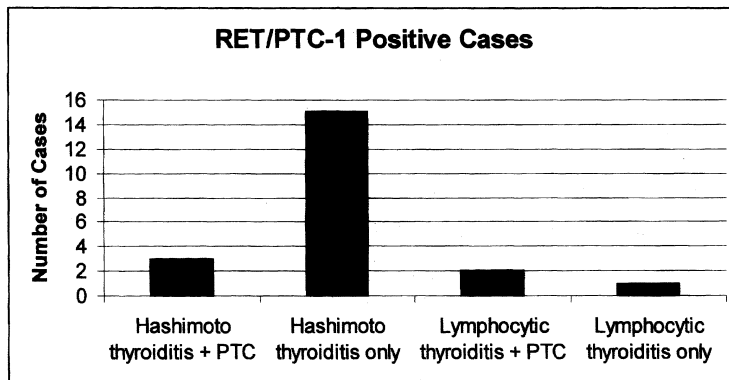


Fig. 2. Incidence of *ret/PTC-1* activation and PTC in thyroiditis.

other benign lesions of the thyroid have not been shown to express RET/PTC-1 militates against the latter postulate.

Among lymphocytic thyroiditis cases examined, 43% (three of seven) demonstrated activated *ret/PTC-1*; in two of these cases this positivity could be ascribed to concomitant PTC.

Wirtschafter et al. [6] have documented the presence of chimeric transcripts of *ret/PTC-1/3* in 100% of cases of Hashimoto thyroiditis. However, the criteria used by this group to assign a diagnosis of Hashimoto thyroiditis are unclear, for their study cohort comprised 11 cases of Hashimoto thyroiditis, seven of papillary thyroid carcinoma, and three fol-

**Table 1. Diagnosis, Biochemical, and *ret*/PTC-1 Status**

Case #	Diagnosis	<i>ret</i> /PTC-1 Status	Biochemical Status
1	HT	Positive	Hypothyroid
2	HT	Positive	Hypothyroid
3	HT	Negative	Hypothyroid
4	HT	Positive	Hypothyroid
5	HT	Positive	Hypothyroid
6	HT	Positive	Hypothyroid
7	HT	Positive	Hypothyroid
8	HT	Positive	Hypothyroid
9	HT	Positive	Hypothyroid
10	HT	Positive	Hypothyroid
11	HT	Positive	Hypothyroid
12	HT	Positive	Hypothyroid
13	HT	Positive	Hypothyroid
14	HT	Positive	Hypothyroid
15	HT + PTC	Positive	Hypothyroid
16	HT	Positive	Hypothyroid
17	HT	Positive	Hypothyroid
18	HT + PTC	Positive	Hypothyroid
19	LT + PTC	Positive	Hypothyroid
20	HT + PTC	Positive	Hypothyroid
21	LT + PTC	Positive	Hypothyroid
22	LT	Negative	Euthyroid
23	LT	Negative	Euthyroid
24	LT	Negative	Euthyroid
25	Graves' disease	Negative	Hyperthyroid
26	LT	Negative	Hypothyroid
27	LT	Negative	Hypothyroid

HT=Hashimoto thyroiditis, LT=lymphocytic thyroiditis, PTC=papillary thyroid carcinoma.

**Table 2. Statistical Analysis of Results**

	<i>ret</i> /PTC-1 Positive	<i>ret</i> /PTC-1 Negative
Hashimoto thyroiditis	18	1
Lymphocytic thyroiditis	3	4

Fisher's Exact Test. The two-sided p value is 0.0104. There is a significant association between rows and columns.

licular adenomas. Their conclusion, that expression of a chimeric transcript for either *ret*/PTC-1 or *ret*/PTC-3 was synonymous with the presence of microcarcinoma, even though microscopic examination of multiple sections did not reveal any tumor, is untenable. In addition, their data indicate activation of *ret*/PTC in follicular adenomas (FA). This finding is supported by the work of Bouancer et al. [12], who demonstrated RET rearrangements in 45% of FA. However, our study did not reveal *ret*/PTC-1 activation among the cohort of FA examined, a finding supported by other workers [2,13].

While we have found activation of *ret*/PTC-1 in 95% of Hashimoto thyroiditis cases, we believe that the mere presence of *ret*/PTC-1 transcripts does not automatically imply a diagnosis of PTC. Among the cases in our study cohort, only three were found to have concomitant PTC, the remainder (16/19), having no evidence of tumor on exhaustive examination. This caused us to speculate that *ret*/PTC-1 activation itself may trigger the autoimmune response characteristic of Hashimoto thyroiditis, linked either to the action of the constitutively activated TK moiety of RET or to altered expression of H4. H4 is the other gene affected in the generation of the *ret*/PTC-1 chimeric transcript, and it has been suggested that H4 codes for a cytoskeletal protein having structural homology with actin [14]. In a previous study [5], our group demonstrated a failure to express H4 when the *ret*/PTC-1 transcript is present. Furthermore, it has been suggested that *ret*/PTC-1 activation may influence the growth pattern in PTC [14,15] owing either to (1) failure of expression of

the cytoskeletal protein H4 leading to altered cellular structure or (2) the fact that RET/PTC-1 is constitutively phosphorylated. Yap et al. [14] have shown that increased tyrosine phosphorylation alters thyroid epithelial organization in culture by altering the assembly of actin-associated adhesive junctions. They have shown that under the influence of tyrosine phosphorylation, thyroid cells lose their capacity to form follicles and spread and migrate into confluent monolayers. It is possible, therefore, that similar cytomorphologic alterations with inherent antigenic modification occur *in vivo* in association with *ret/PTC-1* activation and may contribute at least in part to the initiation of the autoimmune response characteristic of Hashimoto thyroiditis.

### Acknowledgments

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P16INK4A AS A MARKER FOR CERVICAL DYSKARYOSIS: CIN AND CGIN IN CERVICAL BIOPSIES  
AND THINPREP™ SMEARS

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J CLIN PATHOL 2003;56:56-63

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Cervical cancer is one of the most common gynecological malignancies. In recent years, the implementation of cervical cancer screening has resulted in the effective control of cervical cancer incidence. However, many deficiencies still exist in the current screening techniques and strategies. With advancements in cervical cancer screening research, immunochemical staining to determine cervical cytology has shown a broader application prospect in the early screening for cervical cancer, especially for triage in cervical cancer screening. Among the various biomarkers established to facilitate early screening is the cell cycle regulatory protein known as p16<sup>INK4a</sup>, which has demonstrated to be highly sensitive and specific marker of high-grade squamous and glandular neoplasia of the cervix due to its overexpression in cancerous and precancerous cervical neoplasia.

The cyclin-dependent protein kinase inhibitor p16<sup>INK4a</sup> is a negative regulator of the cell cycle pathway of cyclinD-CDK4/6-pRb-E2F that regulates the transition from the G1 to the S phase of the cell cycle and normally functions as a cell cycle brake or tumour suppressor. The expression of p16 is reduced in many cancers by mutation, deletion of the gene, or hypermethylation of its promoter. However, in the cases of cervical high-grade squamous intraepithelial lesion (HSIL) and carcinomas induced by persistent infection of high-risk HPV (HR-HPV) that contributes to neoplastic progression through the action of E6 and E7 viral oncoproteins, the product of p16 has been shown to be overexpressed as a result of functional inactivation of retinoblastoma protein (pRb) by the HPV E7 protein, which due to that the loss of pRb function should result in the release of the P16 gene from negative transcriptional feedback control.

This manuscript documented the capacity for p16<sup>INK4a</sup> to serve as a marker of dysplastic squamous and glandular cells of the cervix with a sensitivity of 99.9% and a specificity of 100%. The study clearly indicated the utility of this biomarker as a reliable marker for dysplastic squamous and glandular cervical cells in tissue sections and in cervical ThinPreps. The use of p16INK4a immunohistochemical analysis as a complement to conventional



screening programmes has contributed to a reduction of false positive and false negative results, has contributed to cost efficiency and increased public confidence in cervical cancer screening programmes.

I was Co-PI on this project and was responsible for procuring funding, designing the study, supervising the laboratory research, and drafting the manuscript.

## ORIGINAL ARTICLE

p16<sup>INK4A</sup> as a marker for cervical dyskaryosis: CIN and cGIN in cervical biopsies and ThinPrep<sup>TM</sup> smears

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*J Clin Pathol* 2003;56:56-63

**Aim:** To examine the potential of p16<sup>INK4A</sup> as a biomarker for dysplastic squamous and glandular cells of the cervix in tissue sections and ThinPrep<sup>TM</sup> smears.

**Methods:** Immunocytochemical analysis of p16<sup>INK4A</sup> expression was performed on 22 normal cervical tissue samples, five cervical glandular intraepithelial neoplasia (cGIN), 38 cervical intraepithelial neoplasia 1 (CIN1), 33 CIN2, 46 CIN3, and 10 invasive cancer cases (eight squamous and two adenocarcinomas). All samples were formalin fixed and paraffin wax embedded, and immunohistochemical analysis was carried out using a mouse monoclonal anti-p16<sup>INK4A</sup> antibody after antigen unmasking. The staining intensity was assessed using a 0 to 3 scoring system. In addition, the expression status of p16<sup>INK4A</sup> was examined in 12 normal ThinPrep smears, one smear exhibiting cGIN, and a total of 20 smears exhibiting mild, moderate, and severe dyskaryosis. Human papillomavirus (HPV) detection was carried out using a modified SYBR green assay system. Fluorogenic polymerase chain reaction (PCR) and solution phase PCR were used for specific HPV typing.

**Results:** p16<sup>INK4A</sup> immunoreactivity was absent in all normal cervical tissues examined. Dysplastic squamous and glandular cells were positive for p16<sup>INK4A</sup> expression in all cases included in this study, except for one CIN3 case. p16<sup>INK4A</sup> expression was mainly nuclear in CIN1 cases, and both nuclear and cytoplasmic in CIN2, CIN3, cGIN, and invasive cases. All cases positive for HPV expressed the p16<sup>INK4A</sup> protein, although not all cases found positive for p16<sup>INK4A</sup> were HPV positive. In general, the p16<sup>INK4A</sup> staining intensity was lower in cases negative for HPV or those containing a low risk HPV type.

**Conclusion:** This pattern of overexpression demonstrates the potential use of p16<sup>INK4A</sup> as a diagnostic marker for cervical squamous and also glandular neoplastic lesions. In addition, the technique can be used to identify individual dyskaryotic cells in ThinPrep smears. Thus, p16<sup>INK4A</sup> is a useful marker of cervical dyskaryosis.

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Cervical cancer is one of the most common forms of cancer in women worldwide. In developing countries, cancer of the uterine cervix is ranked second, with a relative frequency of 15% of all cancers in women, whereas in developed countries cervical cancer is ranked fifth, with a relative frequency of 4.4%.<sup>1</sup> The Papanicolaou (Pap) test, as described by G Papanicolaou, is a cytological staining technique, which allows the identification of asymptomatic women who have preneoplastic lesions or early cancer of the uterine cervix. Although the introduction of mass screening programmes in developed countries has been effective in reducing cervical cancer mortality and morbidity rates, the success of the Pap smear test is limited with respect to sensitivity and specificity. False negative rates for cervical premalignant lesions and cervical cancer lie between 15% and 50% and false positive rates of approximately 30% have been reported.<sup>2</sup> This failure may reflect the subjectivity of cytological diagnosis. In addition, histological analysis of biopsy samples taken from women with abnormal smears can (as with cytology) be affected by interobserver discrepancies.<sup>3</sup> The failure of the Pap test to eradicate this potentially preventable disease outlines the limitations of current screening programmes and emphasises the need for the identification of specific biomarkers for dysplastic epithelial cells of the cervix to aid in primary screening and lesion diagnosis. The use of specific markers of dysplasia of the cervical epithelium in conjunction with current cytological or histological procedures could greatly improve the accuracy, precision, and sensitivity of cervical cancer screening programmes.

"The failure of the Pap test to eradicate this potentially preventable disease outlines the limitations of current screening programmes and emphasises the need for the identification of specific biomarkers for dysplastic epithelial cells of the cervix"

A wide array of immunohistochemical markers have been tested to evaluate their specificity in staining dysplastic cells in either biopsies or cytological smears.<sup>4</sup> Two proteins involved in the regulation of DNA replication, Cdc6 and Mcm5, are specific markers for active replication. Cdc6 and Mcm5 have been shown to mark dysplastic cells, although their clinical usefulness is limited because they are unable to differentiate precisely between proliferating dysplastic cells and normal proliferating cells.<sup>5</sup> The MN antigen, a transmembrane glycoprotein containing a carbo-anhydrase domain, has also been described as a potential diagnostic marker for dysplastic and cancerous cervical epithelial cells. However, immunoreactivity of MN has been seen in normal columnar and squamous cells in immature metaplasia, and several cases with dysplastic lesions were negative for MN immunoreactivity.<sup>6</sup> Thus, the identification of a definitive marker for dysplastic cervical epithelial cells is still required to aid in the future diagnosis,

**Abbreviations:** BSA, bovine serum albumin; cdk, cyclin dependent kinase; CIN, cervical intraepithelial neoplasia; HPV, human papillomavirus; Pap, Papanicolaou test; PBST, phosphate buffered saline/Tween 20; PCR, polymerase chain reaction; pRb, retinoblastoma protein; TBS, Tris buffered saline

**Table 1** Primers and probes used in human papillomavirus (HPV) detection and typing

HPV	Primer or probe	Sequence
DgHPV	Primer 1 Primer 2	TTT GTT ACT GTG GTA GAT AC GAA AAA TAA ACT GTA AAT CAG C
HPV-16 L1A	U primer 6564 L primer 7012 U probe 6862	CCT TAT TGG TTA CAA CGA GCA C GCG TCC TAA AGG AAA CTG ATA TA CCC CAG GAG GCA CAC TAG AAG AT
HPV-18 L1A	U primer 6548 L primer 6993 U probe 6902	GTT ACA TAA GGC ACA GGG TCA T CGT CCA AGG GGA TAT TGA TC AAA GGA TGC TGC ACC GGC T
HPV-31 L1A	U primer 6490 L primer 6930 U probe 6852	GAT GCA ACG TGC TCA GGG A GCG ACC CAG TGG AAA CTG ATC TA CCC AAA AGC CCA AGG AAG ATC
HPV-33 L1A	U primer 6490 L primer 6964 L probe 6787	GGT TAC TTC CGA ATC TCA GTT ATT T TCC CAA AGG AAA CTG ATC TAA A TGT TAA ACC AAA TTG CCA ATC TTC T
HPV-6B	Primer 1 Primer 2	CCT GTT TCG AGG CGG CTA TCC ATA GTA CAA TTT AGC TTT ATG AAC CGC GCC TTG GTT
HPV-11B	Primer 1 Primer 2	TGT GTG GCG AGA CAA CTT TCC CTT TGG TTA TTT AGT TTT ATG AAG CGT GCC TTT CCC

A primer pairs were designed by Swan *et al.*<sup>18</sup> B primer pairs were designed based on the following GenBank accession numbers: HPV-6, AF12648, X00203.1; HPV-11, NC 001525.1, M14119.1.

prognosis, and treatment of cervical intraepithelial lesions and cervical cancer.

The important role of human papillomaviruses (HPV) infection in cervical carcinogenesis is now well established. Indeed, HPV infection has been detected in almost all preneoplastic and neoplastic lesions of the cervix.<sup>7</sup> HPV is the most diverse group of DNA viruses involved in human disease, with more than 80 different types identified, approximately 30 of which can infect the cervical epithelium and give rise to various lesions of the cervix. HPV subtypes are subdivided into three categories according to risk: high, intermediate, and low. High risk HPV types, in particular HPV types 16 and 18, have been identified in more than 99% of cervical cancers.<sup>8</sup> HPV contributes to neoplastic progression predominantly through the action of two viral oncoproteins, namely E6 and E7, which interact with various cell cycle regulatory proteins.<sup>9</sup>

One such regulatory protein is the retinoblastoma gene product (pRb). pRb is a tumour suppressor, which inhibits the progression of cells into S phase and is regulated via phosphorylation by cyclin D1, complexed with cyclin dependant kinases (cdks).<sup>10</sup> Progressive and prolonged phosphorylation of the Rb protein leads to its inactivation and reduction of its growth suppressive activity.<sup>11</sup> The HPV E7 protein specifically binds to and inactivates pRb. This inactivation is mediated by the release of E2F-like transcription factors from pRb, which allows the activation of cdk and transcriptional activation of target promoters.<sup>12, 13</sup>

The CDKN2A gene product, the p16<sup>INK4A</sup> protein, is a tumour suppressor protein that inhibits cdk4 and cdk6, which phosphorylate the Rb protein. A reciprocal relation between p16<sup>INK4A</sup> and pRb expression has been seen, suggesting the presence of a negative feedback loop allowing pRb to limit the concentration of p16<sup>INK4A</sup>.<sup>14, 15</sup> p16<sup>INK4A</sup> overexpression has been demonstrated in cervical cancers as a result of functional inactivation of pRb by the HPV E7 protein.<sup>16</sup> This overexpression highlights the possible potential of p16<sup>INK4A</sup> as a marker for cervical intraepithelial lesions and cervical cancer.

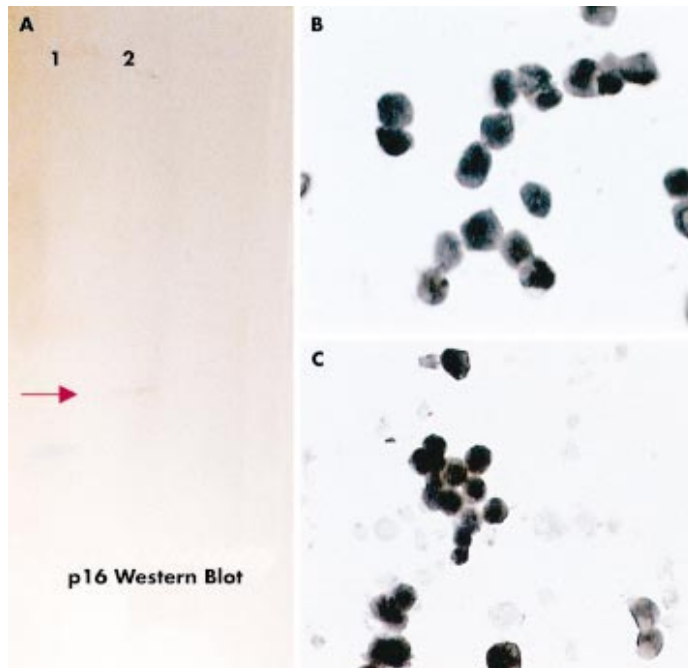
In our study, we examined the potential of p16<sup>INK4A</sup> as a biomarker for dysplastic squamous and glandular cells of the cervix. Immunocytochemical analysis of p16<sup>INK4A</sup> expression was carried out using an anti-p16<sup>INK4A</sup> mouse monoclonal anti-

body on a large number of formalin fixed and paraffin wax embedded samples of normal, cervical intraepithelial neoplasia 1 (CIN1), CIN2, CIN3, cervical glandular intraepithelial neoplasia (cGIN), and invasive cancer cases. The expression status of p16<sup>INK4A</sup> was also examined on several ThinPrep<sup>TM</sup> smears exhibiting mild, moderate, and severe dyskaryosis. p16<sup>INK4A</sup> immunoreactivity was absent in all normal cervical tissues examined. Neoplastic squamous and glandular cells were positive for p16<sup>INK4A</sup> expression in all cases included in our study, except for one CIN3 case. This expression was nuclear in a small number of CIN1 cases, although the remaining CIN2, CIN3, cGIN, and invasive cases showed a combination of nuclear and cytoplasmic staining. As previously reported by Klaes *et al.*,<sup>17</sup> our results demonstrate that p16<sup>INK4A</sup> is a reliable and sensitive marker of dysplastic squamous cells of the cervix. Our results also indicate that p16<sup>INK4A</sup> is a sensitive and specific marker of glandular intraepithelial neoplasia and adenocarcinoma of the cervix. In addition, we found that the immunocytochemical assay for p16<sup>INK4A</sup> can be performed successfully on ThinPrep slides. In our study, p16<sup>INK4A</sup> identified exfoliated cells exhibiting CIN and cGIN in ThinPrep smears. No non-specific staining of normal squamous cells was seen in the normal smears examined; however, sporadic staining of inflammatory and metaplastic cells was identified.

## MATERIALS AND METHODS

### Tissues and cytology samples

Cervical biopsy samples were selected from the pathology files of the Coombe Women's Hospital, Dublin. All samples were fixed in formalin and embedded in paraffin wax by conventional techniques. Haematoxylin and eosin stained slides of all samples were reviewed and classified by a certified pathologist. A total of 22 normal cases were selected, in addition to five cGIN, 38 CIN1, 33 CIN2, and 46 CIN3 cases. Eight invasive squamous and two adenocarcinomas were also selected. A total of 12 normal ThinPrep smears, in addition to one smear exhibiting cGIN and a total of 20 smears exhibiting mild, moderate, and severe dyskaryosis, were also included in our study. Cervical samples were collected using the ThinPrep cytology collection system (Cytoc Corporation, Boxborough, Massachusetts, USA), according to the manufacturer's protocol.



**Figure 1** Western blot analysis of p16<sup>INK4A</sup> protein extracted from CaSki cells using mouse monoclonal anti-p16<sup>INK4A</sup> antibody (clone G175-405). (A) Lane 1, molecular weight standards; lane 2, anti-p16<sup>INK4A</sup> clone G175-407. (B,C) Immunocytochemical analysis of cell line cytopins using anti-p16<sup>INK4A</sup> antibody [clone G175-405]. Strong immunoreactivity was seen both in (B) CaSki and (C) C33A cervical carcinoma cell lines.

#### HPV detection and typing

After dewaxing of paraffin wax embedded sections, DNA extraction was carried out using a Gentra DNA isolation kit (Puregene, Minneapolis, Minnesota, USA), according to the manufacturer's protocol. Absolute measurement of extracted DNA was carried out using a Taqman real time quantitative polymerase chain reaction (PCR) assay for  $\beta$  actin (Applied Biosystems, Foster City, California, USA). HPV detection was then carried out using a modified SYBR green assay system (Applied Biosystems). A general HPV "degenerate" primer set that detects sequences within the L1 open reading frame of at least HPV types 6, 11, 16, 18, 30, 31, 32, 33, and 39 was used (table 1). Each 25  $\mu$ l PCR reaction contained 1 $\times$  SYBR buffer, 4.5mM MgCl<sub>2</sub>, 200nM deoxynucleoside triphosphates, 150nM each primer (GAP1 and 2), 1.25 U TaqGold polymerase, and 100 ng template DNA. After denaturation for 10 minutes at 95°C, amplification conditions were as follows: 40 cycles (each) of 15 seconds at 95°C, 30 seconds at 42°C for primer annealing, and 30 seconds extension at 72°C. Amplification was carried out in a 7700 sequence detection system (Applied Biosystems). Interpretation of results was performed using Applied Biosystems' sequence detector software.

Fluorogenic PCR was used for specific HPV typing. Each 50  $\mu$ l PCR reaction contained 10mM Tris (pH 8.3), 50mM KCl, 4.5mM MgCl<sub>2</sub>, 200 $\mu$ M deoxynucleoside triphosphates, 0.3 $\mu$ M each primer, 50nM each fluorogenic probe (probe and primer pair sequences for HPV 16, 18, 31, and 33 are shown in table 1), 0.025 U Taq polymerase/ $\mu$ l, and 10  $\mu$ l of template DNA. After template denaturation for two minutes at 95°C, amplification conditions were as follows: 40 cycles (each) of 30 seconds at 94°C, 10 seconds at 60°C, and two minutes at 65°C.<sup>18</sup> Amplification was carried out in a 7700 sequence detection system (Applied Biosystems). Interpretation of results was performed using Applied Biosystems' sequence detector software.

Solution phase PCR was used for HPV-6 and HPV-11 typing. Each 50  $\mu$ l PCR reaction contained 10mM Tris/HCl (pH 8.3), 50mM KCl, 1.5mM MgCl<sub>2</sub>, 0.01% gelatin, 200 $\mu$ M of each dNTP, 1.0 $\mu$ M of each primer, 2.5 U Taq polymerase, and 5  $\mu$ l of template DNA. Samples were subjected to 40 cycles of PCR in a Perkin Elmer Gene Amp PCR system 2400. Each PCR cycle consisted of one minute at 94°C, two minutes at 55°C, and three minutes at 72°C (extended to 10 minutes in the final cycle).

#### Antibodies

Several commercial p16<sup>INK4A</sup> specific monoclonal antibodies are currently available. These include clone DCS-50 (Oncogene, Research Products, Cambridge, Massachusetts, USA), clone ZJ11, and clone JC8 (Neomarkers, Fremount, California, USA). Clone G175-405 (PharMingin, San Diego, California, USA) was chosen for our study based on performance results published by Geradts *et al.*<sup>19</sup>

#### Characterisation of p16<sup>INK4A</sup> antibody by western blot analysis

CaSki cells were homogenised in RIPA buffer (Santa Cruz Biotechnology, San Diego, California, USA). The protein extract was then separated by electrophoresis on a 15% sodium dodecyl sulfate polyacrylamide electrophoresis gel and then transferred to a nitrocellulose membrane using transfer buffer containing 25mM Tris/HCl, pH 8.3, 192mM glycine, and 20% methanol. The blot was preblocked with 3% Marvel in TTBS (20mM Tris/HCl, pH 7.5, 0.5 NaCl, and 0.05% vol/vol Tween 20). The blot was then incubated with purified mouse anti-human p16<sup>INK4A</sup> antibody (Clone G175-405, PharMingin). After three 10 minute washes in phosphate buffered saline/Tween 20 (PBST; 136mM NaCl, 26mM KCl, 15mM KH<sub>2</sub>PO<sub>4</sub>, 82mM Na<sub>2</sub>HPO<sub>4</sub>, and 0.05% vol/vol Tween 20) the blot was then incubated in biotinylated universal secondary antibody (Vectastain

ABC kit; Vector Laboratories, Burlingame, California, USA) for 30 minutes. This was followed by three washes in PBST and incubation in an avidin–biotin complex (Vectastain ABC kit; Vector Laboratories) for 30 minutes. Immunoreactive bands were detected with diaminobenzaminidine (Vector Laboratories) (fig 1A).

#### Immunocytochemistry on paraffin wax embedded tissues

Sections (4 µm thick) were cut from formalin fixed, paraffin wax embedded biopsy samples and mounted on saline coated glass slides. Sections were dewaxed by passage through xylene and then rehydrated in graded alcohol. Endogenous peroxidase activity was blocked by incubating the sections in 0.3% H<sub>2</sub>O<sub>2</sub>/methanol for 30 minutes. Antigen retrieval was performed in 0.01M citrate buffer (pH 6) using a pressure cooker method. After rinsing sections in Tris buffered saline (TBS; pH 7.4) non-specific antibody binding was reduced by incubating the sections in 0.1% bovine serum albumin (BSA) for 30 minutes. After decanting excess serum, sections were incubated for one hour at room temperature with a purified mouse antihuman p16<sup>INK4A</sup> monoclonal antibody (PharMingen, Becton Dickinson) at a 1/75 dilution with 0.1% BSA in TBS). After washing thoroughly with TBS, the sections were incubated with biotinylated universal secondary antibody (Vectastain ABC kit; Vector Laboratories) for 30 minutes. This was followed by incubation with the avidin–biotin complex (Vectastain; Vector Laboratories) for 30 minutes. Slides were developed with diaminobenzaminidine (Vector Laboratories) for approximately one minute and counterstained lightly with haematoxylin.

#### Immunocytochemistry on ThinPrep smears

The procedure for p16<sup>INK4A</sup> immunocytochemical analysis on ThinPreps was identical to the above described procedure for immunohistochemical analysis except that the dewaxing step in xylene was omitted and a 1/100 dilution of purified mouse antihuman p16<sup>INK4A</sup> monoclonal antibody in 0.1% BSA in TBS was used for all ThinPrep samples. ThinPreps of CaSki and C33A cells (ECACC number 87020501) were used as positive controls to evaluate the specificity of each staining run.

#### Interpretation of p16<sup>INK4A</sup> expression in biopsy tissues

All formalin fixed, paraffin wax embedded sections that showed either strong nuclear or cytoplasmic staining were considered positive. A certified pathologist then graded all sections qualitatively according to the following arbitrary scale: 0 (no positive staining), 1 (< 10% positive staining), 2 (> 10% but < 50% positive staining), and 3 (> 50% positive staining).

#### RESULTS

##### HPV detection and typing

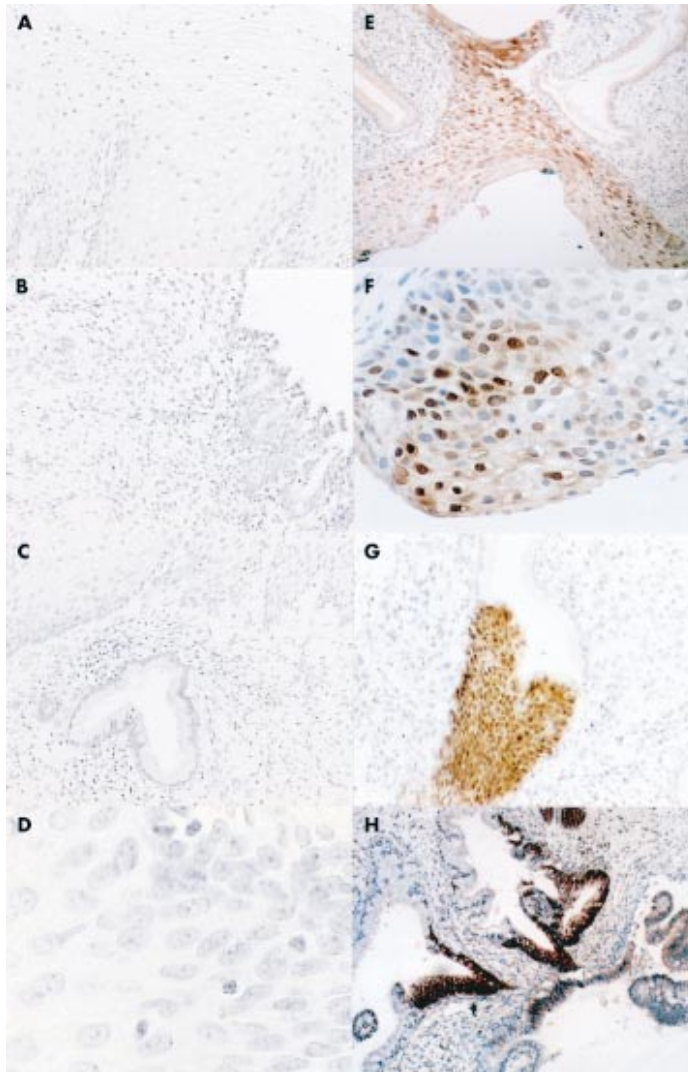
SYBR green HPV analysis found the CaSki cell line positive for HPV. Further specific HPV typing by fluorogenic PCR found the cell line to contain HPV-16 DNA sequences. The C33A cervical cancer cell line was found to be negative for HPV DNA by SYBR green HPV analysis.

All cases positive for HPV using SYBR green PCR were also HPV positive for type specific PCR. No case included in our study was found to be positive for HPV-31 or HPV-33. This is not unusual in an Irish population. Table 2 shows the results of HPV typing.

**Table 2** Comparison between p16<sup>INK4A</sup> staining intensity and human papillomavirus (HPV) status

	N	P16 <sup>INK4A</sup> staining intensity	HPV -ive	HPV-6/11	HPV-16	HPV-18	HPV-31	HPV-33
Normal	20	0	18/20 (90%)	2/20 (10%)	-	-	-	-
		1	-	-	-	-	-	-
		2	-	-	-	-	-	-
		3	-	-	-	-	-	-
Total			90%	10%				
cGIN	3	0	-	-	-	-	-	-
		1	-	-	-	-	-	-
		2	-	-	-	-	-	-
		3	-	-	3/3 (100%)	-	-	-
Total					100%			
CIN 1	29	0	-	-	-	-	-	-
		1	2/29 (7%)	3/29 (10%)	-	-	-	-
		2	2/29 (7%)	1/29 (4%)	-	-	-	-
		3	-	-	21/29 (72%)	-	-	-
Total		14%	14%	72%				
CIN2	28	0	-	-	-	-	-	-
		1	3/28 (11%)	2/28 (7%)	-	-	-	-
		2	-	-	8/28 (28%)	1/28 (4%)	-	-
		3	-	-	14/28 (50%)	-	-	-
Total		11%	7%	78%	4%			
CIN3	36	0	1/36 (3%)	-	-	-	-	-
		1	1/36 (3%)	1/36 (3%)	-	-	-	-
		2	1/36 (3%)	-	8/36 (22%)	2/36 (5%)	-	-
		3	-	-	20/36 (56%)	2/36 (5%)	-	-
Total		9%	3%	78%	10%			
Invasive	9	0	-	-	-	-	-	-
		1	-	-	-	-	-	-
		2	-	-	-	-	-	-
		3	-	-	9/9 (100%)	-	-	-
Total					100%			

Not all cases included in our study were evaluated for HPV status. Staining intensity: 0, no positive p16<sup>INK4A</sup> staining; 1, <10% positive p16<sup>INK4A</sup> staining; 2, >10% but <50% positive p16<sup>INK4A</sup> staining; 3, >50% positive p16<sup>INK4A</sup> staining.  
CIN, cervical intraepithelial neoplasia; cGIN, cervical glandular intraepithelial neoplasia; N, number of cases tested for HPV.



**Figure 2** Immunohistochemical staining for p16<sup>INK4A</sup> in histologically normal tissue samples. (A) Normal epithelium, (B) squamous metaplasia, (C) normal endocervical gland, and (D) reactive epithelium showing chronic inflammation. Immunohistochemical staining for p16<sup>INK4A</sup> in cervical genital lesions. (E) CIN1, (F) CIN2, (G) CIN3 in an endocervical gland, and (H) cGIN.

#### Immunocytochemical staining for p16<sup>INK4A</sup> in cell lines

To check the specificity of clone G175-405 (PharMingin) we performed immunostaining for p16<sup>INK4A</sup> in CaSki (HPV-16 positive) and C33A (HPV negative) cytopins. The HPV-16 positive CaSki cells exhibited strong immunopositivity for p16<sup>INK4A</sup> protein. Unexpectedly, the HPV negative C33A cell line (ATCC) was also strongly positive for p16<sup>INK4A</sup> protein expression. Staining was predominantly cytoplasmic in both cell lines (fig 1B,C).

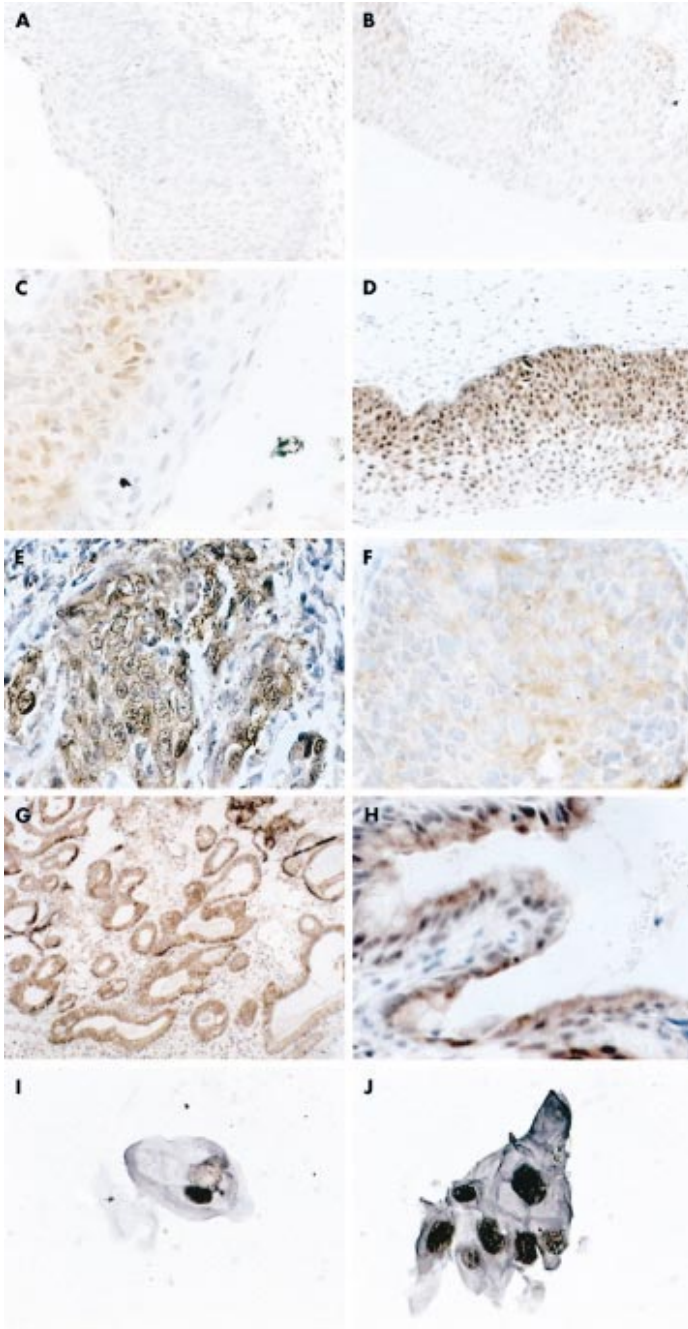
#### Immunocytochemical staining for p16<sup>INK4A</sup> in histologically normal tissue samples

Using a monoclonal antibody to p16<sup>INK4A</sup> (clone G175-405; PharMingin), immunocytochemical analysis was performed in histologically normal tissue samples. In all cases, normal

epithelial, stromal, metaplastic reactive, and inflammatory cells were not stained (fig 2A,B,D). Negative immunostaining with anti-p16<sup>INK4A</sup> was also seen in surface and glandular endocervical epithelium (fig 2C). In addition, normal areas adjacent to CIN lesions showed no detectable p16<sup>INK4A</sup> expression.

#### Immunocytochemical staining for p16<sup>INK4A</sup> in cervical genital lesions

In 117 CIN lesions, five cGIN lesions, and 10 invasive cervical carcinomas (eight squamous and two adenocarcinoma), p16<sup>INK4A</sup> expression was generally very strong in dysplastic epithelial cells. A clear distinction was seen between dysplastic cells and adjacent normal cells. Interestingly, a small number of CIN1 lesions exhibited exclusively nuclear staining,



**Figure 3** Scoring system for p16<sup>INK4A</sup> immunostaining in CIN3 lesions. (A) 0, (B) 1, (C) 2, and (D) 3. Immunohistochemical staining of p16<sup>INK4A</sup> in invasive squamous cell carcinoma; (E) nuclear staining, (F) cytoplasmic staining, (G) adenocarcinoma, and (H) cGIN. Immunocytochemical staining of exfoliated dysplastic cells in ThinPrep smears using p16<sup>INK4A</sup> specific antibody; (I) p16<sup>INK4A</sup> expression in a mild dyskaryotic cell; (J) mild to moderately dyskaryotic cell cluster exhibiting p16<sup>INK4A</sup> immunopositivity.

**Table 3** Results of immunocytochemical analysis of p16<sup>INK4A</sup>

	N	Score			
		0	1	2	3
Normal	21	21 (100%)	0 (0%)	0 (0%)	0 (0%)
cGIN	5	0 (0%)	0 (0%)	1 (20%)	4 (80%)
CIN 1	38	0 (0%)	3 (8%)	11 (29%)	24 (63%)
CIN 2	33	0 (0%)	9 (27%)	9 (27%)	15 (46%)
CIN 3	46	1 (2%)	3 (7%)	14 (30%)	28 (61%)
Invasive	10	0 (0%)	0 (0%)	0 (0%)	10 (100%)

Score: 0, no positive staining; 1, <10% positive staining; 2, >10% but <50% positive staining; 3, >50% positive staining. Examples of 0, 1, 2, and 3 are shown in fig 3A–D.  
CIN, cervical intraepithelial neoplasia; cGIN, cervical glandular intraepithelial neoplasia.

whereas in the remaining cGIN, CIN1, CIN2, and CIN3 lesions a predominantly cytoplasmic pattern of staining was seen (fig 2E–H). All invasive squamous carcinomas and adenocarcinomas exhibited strong nuclear and cytoplasmic staining (fig 3E–G). All lesions included in our study were qualitatively graded according to the following criteria: 0 (no positive staining), 1 (< 10% positive staining), 2 (> 10% but < 50%), 3 (> 50% positive staining) (table 3; fig 3A–D).

#### Immunocytochemical staining for p16<sup>INK4A</sup> in ThinPrep slides

To evaluate the potential of p16<sup>INK4A</sup> as a biomarker for dysplastic exfoliated cells in cytological samples, immunocytochemical analysis using monoclonal anti-p16<sup>INK4A</sup> was carried out on a series of ThinPrep slides. The p16<sup>INK4A</sup> antibody assay was positive in all five smears exhibiting mild dyskaryosis, six of seven smears exhibiting moderate dyskaryosis, and all eight ThinPrep smears showing severe dyskaryosis. Exfoliated cells exhibiting cGIN were also positive for p16<sup>INK4A</sup> expression. The p16<sup>INK4A</sup> antibody assay was negative in all 12 normal smears examined. Figure 3I and J shows the immunocytochemical analysis of the p16<sup>INK4A</sup> antigen on ThinPrep slides. This example demonstrates the ability of p16<sup>INK4A</sup> to identify dysplastic exfoliated epithelial cells. In all ThinPrep slides analysed, no non-specific staining of normal squamous cells was seen, although sporadic staining of morphologically normal metaplastic cells and inflammatory cells was identified (in one case).

#### DISCUSSION

Despite the success of conventional screening programmes questions have arisen concerning the reliability of conventional cervical cytology and histology.<sup>20</sup> These concerns have highlighted the need for improved screening technologies and prompted investigation into the possible usefulness of tumour associated antigen markers as an adjunct to conventional Pap testing. One such potential biomarker is the p16<sup>INK4A</sup> tumour suppressor gene. In our study, we wished to examine the potential usefulness of p16<sup>INK4A</sup> as a diagnostic marker for dysplastic squamous and glandular cells of the cervix.

We have extensively analysed the immunocytochemical distribution of p16<sup>INK4A</sup> in normal and neoplastic tissue of the cervix. In all normal cervical tissues examined all epithelial, metaplastic, endocervical, reactive, and inflammatory regions were not stained with the monoclonal anti-p16<sup>INK4A</sup> antibody. In addition, all normal regions adjacent to CIN lesions showed no detectable p16<sup>INK4A</sup> expression. In 117 cases (including CIN1, CIN2, and CIN3, five cGIN, and 10 invasive cancer cases) all but one CIN3 lesion exhibited overexpression of the p16<sup>INK4A</sup> gene product. Failure of this isolated CIN3 case to express p16<sup>INK4A</sup> could not be explained because this case demonstrated

#### Take home messages

- p16<sup>INK4A</sup> marks dysplastic squamous and glandular cells of the cervix with a sensitivity of 99.9% and a specificity of 100%
- All cases positive for human papillomavirus (HPV) expressed the p16<sup>INK4A</sup> protein, although not all cases found positive for p16<sup>INK4A</sup> were HPV positive. In general, the p16<sup>INK4A</sup> staining intensity was lower in cases negative for HPV or those containing a low risk HPV type
- p16<sup>INK4A</sup> is a reliable marker for dysplastic squamous and glandular cervical cells both in tissue sections and in cervical ThinPreps
- p16<sup>INK4A</sup> immunohistochemical analysis would provide a useful adjunct to conventional screening programmes and would help reduce false positive and false negative results, which could reduce patient anxiety and the overall cost of cervical cancer screening programmes

immunoreactivity for common leucocyte antigen and epithelial membrane antigen. All squamous cell carcinomas and adenocarcinomas examined exhibited strong overexpression of the p16<sup>INK4A</sup> protein. Although a small number of CIN1 cases showed exclusive nuclear staining, interestingly, the remaining CIN1, CIN2, CIN3, and invasive cancer cases showed a combination of nuclear and cytoplasmic staining. p16<sup>INK4A</sup> protein localisation is thought to be nuclear. The presence of p16<sup>INK4A</sup> in the cytoplasm may result from a type of post transcriptional modification or, more simply, overproduction of the protein may force its transfer into the cytoplasm. These findings clearly support previous studies confirming the hypothesis that p16<sup>INK4A</sup> is overexpressed in dysplastic cells of the cervix.<sup>12 13 15</sup>

In our study we performed p16<sup>INK4A</sup> immunohistochemical analysis on a series of ThinPrep slides. Smears categorised as normal, in addition to those showing mild, moderate, and severe dyskaryosis were analysed. We found that the anti-p16<sup>INK4A</sup> monoclonal antibody specifically stains exfoliated dysplastic cells within ThinPrep smears. The p16<sup>INK4A</sup> antibody assay also identified exfoliated cells exhibiting cGIN. Non-specific staining of normal squamous cells was not seen, although sporadic staining of inflammatory cells and morphologically normal metaplastic cells was identified (in one case). We found ThinPrep prepared monolayer slides easily adaptable to the p16<sup>INK4A</sup> immunohistochemical assay. The methanol based fixative used by the ThinPrep system is capable of morphological preservation and maintaining the integrity of cellular protein.<sup>21</sup> ThinPrep smears also have reduced amounts of blood and mucous, which have in conventional smears been reported to reduce immunostaining efficiency.

“We found ThinPrep prepared monolayer slides easily adaptable to the p16<sup>INK4A</sup> immunohistochemical assay”

All cases positive for HPV expressed p16<sup>INK4A</sup> protein, although not all cases found positive for p16<sup>INK4A</sup> protein expression were HPV positive. Table 2 compares the p16<sup>INK4A</sup> staining intensity with HPV type. In general, the p16<sup>INK4A</sup> staining intensity was lower in cases containing a low risk HPV type or those negative for HPV. In addition, the HPV negative cell line C33A is p16<sup>INK4A</sup> positive; this clearly indicates that a non-HPV dependent p16<sup>INK4A</sup> expression pathway may also exist.

In conclusion, p16<sup>INK4A</sup> marks dysplastic squamous and glandular cells of the cervix with a sensitivity of 99.9% and a specificity of 100%. The results of our study clearly indicate that p16<sup>INK4A</sup> is a reliable marker for dysplastic squamous and glandular cervical cells in tissue sections and in cervical ThinPreps. The use of p16<sup>INK4A</sup> immunohistochemical analysis as a complement to conventional screening programmes will aid in



the reduction of false positive and false negative results. This will ultimately result in a reduction in patient anxiety and the overall cost of cervical cancer screening programmes.

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COMPARISON OF MIRNA EXPRESSION PATTERNS USING TOTAL RNA EXTRACTED FROM  
MATCHED SAMPLES OF FORMALIN-FIXED PARAFFIN-EMBEDDED (FFPE) CELLS AND SNAP  
FROZEN CELLS

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MicroRNAs (miRNAs) are essential regulators of biological activity, suppressing gene expression at the posttranscriptional level. MiRNA expression alterations profoundly affect cell differentiation and metabolic activity, and they are often involved in the pathogenesis of human diseases. MicroRNAs (miRNAs) have diagnostic and prognostic potential for many diseases, most notably for cancer.

Worldwide, there are an estimated one billion archived tissue samples, most of which are formalin-fixed and paraffin-embedded (FFPE). These tissue samples harbour valuable research materials. Storing FFPE specimens is more economical and practicable than storing frozen samples, and the histological structure can be preserved almost permanently. However, formalin fixation and paraffin embedding inevitably lead to nucleic acids degradation in these tissues. Fragmented and chemically modified DNA and RNAs have frustrated the routine application of transcriptomic analyses in archived tissues. Many of these samples are associated with long-term clinical follow-up data. This, coupled with increased insights into role of regulatory RNAs was the driver for this body of work with the ambition of developing novel approaches for exploiting FFPE archives.

This paper categorically demonstrated the utility of miRNA profiling in archival FFPE samples and provided a mechanism for robust and reproducible interrogation of older, more recalcitrant tissue samples. It showed that archival FFPE RNA, that is severely degraded and empirically incompatible with transcriptome expression methods, can nonetheless yield meaningful biology when combined with miRNA expression profiling using carefully tailored assay design. The paper has been cited more than 400 times since its publication and continues to be a key reference some 15 years after its publication.

I was the Principal Investigator on this study. I procured the funding and supervised the lab work to complete it and assisted in writing the manuscript.

Research article

Open Access

## Comparison of miRNA expression patterns using total RNA extracted from matched samples of formalin-fixed paraffin-embedded (FFPE) cells and snap frozen cells

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### Abstract

**Background:** Archival formalin-fixed paraffin-embedded (FFPE) tissues have limited utility in applications involving analysis of gene expression due to mRNA degradation and modification during fixation and processing. This study analyzed 160 miRNAs in paired snap frozen and FFPE cells to investigate if miRNAs may be successfully detected in archival specimens.

**Results:** Our results show that miRNA extracted from FFPE blocks was successfully amplified using Q-RT-PCR. The levels of expression of miRNA detected in total RNA extracted from FFPE were higher than that extracted from snap frozen cells when the quantity of total RNA was identical. This phenomenon is most likely explained by the fact that larger numbers of FFPE cells were required to generate equivalent quantities of total RNA than their snap frozen counterparts.

**Conclusion:** We hypothesise that methylol cross-links between RNA and protein which occur during tissue processing inhibit the yield of total RNA. However, small RNA molecules appear to be less affected by this process and are recovered more easily in the extraction process. In general miRNAs demonstrated reliable expression levels in FFPE compared with snap frozen paired samples, suggesting these molecules might prove to be robust targets amenable to detection in archival material in the molecular pathology setting.

### Background

MicroRNAs (miRNAs) are small non-coding sequences of RNA, approximately 20 to 22 nucleotides long, which play important roles in the regulation of target genes by binding to complementary regions of messenger transcripts to repress their translation or regulate degradation

[1]. This regulation appears to be involved in many fundamental cellular processes, including development, differentiation, proliferation, apoptosis, stress response, fat metabolism and insulin secretion [2]. Although the total number of different miRNA sequences in humans might approach 1000 based on the estimation of computer sim-

ulations [3], only 300 to 400 of them have been studied on fresh or snap-frozen samples to date. To discover the full regulatory impact of miRNA species and to understand individual biological functions within disease settings, larger scale analysis needs to be performed in a robust and reliable manner.

Formalin-Fixed, Paraffin-Embedded (FFPE) tissue samples are the most readily available archival material. They generally may be retrieved with documented clinico-pathological histories. Thus they represent an invaluable source for the study of human disease. However, these tissues have not been widely used in molecular biology due to the poor quality of RNA extracted from FFPE blocks [4] which is degraded to fewer than 300 bases in length [5] and also chemically modified by methylol groups during formalin fixation [6]. Thus, the value of FFPE materials in molecular setting has been shadowed by the technical difficulties limiting extensive analysis of gene expression. Interestingly, miRNAs are a class of small RNAs whose survivability and expression level in FFPE blocks compared with fresh tissues are largely unknown.

In this study we examined the reliability of miRNA detection in formalin fixed paraffin embedded blocks by interrogation of 160 miRNA assays in paired RNA extracts from fresh and FFPE samples using a cell line model.

## Results

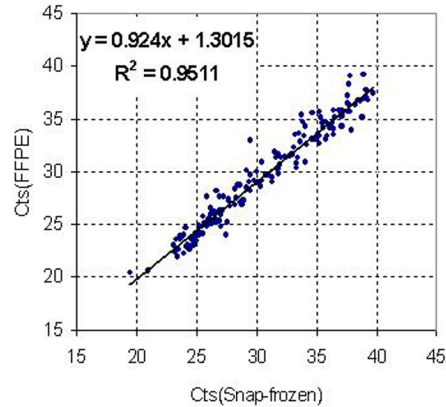
### RNA extraction

To achieve 50 ng of total RNA for each RT reaction, 10,000 ng of total RNA (for 200 assays) was extracted from approximately  $2 \times 10^6$  of FFPE cells and from approximately  $1.7 \times 10^5$  snap frozen cells. Analysis using an Agilent 2100 Bioanalyser showed that the RNA Integrity Number (RIN Number) was 9.1 for the snap frozen cell preparations and 6.4 for the corresponding FFPE preparation.

### miRNA expression

There was a good correlation of miRNA expression pattern in between FFPE and snap frozen cells, with  $R^2 > 0.95$  (Figure 1). The mean of  $\Delta C_t$ s was -1.04107. The median of  $\Delta C_t$ s was -1.152, (126 below 0 and 28 above 0) with  $p < 0.0001$ . The sign test of median showed that miRNA exhibited approximately two fold higher expression with the total RNA extracted from the FFPE cells than that extracted from the snap frozen cells.

65.58% of  $\Delta \Delta C_t$ s (101 out of 154 determined assays), were between +1 and -1. Furthermore the abundance of some individual miRNAs changed in FFPE cells with a total of 23 miRNAs displaying increased expression and 30 miRNAs decreased expression (Table 1 and Figure 2).



**Figure 1**  
Comparison of Ct values of 154 miRNA assays from paired FFPE and Snap-frozen cell lines. Identical amount of total RNA was employed in each assay.  $R^2$  is over 95% between two cell lines.

## Discussion

Since their discovery, miRNA analysis has generally been performed on snap-frozen or fresh samples, using variable techniques including microarray, northern blot analysis and PCR [2]. FFPE tissues, as a readily available source, could be invaluable in performing miRNA expression analysis if their expression were maintained following processing. In this study we compared miRNA profiling performed on fresh samples and FFPE using stem-loop RT-PCR quantification techniques in a cell line model. We found that miRNA profiling could be performed on routinely fixed FFPE blocks.

### miRNA abundance in FFPE

Some laboratories have examined mRNA gene expression profiles using real-time RT-PCR in paired snap-frozen and FFPE tissue samples [7-10]. The general consensus is that mRNA detection from archival material is limited due to the labile nature of mRNA and the deleterious effects of enzymatic fragmentation during long periods of storage and RNA modification induced by formalin fixation. Subsequently, it has been suggested that small amplicons [11] (shorter than  $\sim 130$  [7,9] nucleotides) could have utility as robust markers in gene expression studies using FFPE tissues. Indeed, our own experiments (data not shown) confirmed this phenomenon using mRNA targets over a range of amplicon sizes in this cell line model. For example, FFPE extracts produced Cts 4 to 10 cycles higher than their

**Table 1: Sorted expression levels of 160 miRNA between FFPE and snap frozen cells using  $\Delta\Delta$ Cts. 65.58% of  $\Delta\Delta$ Cts (101 out of 154 determined assays), were between +1 and -1**

Decreased expression	Increased expression	$\Delta\Delta$ Cts between +/-1				Undeter-mined
mir-30b	mir-302b*	mir-9	mir-133b	mir-200a	mir-370	c-lin-4
mir-130a	mir-302a	mir-10a	mir-134	mir-200b	mir-371	mir-104
mir-218	let-7b	mir-15a	mir-137	mir-200c	mir-372	mir-122a
mir-30e	mir-184	mir-17-3p	mir-138	mir-203	mir-373	mir-144
mir-34a	mir-183	mir-17-5p	mir-140	mir-204	let-7d	mir-302b
mir-135a	mir-211	mir-23a	mir-142-5p	mir-210	let-7e	mir-325
mir-20	mir-128b	mir-23b	mir-145	mir-213	mir-2	
mir-15b	mir-189	mir-25	mir-147	mir-214	let-7g	
mir-135b	mir-128a	mir-26b	mir-148a	mir-215	let-7i	
mir-31	mir-154	mir-27a	mir-149	mir-216	let-7a	
mir-9*	mir-198	mir-27b	mir-150	mir-219	mir-16	
mir-338	mir-139	mir-28	mir-151	mir-221		
mir-190	mir-373*	mir-30a-3p	mir-152	mir-222		
mir-133a	mir-100	mir-30c	mir-154*	mir-223		
mir-29a	mir-323	mir-30d	mir-155	mir-224		
mir-142-3p	mir-125b	mir-34b	mir-181a	mir-296		
mir-141	mir-105	mir-34c	mir-181b	mir-299		
mir-335	mir-182*	mir-92	mir-181c	mir-302c		
mir-29c	mir-129	mir-96	mir-182	mir-302c*		
mir-26a	mir-159a	mir-98	mir-185	mir-320		
mir-220	mir-199a	mir-99a	mir-186	mir-324-5p		
mir-374	mir-367	mir-103	mir-187	mir-326		
mir-95	mir-107	mir-106a	mir-191	mir-328		
mir-21		mir-124a	mir-193	mir-330		
mir-302d		mir-124b	mir-194	mir-331		
mir-29b		mir-125a	mir-195	mir-337		
mir-301		mir-126	mir-197	mir-339		
mir-205		mir-127	mir-199a*	mir-340		
mir-19a		mir-130b	mir-199b	mir-342		
mir-146		mir-132	mir-199-s	mir-368		

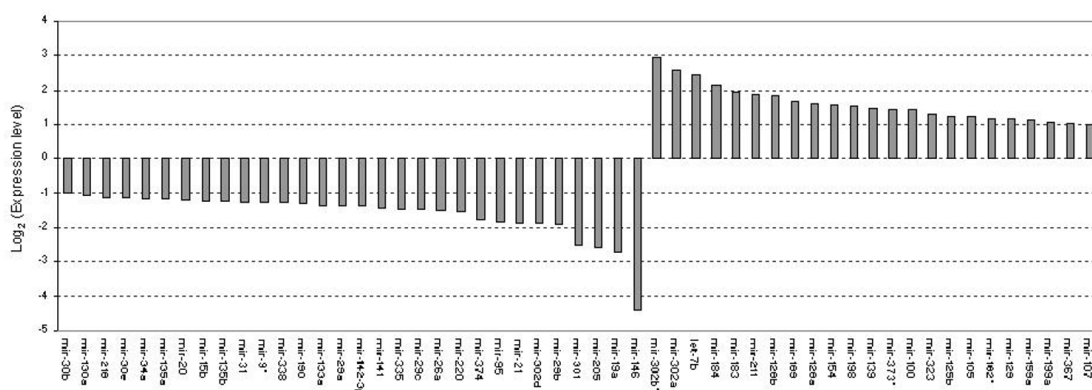
snap frozen counterparts depending on the amplicon sizes used (62 to 164 bp). Cts between FFPE and snap frozen were closer for small amplicons than that for large amplicons. For example analysis of GAPDH using a target amplicon of 67 bp displayed a mean difference of 4.28 cycles, whereas an assay designed for the same gene (GAPDH) using a target amplicon size of 122 bp displayed a mean difference of 6.51 Cts between FFPE and snap frozen material.

miRNAs have the advantage of small size, being only approximately 20 to 22 nucleotides long. In addition, they are protein protected by the RISC complex. Consequently they may not be as susceptible to RNA degradation as mRNA in FFPE tissues. Our results showed that the amount of miRNA in total RNA extracted from FFPE was greater than that extracted from snap frozen cells when the input amounts of total RNA were identical. The average quantity of miRNAs derived from total RNA extracted from FFPE was double (one Ct lower) than in snap frozen cells which is most likely a consequence of methylol cross-links between RNA and protein introduced during processing.

We extracted identical quantities of total RNA (10,000 ng) for analysis. In practical terms this required input of almost ten times the number of FFPE cells ( $2 \times 10^6$  cells) compared to snap frozen ( $1.7 \times 10^5$  cells). This difference in extracted yields was consistent with previous reports. This suggests the amount of RNA that can be extracted from FFPE tissue represents only a fraction of that which is obtainable from fresh-frozen tissue [9]. The residual cross-links in every RNA molecule that have not been removed by proteinase K digestion prevent this RNA being extracted (Figure 3). The longer an RNA molecule is, the greater the likelihood that a cross-link still exists after the proteinase K digestion procedure. Therefore, small RNA molecules are more amenable to extraction than larger mRNA molecules resulting to a higher expression of miRNA in FFPE compared to that in snap frozen.

#### Reliability of miRNA in FFPE

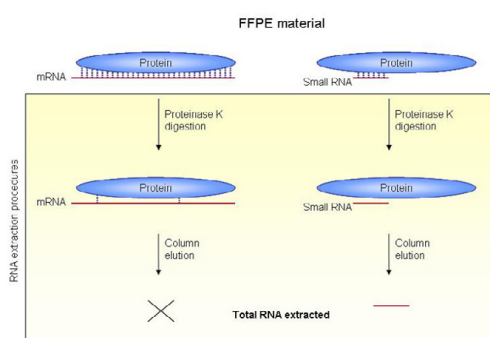
It is plausible to anticipate that miRNA species are less susceptible to RNA degradation associated with tissue processing than occurs with mRNA, and this formed the hypothesis to be tested in this study. We found a good correlation of miRNA expression levels between FFPE and



**Figure 2**  
Sorted Log<sub>2</sub>(Expression level) shows 30 miRNAs with decreased expression and 23 with increased expression in FFPE. The most significantly altered expression was seen in mir-146 with decreased expression and mir-302b\* with increased expression.

snap frozen cells with  $R^2 > 0.95$ . Our data demonstrated that, for majority of miRNAs, the expression in FFPE is comparable with snap frozen cells. 65.58% of miRNAs displayed  $\Delta\Delta C_t$ s in a range between  $\pm 1$  indicating that these normalized profiles were essentially identical between the two samples.

However, there was some outlying data where there was poor correlation between expression profiles for the paired snap-frozen and FFPE samples. The most significant of these was mir-146 with decreased expression and mir-302b\* with increased expression. These changes could possibly occur during formalin fixation procedure or could also be caused by post fixation handling. mir-146 overexpression has been found in PTC tissues [12] and was also suggested to be involved in cellular stress [13] and innate immune responses [14]. Interestingly, we found it was decreased in FFPE extracted Nthy-ori cells. For those overexpressed miRNAs, it is possible that precursors of miRNA might have been cleaved by RNase to produce positive signals because FFPE blocks are often stored at room temperature in the absence of an RNase free environment. Alternatively, increased cellular stress following harvesting and during the fixation process may have contributed to the altered expression patterns in specific miRNAs. In these cases, the FFPE material could still be used to compare relative miRNA expression patterns if



**Figure 3**  
A schematic representation of the impact of cross-links on RNA extraction. In FFPE materials, RNA has been chemically modified by methylol groups to form cross-links with protein. Digestion with proteinase K [6] followed by column elution is the common method used to extract RNA from FFPE. However, a fraction of RNA remains impervious to extraction because of un-removed cross-links. The longer an RNA molecule is, the more likely cross-links will remain after the digestion procedure. Therefore, it is easier to extract small RNA molecules than larger ones from archival material.

a series of known blocks were fixed and handled simultaneously or in the same manner.

### Conclusion

We analyzed 160 miRNAs expression levels in freshly fixed FFPE by comparing to snap frozen in a cell line model. Although the RNA extracted from FFPE blocks is often compromised, we demonstrated the robustness of miRNA profiles in FFPE material which could provide a source of study material for large scale or retrospective studies. This study has confirmed the proof of principle that miRNA species may be successfully extracted and analysed from archival sources. Further work may be required to determine precise effects of FFPE on miRNA expression profiles across different tissue samples.

### Methods

#### Cell culture and formalin fixation

Nthy-ori 3-1 (ECACC, Wiltshire, UK) is a normal thyroid follicular epithelial cell line derived from adult thyroid tissue that has been transfected with a plasmid encoding for the SV40 large T gene [15].

This cell line was grown to confluence in a humidified atmosphere containing 5% CO<sub>2</sub> at 37°C in the following plating medium: RPMI 1640 with 2 mM L-glutamine, 10% Foetal calf serum (FCS), Penicillin (100 U/ml) and Streptomycin (100 µg/ml). Triplicated cells were counted with a hemocytometer. Suspended cells were aliquot and were pelleted (a) snap frozen and (b) formalin fixed and paraffin embedded into a cell block. When formalin fixation was required, a cohesive solid cell pellet was constructed using 20% agar. The cells were centrifuged in an eppendorf tube, and the supernatant was removed using a pipette. Approximately 30 µl of pre-warmed agar (60°C) was added to each tube. The solid cell pellet was formed within a few seconds. Cell blocks were fixed following standard tissue processing which included 10% buffered formalin fixation for 4 hours, on a Tissue-Tek® V.I.P.™ tissue processor. The pellets were subsequently paraffin embedded.

#### RNA extraction

RNA was extracted from fresh cells using mirVana™ miRNA Isolation kit (Ambion Ltd., Cambridgeshire, UK) and from FFPE cells using RecoverAll™ Total Nucleic Acid Isolation kit (Ambion Ltd., Cambridgeshire, UK) following the manufacturer's protocol. For snap-frozen extraction, one extraction was performed using approximately 4 × 10<sup>5</sup> cells. For FFPE extraction, 4 extractions were performed in parallel with one pellet (1 × 10<sup>6</sup> cells) in each extraction. The entire pellet was dissected from each block and was finely minced using a scalpel. These preparations were then deparaffinized, followed by proteinase K digestion for 3 hours at 50°C, on column DNase digestion and

elution as described in the protocol. At that point all 4 FFPE extracts were combined into one tube designated the FFPE sample. The concentrations of these two samples were measured using a NanoDrop® ND-1000 Spectrophotometer (Wilmington, USA) and extracts were diluted to 10 ng/µl. RNA quality was measured using the RNA 6000 Pico LabChip® Kit on an Agilent 2100 Bioanalyser (Agilent technologies, Waldbronn, Germany).

#### miRNA assays

Applied Biosystems TaqMan® microRNA (miRNA) assays (designed for mature miRNA quantification using Applied Biosystems Real Time PCR instruments) were utilised in this study. The human panel early access kit (P/N: 4365381, Applied Biosystems) used in this study contained 160 individual assays and comprised two steps: Reverse Transcription (RT) and real time PCR. The stem-loop RT primer specifically hybridizes to a miRNA molecule and is reverse transcribed with a MultiScribe reverse transcriptase [16]. The RT products are then quantified using real-time TaqMan® PCR.

Applied Biosystems High-Capacity cDNA Archive Kit (P/N: 4322171, Applied Biosystems, CA, USA) was used following manufacturer's protocol for reverse transcription. Each RT reaction contained 50 ng of extracted total RNA, 50 nM stem-looped RT primer, 1 × RT buffer, 0.25 mM each of dNTPs, 3.33 U/µl Multiscribe reverse transcriptase and 0.25 U/µl RNase Inhibitor. The 15 µl reactions were incubated in an Applied Biosystems Thermocycler in a 96-well plate for 30 min at 16°C, 30 min at 42°C, 5 min at 85°C and then held at 4°C.

For the Real-time PCR step, amplification was carried out using sequence specific primers on the Applied Biosystems 7900 HT Real-Time PCR system. The 20 µl reaction included 1.33 µl RT product, 1 × TaqMan® Universal PCR Master Mix with no UNG (P/N: 4324018, Applied Biosystems) and 1 × TaqMan® MicroRNA assays. The reactions were incubated in a 96-well optical plate at 95°C for 10 min, following by 40 cycles of 95°C for 15 s and 60°C for 1 min. The real-time PCRs for each miRNA were run in triplicate. hsa-let-7a was included as an endogenous control and cel-lin-4 was incorporated as a negative control.

#### Statistical analysis

Replicates were omitted if Ct standard deviation was greater than 1.5. All 160 miRNAs were detectable with the exception of c-lin-4 in FFPE and c-lin-4, mir-104, mir-122a, mir-144, mir-302b and mir-325 in snap frozen sample. The data was collected using Microsoft Excel and was statistical analyzed using MINITAB® 14 on ΔCts with the formulas below:

$$\Delta Ct = Ct\_Mean(FFPE) - Ct\_Mean(Snap\ frozen)$$

$$\Delta\Delta Ct = \Delta Ct - \Delta Ct_{\text{Mean}}$$

$$\text{Expression level} = 2^{-\Delta\Delta Ct}$$

### Authors' contributions

JL performed the RNA extraction and miRNA analysis and wrote original and final versions of the manuscript. PS, RF, SC helped with the miRNA analytical analysis of miRNA data and helped draft the manuscript. KD, SA carried out cell culture. SG helped with the analysis of the miRNA data. JOL and OS conceived the study and helped write the original and final versions of this manuscript. All authors read and approved the final manuscript.

### Acknowledgements

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DEVELOPMENT OF A SEMI-CONDUCTOR SEQUENCING-BASED PANEL FOR GENOTYPING OF COLON AND LUNG CANCER BY THE ONCONETWORK CONSORTIUM

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I have selected this paper as a representative of my consortium work. I was a founder member of the OncoNetwork consortium which was facilitated by industrial partner ThermoFisher Scientific. In this regard, I was involved in the selection of markers and design of panels to be utilised in the detection of key driver mutations in lung and colorectal cancers. This is an impactful and important paper as it paved the way for the implementation of targeted panels for the detection of driver mutations. It consolidated the application of genomic analysis of archival tissues as companion diagnostics, to inform the use of personalised treatment options for cancer patients by identifying treatment options that may be appropriate based on the unique drivers of their individual cancer. It demonstrates some of my work in the area of personalised medicine and relates to the broader concept of patient centred care. It refers to a medical model using characterisation of individuals' phenotypes and genotypes (e.g. molecular profiling, medical imaging, lifestyle data) for tailoring the right therapeutic strategy for the right person at the right time, and/or to determine the predisposition to disease and/or to deliver timely and targeted prevention. The ability to select patients for treatment with targeted agents based on specific molecular alterations within their cancer cells has led to novel drugs for molecularly selected patient populations, with a view to improving treatment outcome, while minimising side effects.

The paper describes the use of targeted Next-Generation Sequencing (NGS) allowing the sequencing of several genomic regions in a single test, on a single platform and in samples with degraded DNA content. (NGS) utilizes massively parallel sequencing to generate high throughput and efficient analysis of patients' samples. Our panel comprised multiplex PCR targeting 87 hotspot regions across 22 genes that are of clinical interest for lung and/or colorectal cancers. The gene-panel was tested by 7 different labs, each in their own clinical setting, allowing cross institutional validation of results. The technique employed semiconductor chips, Ion Torrent next-generation sequencing technology and we developed a fast and simple workflow that could be scaled to the needs of routine cancer clinical diagnostics.

The consortium was subsequently expanded giving wider global coverage of partners to design a panel for the detection of chromosomal translocations and gene fusion products that are essential drivers and targets in lung tumour subtypes. Hence, this was the prototype consortium for the development of a series of patient centred diagnostic panels to identify novel therapeutic targets for tailored treatment of cancers.

RESEARCH ARTICLE

Open Access

# Development of a semi-conductor sequencing-based panel for genotyping of colon and lung cancer by the Onconetwork consortium

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## Abstract

**Background:** The number of predictive biomarkers that will be necessary to assess in clinical practice will increase with the availability of drugs that target specific molecular alterations. Therefore, diagnostic laboratories are confronted with new challenges: costs, turn-around-time and the amount of material required for testing will increase with the number of tests performed on a sample. Our consortium of European clinical research laboratories set out to test if semi-conductor sequencing provides a solution for these challenges.

**Methods:** We designed a multiplex PCR targeting 87 hotspot regions in 22 genes that are of clinical interest for lung and/or colorectal cancer. The gene-panel was tested by 7 different labs in their own clinical setting using ion-semiconductor sequencing.

**Results:** We analyzed 155 samples containing 112 previously identified mutations in the *KRAS*, *EGFR* en *BRAF* genes. Only 1 sample failed analysis due to poor quality of the DNA. All other samples were correctly genotyped for the known mutations, even as low as 2%, but also revealed other mutations. Optimization of the primers used in the multiplex PCR resulted in a uniform coverage distribution over the amplicons that allows for efficient pooling of samples in a sequencing run.

**Conclusions:** We show that a semi-conductor based sequencing approach to stratify colon and lung cancer patients is feasible in a clinical setting.

**Keywords:** Next-generation sequencing, Semi-conductor sequencing, Colorectal cancer, Non-small cell lung cancer, Multiplex PCR, Ion Torrent

## Background

Although molecular mechanisms underlying carcinogenesis have been the subject of medical research for decades, only in the last few years has this information translated into the clinical setting through 'smart' drugs targeting specific molecular aberrations of neoplastic cells [1]. Target based agents were initially explored in unselected cohorts of cancer patients, and this approach led to failure of the majority of clinical trials with these new agents.

More recently, the value of selecting patients to be treated with targeted agents on the basis of specific molecular alterations of neoplastic cells has been acknowledged [2,3]. This new therapeutic approach has led to the approval of novel drugs for molecularly selected patient populations. These agents have revolutionized the treatment of cancer patients, improving treatment outcome, while minimizing side effects.

The number of predictive biomarkers that are assessed in clinical practice is rapidly increasing with the availability of drugs that target specific molecular alterations [4]. In some tumor types, such as non-small-cell lung carcinoma (NSCLC) and colorectal carcinoma (CRC), a new classification based on the identification of specific

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predictive and/or prognostic molecular alterations has emerged in the past decade [5,6]. However, molecular diagnostics is faced with its own specific challenges. Costs per test, turn-around-time and the amount of material required for testing increase with the number of tests performed on a sample. In particular, the availability of tissue is limiting in NSCLC, which is often diagnosed on small histological or cytological samples yielding limited amounts of DNA [7].

Comprehensive molecular characterization of tumor tissue in clinical practice will rely on the development of high throughput technologies allowing this process to be accomplished in a cost-effective and timely manner and by using a limited amount of tissue. Two different approaches, genotyping assays and next generation sequencing (NGS) have been proposed for molecular screening [4,8]. NGS has the advantage to providing information on known and novel molecular alterations. Indeed, by using NGS-based techniques multiple genes can be sequenced simultaneously, and benchtop sequencers, like the Ion PGM™ (Life Technologies), are fast and relatively inexpensive. In this context, the 'OncoNetwork consortium', a European collaborative effort developed and tested the performance of a next-generation sequencing approach in a clinical setting. The advantages of a multi-lab collaboration are that methods can be quickly validated, facilitating availability, and that tests are evaluated in different laboratory settings, assessing robustness. As a proof-of-principle we chose to focus on CRC and NSCLC, two frequent tumor types for which there are known mutations associated with treatment decisions. We decided to design an Ion AmpliSeq custom gene-panel as a single multiplex PCR requiring only 10 ng of input DNA for the detection of the most frequent hot spot mutations in NSCLC and CRC. We opted for a relatively small, focused gene-panel, instead of a broader panel (like the AmpliSeq Cancer panel). Since a smaller gene-panel requires less sequence capacity more samples can be pooled in a single sequence run resulting in lower costs per sample and an increased throughput compatible with a diagnostic activity. Finally, we assessed the performance of the panel by semiconductor sequencing of 155 CRC and NSCLC formalin-fixed, paraffin-embedded (FFPE) cancer samples containing mutations previously identified by alternative genotyping methods.

## Methods

### Tumor specimens and DNA isolation

FFPE tumor samples were retrieved from the archives of the collaborating institutes and anonymised. Given the study was interpreted by all institutions (Radboud university medical centre, Istituto di ricovero e cura a carattere scientifico (IRCCS), VIOLLIER, Applied Research On Cancer Centre (ARC-NET), Institut national de la santé et de la recherche médicale (INSERM), Trinity College, Institut

Gustave-Roussy and University Hospitals Coventry and Warwickshire) as a service improvement, it did not require specific research ethics committee approval as stated in the EU Clinical Trials Directive (2001/20/EC). Specific approval was obtained from the SJH/AMNCH Joint Research Ethics Committee of Trinity College, Dublin. Approval was procured for the current study as part of a wider study involving molecular analysis of a wider cohort and for research purposes that does require approval from an ethics committee. A cover letter to this effect is available.

Tissue areas for DNA extraction were micro-dissected and quantified for the percentage of neoplastic cells by a pathologist. More details regarding sample characteristics are depicted in Additional file 1: Figure S1. DNA extraction differed per institute. Most laboratories (6/7) isolated DNA using the QIAamp DNA FFPE Tissue Kit, while one lab purified DNA by overnight digestion in a proteinase K/Chelex-100 solution.

### Ion AmpliSeq™ primer design

Ion AmpliSeq™ primer pools were designed by Life Technologies (Additional file 2: Table S1 and Additional file 3: Table S2). To minimize the risk of allelic drop-out during the PCR due to primers that are not able to prime, no confirmed SNPs with a frequency >0.5% were allowed in the 5 most 3' nucleotides. For the same reason low-frequency SNPs at other positions were kept as low as possible, with a maximum of four. Primer designs were confirmed by SNPCheck v3 analysis ([www.snpcheck.net/](http://www.snpcheck.net/), April 2012). The resulting oncopanel primer pool contained 87 primer pairs with an average amplicon length of 203 bp (Additional file 2: Table S1).

### AmpliSeq enrichment and Ion Torrent sequencing

Library generation was performed according to the manufacturer's protocol. In short, 10 ng of DNA per pool was amplified in 21 cycles by PCR using the Ion AmpliSeq™ mastermix, followed by barcode and adapter ligation. Amplified products were purified with Agencourt AMPure XP beads (Beckman Coulter Genomics, High Wycombe, UK). The library was diluted to 20 pM. Emulsion PCR was performed using the Ion OneTouch™ 200 Template kit following the protocol of the Ion OneTouch™ System. Next, Ion Sphere Particles (ISPs) were recovered and enriched for template positive ISPs using Dynabeads MyOne Streptavidin C1 beads (Life Technologies) in the Ion OneTouch™ ES instrument (Life Technologies). ISP enrichment was quantified using the Qubit 2.0 fluorometer (Life Technologies). Sequencing primer and polymerase were added to the final enriched spheres before loading onto an Ion 316 chip according to the Ion PGM™ 200 sequencing kit protocol.

### Data analysis

Sequence data were directly uploaded from the Ion Torrent Suite Software to the IonReporter™ Software version 1.2 and data were analyzed using a pre-configured workflow specific for detecting low frequency somatic variants. The allele frequency cut-off was set at 0.04. In addition, the data were analyzed in parallel using a 'hot-spot bed file' containing hotspot mutations in the regions of our gene-panel derived from the COSMIC database. The allele frequency cut-off for hotspot analysis was set at 0.02. These cutoffs were chosen following a preliminary phase in which, starting from samples with known sequence, the best bioinformatic algorithm ensuring the highest sensitivity and specificity was identified. The workflow includes mapping statistics, measurements of the quality of all reads, on and off target statistics, SNP and INDEL calling and comprehensive annotation using public databases (dbSNP version 137, COSMIC version 60, OMIM version 08012012, Gene Ontology version 1.218). The variant caller algorithm was used and variants that were identified for each sample per lab in each phase were further filtered. We applied quality filtering by discarding reads with a quality score <40 and checking against strand bias. We filtered against variants with a variant allele

count less than 10, variants that showed up in every sample of one lab with the same allele frequency, the synonymous and non-coding variants and variants that were known as polymorphisms or with a Minor Allele Frequency (MAF) greater than 35%. As a result, a variant knowledgebase was generated with the variants detected in those samples. A specific workflow for this panel was configured in the online Ion Reporter™ Software and was used to perform analysis for data generated using the Colon/Lung panel.

### Results

#### Panel design and performance

The panel was designed in order to contain well-known predictive markers in the receptor tyrosine kinase (RTK) pathway, such as mutations of the *EGFR* (for NSCLC) and *KRAS* (for NSCLC and CRC) genes, but also included genes that might serve as targets in the near future or have a prognostic relevance, like *BRAF* [9,10], *AKT1* [11], *DDR2* [12,13] and *ERBB2* [14-16] (Table 1). Selection of the gene regions was based on their mutation frequencies, based on the COSMIC database (Table 1). In particular, the entire gene-panel targets 87 hotspot regions for the following 22 genes: RTKs (*ALK*, *EGFR*, *ERBB2*, *ERBB4*,

**Table 1 Mutation frequency of genes for which hotspot regions are present in the gene-panel**

Gene	Chr	RefSeq	Function	% Mutated CRC <sup>#</sup>	% Mutated lung cancer <sup>#</sup>
<i>AKT1</i>	14	NM_005163.2	Oncogene	0.64	0.42
<i>ALK</i>	2	NM_004304.3	Oncogene	2.74	5.25
<i>BRAF</i>	7	NM_004333.4	Oncogene	12.19	2.46
<i>CTNNB1</i>	3	NM_001904.3	Oncogene	4.61	3.13
<i>DDR2</i>	1	NM_006182.2	Oncogene	0	0.21
<i>EGFR</i>	7	NM_005228.3	Oncogene	2.75	26.71
<i>ERBB2</i>	17	NM_004448.2	Oncogene	1.48	1.80
<i>ERBB4</i>	2	NM_005235.2	Oncogene	3.84	8.35
<i>FGFR1</i>	8	NM_023110.2	Oncogene	0.55	1.62
<i>FGFR2</i>	10	NM_000141.4	Oncogene	0.42	1.68
<i>FGFR3</i>	4	NM_000142.4	Oncogene	0.53	0.92
<i>KRAS</i>	12	NM_004985.3	Oncogene	34.51	16.23
<i>MAP2K1</i>	15	NM_002755.3	Oncogene	0.15	1.14
<i>MET</i>	7	NM_001127500.1	Oncogene	0.73	3.03
<i>NOTCH1</i>	9	NM_017617.3	Oncogene	0.13	3.44
<i>NRAS</i>	1	NM_002524.3	Oncogene	3.37	0.88
<i>PIK3CA</i>	3	NM_006218.2	Oncogene	11.90	4.08
<i>FBXW7</i>	4	NM_0033632.2	Tumor suppressor	6.83	3.00
<i>PTEN</i>	10	NM_000314.4	Tumor suppressor	3.86	3.59
<i>SMAD4</i>	18	NM_005359.5	Tumor suppressor	7.89	2.68
<i>STK11</i>	19	NM_000455.4	Tumor suppressor	1.28	8.40
<i>TP53</i>	17	NM_000546.5	Tumor suppressor	41.75	37.64

<sup>#</sup>Data was extracted from the COSMIC database (<http://cancer.sanger.ac.uk/cancergenome/projects/cosmic/>) February 4<sup>th</sup> 2013.

*FGFR1, FGFR2, FGFR3, MET, DDR2*); RTK signaling genes (*KRAS, PIK3CA, BRAF, AKT1, PTEN, NRAS, MAP2K1, STK11*); and other well known cancer-related genes (*NOTCH1, CTNNB1, SMAD4, FBXW7, TP53*).

Dilution experiments with an artificial control sample containing 10 hotspot mutations in the *BRAF, EGFR, KRAS, NRAS* and *PIK3CA* genes (Horizon Diagnostics) demonstrated that hotspot mutations could be confidentially identified as low as 2% mutant alleles provided that the coverage was >500× (results not shown). Preliminary testing of the lung/colon cancer primer pool showed that up to 5 samples could be pooled on a 316 chip (Ion PGM™ Sequencer) with a minimal average read-depth of 500× (results not shown).

#### Experimental design

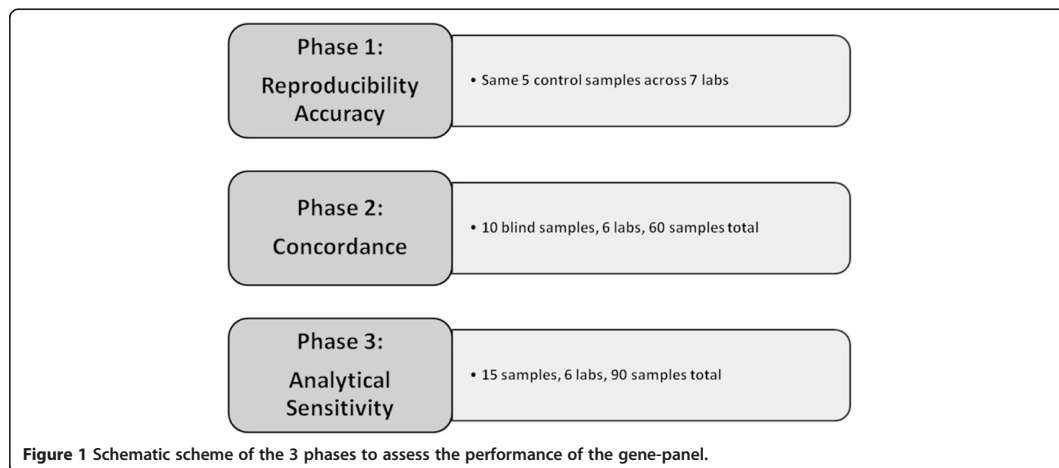
The above described panel, from now on called version 1 (v1), was used to test 155 FFPE tissue samples that contained mutations previously identified by other methods. In particular, the performance of the panel was assessed in three phases (Figure 1). The first phase aimed at setting up the AmpliSeq protocols, workflow and data analysis and to define accuracy and precision of the panel. To this end, 7 consortia labs tested 5 control samples in an inter-laboratory 'ring-trial', i.e. 2 AcroMetrix® controls (FFPE colon cancer cell lines, A12 and A13), 2 FFPE xenograft colon tumours (X23 and X32) and one FFPE lung sample (L1). All labs correctly identified in the control samples the mutations previously detected by Sanger sequencing (Table 2).

By using the panel, all 7 labs also identified 6 new variants in the two AcroMetrix® control samples (Table 2). To confirm that these new variants are not sequencing artifacts, we re-analyzed these positions by conventional Sanger sequencing. All 6 new variants were confirmed

suggesting the specificity of our workflow (data not shown). The two xenograft samples were not analyzed for new variants since off-target amplification of mouse DNA hampered data analysis.

In the second phase, we designed a ring-trial in which 6 labs selected 10 FFPE specimens (5 lung and 5 colon carcinoma FFPE samples) previously tested in a diagnostic setting (for sample characteristics see Additional file 1: Figure S1A). These samples were tested, in the blind, by a second consortium lab. In total, the selected 60 samples contained 47 previously identified mutations (42 missense and 5 indels) in the *KRAS, EGFR, BRAF* and *CTNNB1* genes (Table 3). All previously detected mutations were detected using our oncopanel v1 and the IonReporter variant caller.

In the third and final phase, each lab selected 15 in-house samples representative of the type of samples encountered in a diagnostic clinical setting and that are problematic to analyze due to paucity of material, such as small biopsies or cytological material, low percentage of neoplastic cells and poor DNA quality (for sample characteristics see Additional file 1: Figure S1B). In total 29 CRC and 61 NSCLC samples were selected. In these samples, 56 mutations in the *KRAS, EGFR* and *BRAF* genes (46 missense and 10 indels) were previously identified in 54 unique samples using different methods (Additional file 4: Table S3). DNA of 1 sample failed to amplify due to low quality (technical failure 1.1%). All other samples could be analyzed and all known variants were identified. Two additional samples were excluded from further in-depth analyses (2.2%), since these samples contained a high number of variants (>15) with an allele frequency of 4-7%, probably due to (over) fixation with formalin [17]. In the remaining 87 assessable samples, we identified 92 new variants in regions that were not analyzed with the



**Table 2 Variants identified in the 5 control samples**

Sample	Known mutations	Mutations identified by NGS <sup>‡</sup>	Allele frequency <sup>#</sup>
L1	<i>KRAS</i> : p.Gly12Cys	<i>KRAS</i> : p.Gly12Cys	0.44 - 0.54
A12	<i>KRAS</i> : p.Gly12Ala	<i>KRAS</i> : p.Gly12Ala <i>FBXW7</i> : p.His460Tyr <i>TP53</i> : p.Ala159Asp	0.66 - 0.67 0.46 - 0.49 0.74 - 0.75
A13	<i>KRAS</i> : Gly13Asp	<i>KRAS</i> : Gly13Asp <i>PIK3CA</i> : p.Asp549Asn <i>DDR2</i> : p.Thr98Ala <i>FGFR1</i> : p.Ala268Ser <i>NOTCH1</i> : p.Pro1581Leu	0.50 - 0.56 0.43 - 0.46 0.47 - 0.56 0.50 - 0.66 0.48 - 0.54
X23	<i>KRAS</i> : p.Gly12Asp <i>PIK3CA</i> : p.Glu545Lys	<i>KRAS</i> : p.Gly12Asp <i>PIK3CA</i> : p.Glu545Lys	0.65 - 0.75 0.40 - 0.51
X32	<i>KRAS</i> : p.Gly12Asp <i>PIK3CA</i> : p.Glu542Lys <i>FBXW7</i> : p.Arg465His <i>TP53</i> : p.Gly244Asp	<i>KRAS</i> : p.Gly12Asp <i>PIK3CA</i> : p.Glu542Lys <i>FBXW7</i> : p.Arg465His <i>TP53</i> : p.Gly244Asp	0.54 - 0.69 0.44 - 0.46 0.46 - 0.55 0.32 - 0.35

<sup>‡</sup>Newly identified variants were verified by conventional Sanger sequencing.

<sup>#</sup>Indicated is the range of the allele frequencies over the different laboratories.

previously used alternative method (mutations and low frequency SNPs with a MAF <0.04). Most of these new variants were identified in the RTK-signaling genes *BRAF*, *EGFR*, *KRAS* and *PIK3CA* (24 mutations) and in *TP53* (30 mutations) (Figure 2 and Additional file 5: Table S4). Furthermore, when taking the percentage of neoplastic cells in individual samples and the allelic frequency of the variants into account, in contrast to most mutations in *KRAS*, *EGFR* and *BRAF*, a large proportion of the newly identified

mutations appear to be present in only a subset of tumor cells (Figure 2).

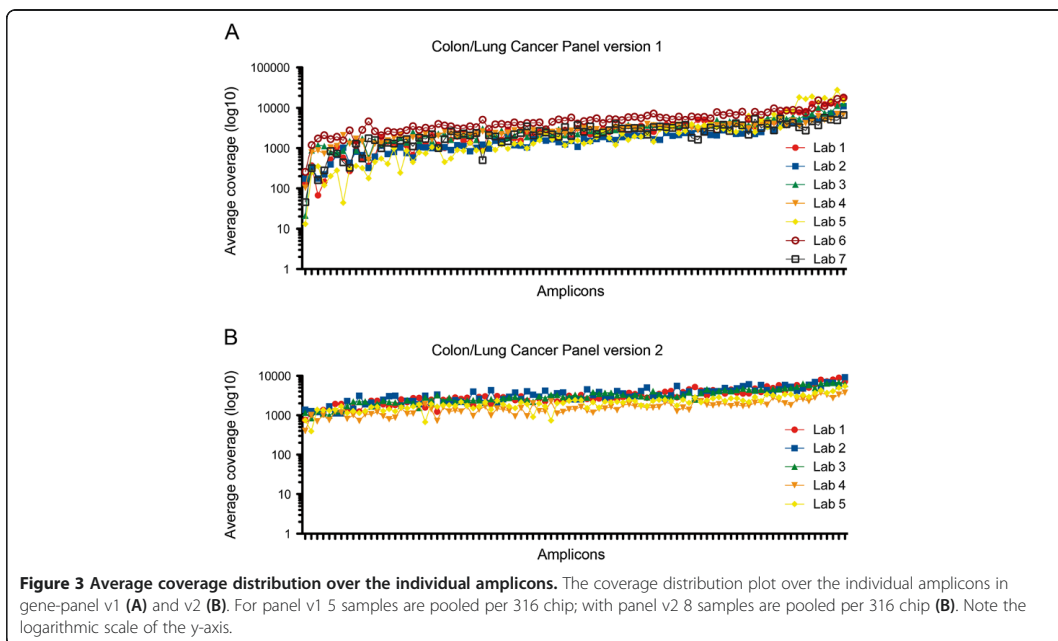
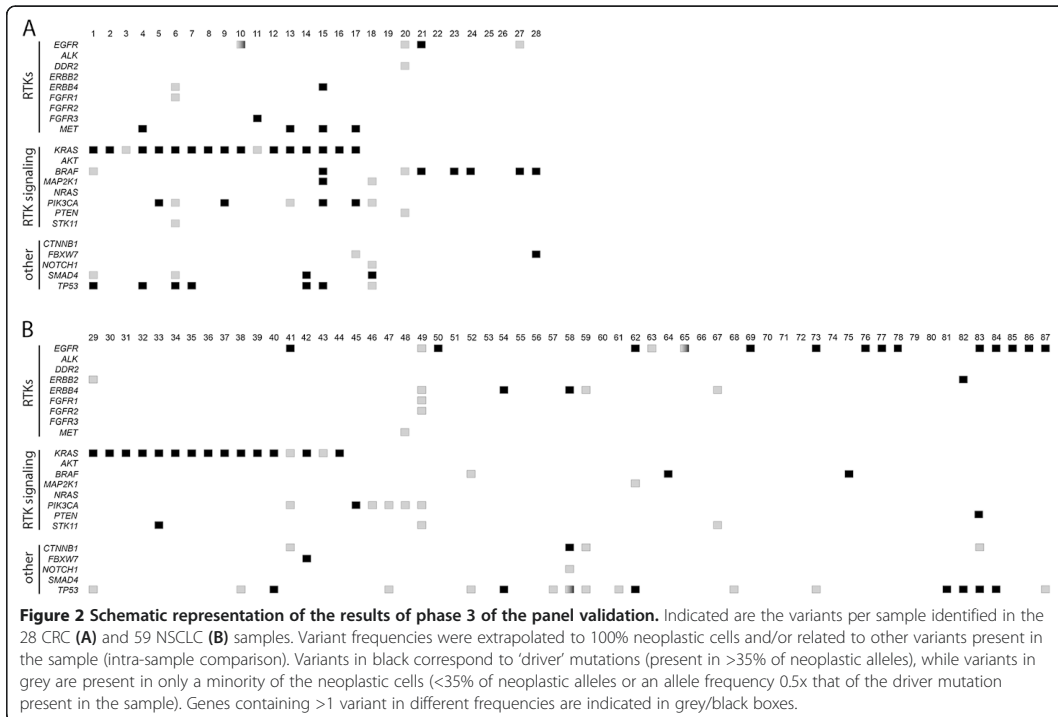
Thus, combining the data of all experiments, we analyzed 155 unique samples containing 112 previously identified mutations. All specimens were correctly genotyped, except one that could not be analyzed due to poor quality of the DNA, demonstrating excellent performance of the gene panel.

#### Sequencing efficiency and panel re-design

While the level of concordance for mutational analysis using this panel compared to classical Sanger sequencing is excellent, we could 'only' multiplex 5 samples on a 316 chip to reach a minimal read depth of 500× per amplicon. To determine if we could reduce the cost per sample by multiplexing more samples per chip, we assessed the sequencing efficiency by determining the read distribution over the individual amplicons. While the average coverage over the amplicons was reproducible among different labs and runs (Figure 3A and not shown), the difference between the lowest and highest covered amplicons was substantial (average coverage of 3274 ± 2470 reads). While this in itself is not a problem, the available sequencing capacity of the chip is not efficiently used. Since an improved primer design algorithm was introduced by Life Technologies after the design of panel v1, the panel primers were re-designed to attempt to optimize the read distribution over the amplicons (Additional file 3: Table S2). Moreover, the amplicons were designed to be smaller to facilitate the amplification of DNA extracted from FFPE specimens (91 amplicons, average size 158 bp). As shown in figure 3B, the read distribution of v2 over the

**Table 3 Known mutations present in the 60 samples that were analyzed in the blind during phase 2 of the panel validation**

Mutation	Unique samples	Identified
<i>BRAF</i> : p.Val600Glu	3	Yes
<i>CTNNB1</i> : p.Thr41Ile	1	Yes
<i>CTNNB1</i> : p.Asp32Asn	1	Yes
<i>EGFR</i> : p.Glu746_Arg748del	1	Yes
<i>EGFR</i> : p.Glu746_Ala750del	4	Yes
<i>EGFR</i> : p.Glu746_Ser752del	1	Yes
<i>EGFR</i> : p.Leu858Arg	5	Yes
<i>KRAS</i> : p.Gln61Arg	1	Yes
<i>KRAS</i> : p.Gly12Arg	2	Yes
<i>KRAS</i> : p.Gly12Cys	5	Yes
<i>KRAS</i> : p.Gly12Asp	8	Yes
<i>KRAS</i> : p.Gly12Ala	2	Yes
<i>KRAS</i> : p.Gly12Val	7	Yes
<i>KRAS</i> : p.Gly13Cys	1	Yes
<i>KRAS</i> : p.Gly13Asp	5	Yes





individual amplicons was superior to v1 (lowest and highest covered amplicons within 10 fold range). Due to the improved read distribution, up to 8 samples could be pooled on a 316 chip with an average read depth of  $2751 \pm 1107$  reads.

To check the performance of the panel, the 5 control samples of phase 1 were re-sequenced by all 7 laboratories, and the expected variants as well as the new variants identified in the two Accrometrix control samples were detected using panel v2. In addition, the new panel was used by 5 labs to re-sequence their 15 in-house samples (75 samples in total) previously tested with panel v1, and 6 labs also tested 5 samples not previously analyzed (not all labs were able to participate). All mutations previously detected with panel v1 were again identified using panel v2 (data not shown), demonstrating that this panel performs as well as v1 for these mutations. However, since 8 samples can be multiplexed on a 316 chip with panel v2, instead of 5 samples using v1, the sequencing costs per sample are significantly reduced.

## Discussion

Specification of the molecular class of NSCLC and CRC is mandatory to optimize personalized medicine for these diseases. However, a comprehensive molecular characterization of the tumor is faced with a number of challenges, including cost per test, turn-around-time and the limited amount of material available for genotyping. NGS-based approaches can overcome these challenges by providing in a single analysis information on tumor inter- and intra-heterogeneity that can be relevant in a clinical setting. In this respect, a number of NGS panels for tumor profiling have become commercially available. However, these panels lack of validation in clinical samples and their inter-laboratory reproducibility has not been shown. In this study we propose a novel model of collaboration between clinical research laboratories and NGS-companies that led to the development of a tool that is ready for application in a clinical setting. Indeed, the validation of panels through a consortium of academic institutions represents a novel approach to speed the development of new diagnostic tools, being able to provide information on a significant number of clinical specimens and on inter-laboratory reproducibility.

We must acknowledge that there are still several issues that need to be addressed to implement NGS panels in the clinic. Our panel included 22 genes, although the approved predictive biomarkers in colon and lung cancer are quite few (*KRAS*, *NRAS*, *EGFR*, *ALK*). Nevertheless, in many cancer comprehensive centers clinical trials with new drugs are open and molecular pathologists are requested to screen for a number of biomarkers that are included in the Colon and Lung cancer panel that we developed. For example, among the 61 difficult NSCLC samples analyzed in the third phase, we identified 18

*EGFR*, 7 *PIK3CA* and 3 *BRAF* mutants. Since drugs targeting these latter molecular alterations are in clinical trials, the availability of this information might significantly improve the possibility of these patients to receive a personalized therapy [5]. It is possible that novel biomarkers will be discovered in the future. In this respect, one advantage of this panel is that it can be easily adapted by adding new amplicons/genes of interest. Of course, a re-validation on a minimum number of samples is recommended for any modification of the panel.

The performance of a new test is a key element in evaluating the possibility to introduce it in clinical diagnostics. In this regard, we chose to analyze our data with an allele frequency cut-off of 4% for all variants and 2% for known hotspot mutations corresponding to 5-10% neoplastic cells carrying heterozygous mutations. This estimate is in agreement with a previous study that assessed somatic mutations in FFPE material by using semiconductor-based massive parallel sequencing [18]. The allele frequency cut-off required for the detection of somatic mutations is debatable, but samples with <10% neoplastic cells are also difficult to diagnose by classical histomorphological methods. Using this allele-frequency cut-off we tested 155 clinical FFPE samples that were previously analyzed by conventional methods. A substantial number of these specimens were specifically selected based on the fact that they were difficult to analyze with routine techniques. Even with this bias in sample selection we were still able to correctly analyze 154 of the 155 samples. Although our sample set was selected for certain mutations, our specimens contained variants that represent the full spectrum of mutations that are identified in NSCLC and CRC specimens. These include a variety of indel and missense mutations of which also hotspot mutations present in only 2% of the alleles were readily detected. Other studies have previously suggested that semiconductor-based sequencing of FFPE tissue is indeed feasible [18-20]. However, this is the first study that evaluated a so large collection of FFPE samples.

Other mutation-detection techniques, like quantitative PCR (qPCR) or high resolution melting (HRM), can also reach this level of sensitivity, but lack information regarding the allele-frequency of the mutation. For example, *EGFR* mutations showed allelic frequencies ranging between 20% and 210% (amplification), after normalization for the percentage of neoplastic cells. This information could be clinically relevant in the near future as suggested by a report showing that the duration of the response to target based agents might be related to the relative content of mutant alleles in the tumor [21]. A quantitative assessment of the different mutant clones in polyclonal tumors will also be useful for treatment decision, as recently shown for *EGFR* sensitizing and resistance mutations in NSCLC [22]. In addition,

genotyping techniques can only provide information on known mutations whereas sequencing-based methods can also detect novel and rare mutations. Some of the new variants we identified might not be true somatic mutations. Variants with high allelic frequencies could also represent infrequent SNPs, while especially non-hotspot variants with low allelic frequencies could be false positive calls. These are difficult to confirm however with standard methods like Sanger sequencing. It must be emphasized that during this project we used IonReporter Software version 1.2, while currently version 1.6 is available with improved mutation calling, especially in homopolymer regions.

Conventional methods, like Sanger sequencing or qPCR, are singleplex tests and, as the number of targets increases, the amount of DNA required for multiple tests is a limiting factor. This can be problematic especially in lung cancer, since molecular analyses are often performed on fine needle aspiration (FNA) biopsies or cytology samples yielding limited amounts of DNA. Our single multiplex PCR, consisting of 87 amplicons targeting 22 genes and requiring as little as 10 ng of input DNA, overcomes this problem. Analyzing a similar number of amplicons by conventional methods would require 500–1000 ng of DNA, clearly demonstrating the advantage of NGS.

Turn-around-time (TAT) is an important variable to take into account in clinical diagnostics. We opted for the IonPGM in our institutes since benchtop sequencers, like the Ion PGM or the MiSeq only need hours to generate sequence data. In combination with a sample preparation that is fast, like the Ion AmpliSeq™ gene-panel presented here, the TAT from DNA isolation to results is between 48 and 72 hours. While this may not be as fast as some conventional methods, it is adequate for most routine clinical applications.

An additional important consideration is cost. NGS in general is cheap if costs are calculated per sequenced base. However, clinical diagnostic laboratories do not need to sequence hundreds of genes (yet), and neither can they afford to batch numerous samples because of the short TAT needed for clinical specimens. It is therefore important that the sequencing capacity of the NGS platform is flexible and can be adjusted based on the requirements per experiment (e.g. the number of clinical specimens may vary from day to day). A second consideration is that the available sequence capacity on the chip is efficiently used. Since our custom panel specifically investigates genetic aberrations of interest for CRC and NSCLC, the required sequencing capacity for our panel is smaller compared to commercial panels that have been designed for a broad spectrum of cancers. We estimate the bill of materials costs between €130 and €175 per sample (excluding sales tax, depreciation and overhead costs) using the re-designed panel. While this may be higher compared to a

single conventional diagnostic test, it is actually a lot cheaper considering that the NGS approach replaces multiple conventional tests (Additional file 6: Table S5 and [18]).

## Conclusion

The rapidly changing landscape in the field of tumor molecular characterization requires the analysis of an increasing amount of targets. With the availability of fast benchtop sequencers such as the Ion Torrent PGM, massive parallel sequencing becomes available for clinical laboratories. Here we showed that the development and validation of new tests is feasible with a multi-lab effort, and believe it will facilitate the introduction of these tests into clinical settings. We also demonstrated that implementation of massive parallel sequencing using dedicated gene-panels can be fast, cost-effective and accurate. More importantly, this novel approach might facilitate the adoption of a molecular classification of lung and colon carcinoma in clinical research, thus improving the possibility to identify tumors carrying actionable molecular alterations. Finally, we want to highlight that organization of consortia similar to the one that we established in this study might represent a novel approach to have a rapid, un-biased validation among academic Institutions of new testing methods in a clinical scenario.

## Additional files

**Additional file 1: Figure S1.** Depicted are the characteristics for the samples used in phase 2 (A) and phase 3 (B) of the study. Indicated are the neoplastic cell content of the samples (information provided by 6/7 labs), the type of tissue (biopsy or resection), origin of tumor tissue (primary or metastasis), if the tumor tissue was micro-dissected, the method previously used to determine mutation-status and for the samples in phase 3 the reason for inclusion.

**Additional file 2: Table S1.** Gene-panel version 1.

**Additional file 3: Table S2.** Gene-panel version 2.

**Additional file 4: Table S3.** Known mutations present in the 90 samples that were analyzed during phase 3 of the panel validation.

**Additional file 5: Table S4.** All variants identified in the 90 samples that were analyzed during phase 3 of the panel validation. Indicated are the identified variants and the allele frequency.

**Additional file 6: Table S5.** Approximate indication of hands on time and costs of Sanger sequencing and Next Generation Sequencing of the genetic regions covered by the described gene panel.

## Competing interests

Reagents used during this study were purchased at discount from Life Technologies.

## Authors' contributions

All authors either performed the experiments or analyzed the data. NR performed the bio-informatical analyses. BT, NM, HK, CN, AS, IC, OS, LL, ML and PLP designed the study. BT and NM drafted the manuscript. All authors read and approved the final manuscript.

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In order to complete the metastatic cascade, cancer cells must detach from the primary tumour, intravasate into the circulatory and lymphatic systems, evade immune attack, extravasate at distant capillary beds, and invade and proliferate in distant organs. Metastatic cells also establish a microenvironment that facilitates angiogenesis and proliferation, resulting in macroscopic, malignant secondary tumours. Although systemic metastasis is responsible for about 90% of cancer deaths, most research in cancer does not involve metastasis in the *in-vivo* state. The fact that about 1,500 people continue to die each day from advanced cancer further attests to the failure in managing the disease once it disseminates through the body.

Primary tumour cells that intravasate into the peripheral circulation are called circulating tumour cells (CTCs) and are the functional moderators of the metastatic process. CTCs represent a promising target for anti-cancer screening and therapy. However, to efficiently detect and target CTCs, a greater understanding of their biology, particularly as it relates to their evasion of the immune system is essential. In the circulation, CTCs must overcome physiological barriers. They are subject to mechanical shear stress, immunological surveillance by immune cells in the peripheral circulation and cellular checkpoints for apoptosis and senescence. One mechanism by which they overcome these challenges is the adoption of a cloak of platelets onto their cellular surface. Platelets are essential components of haemostasis. Due to a plethora of factors released on activation, platelet functions are also connected to tumour growth, notably by acting on angiogenesis, contributing to the poor outcome of cancer patients occurs during hematogenous dissemination of cancer cells. CTCs bind to platelets and induce a cascade of platelet activation resulting in CTC-platelet clusters that protect CTCs from mechanical and biological clearance.

The findings in this study indicated a profound and systematic inhibition of innate immune surveillance induced by platelet cloaking or the elaboration of platelet releasate from cancer-primed platelets. It is an important finding in the context of immune evasion and cancer progression. I was Co-PI on this broad study. I procured the funding for the NK aspect of the work. I designed the study with co-PIs and provided lab supervision and assistance in drafting the manuscript.

RESEARCH ARTICLE

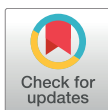
# Suppression of Natural Killer cell NKG2D and CD226 anti-tumour cascades by platelet cloaked cancer cells: Implications for the metastatic cascade

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## Abstract

Tumour cell immune evasion is a principal hallmark of successful metastasis. Tumour cells in the vasculature adopt a platelet cloak that efficiently suppresses the innate immune system by directly inhibiting Natural Killer (NK) cells, which normally function to neutralise spreading cancers. Here we describe two novel mechanisms of tumour cell evasion of NK cell anti-tumour functions. The first, an 'immune decoy' mechanism in which platelets induce the release of soluble NKG2D ligands from the tumour cell to mask detection and actively suppress NK cell degranulation and inflammatory cytokine (IFN $\gamma$ ) production, concomitantly. This represents a double-hit to immune clearance of malignant cells during metastasis. The second mechanism, a platelet-derived TGF $\beta$ -mediated suppression of the CD226/CD96-CD112/CD155 axis, is a novel pathway with poorly understood anti-cancer functions. We have demonstrated that platelets robustly suppress surface expression of CD226 and CD96 on the NK cell surface and their associated ligands on the tumour cell to further enhance NK cell suppression. These highly evolved mechanisms promote successful tumour immune evasion during metastasis and provide a unique opportunity for studying the complexity of cellular interactions in the metastatic cascade and thus novel targets for cancer immunotherapy.

## Introduction

Cancer is a leading cause of death in the developed world, second only to cardiovascular disease [1]. Greater than 90% of all cancer-associated deaths are caused by metastasis [1], and by extension, metastasised cancer is effectively an incurable disease. Primary tumour cells that intravasate into the peripheral circulation are called circulating tumour cells (CTCs).

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CTCs represent a promising target for anti-cancer screening and therapy. However, to efficiently detect and target CTCs, a greater understanding of their biology, particularly as it relates to their evasion of the immune system is essential. As such, our study attempts to understand the biology of CTCs by examining how platelets function to promote their evasion of the immune system using *in vitro* models of established tumour cell lines and Natural Killer cells.

In the circulation, cancer cells must overcome physiological barriers. Cancer cells are subject to mechanical shear stress, immunological surveillance by immune cells in the peripheral circulation and cellular checkpoints for apoptosis and senescence. One mechanism by which they overcome these challenges is the adoption of a cloak of platelets onto their cellular surfaces [2]. Cancer cells bind to platelets by GPIIb-IIIa-fibrinogen binding and up-regulate P-selectin on activated platelets [3]. This induces a cascade of platelet activation, resulting in cancer cell-platelet clusters that protect the cancer cells from mechanical and biological clearance [4]. The complex molecular processes of protection are, however, not fully understood. It is believed that this protection is, in part, mediated by the physical barrier of the platelet cloak as many anti-tumour actions require direct contact between the immune cell and the cancer cell target [5]. However, recent data indicates that activated platelets can transfer their major histocompatibility complex (MHC) class I to cancer cells by trogocytosis, which allows the cancer cell to escape immune detection via the newly acquired 'self' signal [6]. Moreover, cancer cells activate platelets, inducing degranulation and release of a plethora of immune modulators including potent immune suppressant cytokines, such as transforming growth factor  $\beta$  (TGF $\beta$ ) [7].

Natural killer (NK) cells are immune cells that function to lyse tumour cells without prior sensitization [8]. They play an important role in the immunosurveillance of tumours by recognizing and eliminating malignant cells, thereby preventing both local tumour progression and metastatic spread [8]. NK cell reactivity is guided by the principles of "missing-self" and "induced-self," which asserts that cells with low or absent expression of MHC class I (missing-self) and/or stress-induced expression of ligands for activating NK receptors (induced-self) are preferentially recognized and eliminated [9]. These functions of NK cells are complex and are regulated by a range of activating and inhibitory receptors expressed on the NK cell surface [9] and it is a balance of activating and inhibitory signals mediated by these receptors that determines whether NK cell responses will proceed. In the context of cancer cell surveillance and clearance, natural-killer group 2, member D (NKG2D), CD226 (DNAM-1) and CD96 (TACTILE) bind to ligands of cellular stress often overexpressed on malignantly transformed cells [9]. In response, NK cells degranulate and produce pro-inflammatory cytokines to stimulate an inclusive immune response to the transiting tumour cells [10].

In this study, we report that platelet cloaking of tumour cells promotes the loss of the NKG2D ligands, MICA and MICB, from the surface of tumour cells, inducing their secretion into the tumour microenvironment where they suppress NKG2D receptor expression on NK cells. This represents a sophisticated two-hit mechanism for platelet-mediated tumour cell evasion from immune defences and reveals an important therapeutic target to disrupt cancer cell functions during metastasis. Additionally, we describe the novel mechanism of platelets and their soluble molecules downregulating the receptors and ligands of the CD226/CD96-CD155/CD112 axis, further inhibiting NK cell functions and promoting tumour cell survival. This represents a potent mechanism of immune-evasion in cancer. We therefore suggest that better understanding of the molecular basis of NK cell immune evasion by tumour cells in circulation will enable the development of future therapeutics targeting the metastatic cascade in patients with late-stage disease.

## Materials and methods

### Ethics statement

Recruitment of participant blood donors for this study was approved by the School of Biochemistry & Immunology, Level 1 REC in Trinity College Dublin and written informed consent was obtained from all donors prior to phlebotomy. Additionally, all experiments were performed in accordance with relevant guidelines and regulations.

### Reagents

Anti-CD3-PerCP, CD56-PE, CD107a-FITC and CD42B-APC, including their IgG controls, were obtained from BD Pharmingen (San Diego, CA). Anti-IFN $\gamma$ -PE-Cy7, NKG2D-APC were obtained from eBioscience (San Diego, CA). Anti-MICA (AMO1) and MICB (BMO2) were obtained from BAMOMAB (Germany). Anti-mouse alexa-fluor-488 was obtained from Life Technologies (California, USA). Anti-CD226-FITC, Anti-CD96-PE, anti-CD155-FITC and anti-CD112-APC were obtained from Biolegend (California, USA). A TGF- $\beta$ -1 neutralising antibody and recombinant TGF- $\beta$ -1 was obtained from R&D systems (Minnesota, USA). Recombinant MICA and MICB were obtained from R&D systems (Minnesota, USA). Recombinant Human IgG1 Fc Protein, CF from R&D systems (Minnesota, USA). Thrombin receptor-activated peptide (TRAP) was obtained from Sigma-Aldrich (USA).

### Cell lines

Ovarian 59M and SKOV3 cells and melanoma SK-Mel-28 cells were used as a model system and cultured as previously described [11, 12]. K562 control cells were established and cultured as previously described [13].

### Preparation of peripheral blood mononuclear cells

For each experiment, Peripheral blood mononuclear cells (PBMCs) from healthy donors were used. Each experimental replicate is a representative of different donor. PBMCs were isolated from peripheral blood. Briefly, whole blood was layered onto LymphoPrep (Axis-Shield) and centrifuged at 600 x g for 30 minutes. PBMCs, which formed a white cell layer, were taken and washed with PBS. Red cells were eliminated by incubating the cells in red blood cell lysis buffer (Life Technologies) for 5 minutes at room temperature and washed again in PBS. Washed PBMCs were counted and plated according to each assay's specifications.

### Preparation of washed platelets

Platelets were donated by healthy donors. Each experimental replicate is representative of a different healthy donor. Blood was collected from donors by venepuncture through a 19-gauge butterfly needle without a tourniquet, to avoid platelet activation. Platelets were prepared as previously described [11].

### Platelet cloaking

The platelet adhesion assay was performed as previously described [11]. Briefly, washed platelets were co-incubated with tumour cells at a ratio of 1000:1 in RPMI media at room temperature under gentle rocking for 1 hour to obtain platelet cloaked tumour cells. To eliminate soluble factors, cloaked tumour cells were washed (3x) with PBS and co-incubated with PMBCs. To obtain soluble platelet cloaked tumour cell releasate, cloaked tumour cells were



centrifuged at 1000xg for 5 minutes and the supernatants were used with PBMCs for functional analysis.

### Staining of cell surface molecules for flow cytometric analysis

NK cells were incubated with optimal concentrations of the following anti-human Abs: CD56-PE, CD3-PerCP, NKG2D-APC, CD226-FITC, CD96-PE or the corresponding isotype control Abs (all from BD Pharmingen) in 100  $\mu$ l of 1% FCS/PBS at 4°C for 20 min.

Tumour cells, with and without platelets, were incubated with anti-human MICA, MICB unconjugated antibodies and alexa-fluor-488 secondary antibody. Staining of tumour cells using primary and secondary antibodies was performed for 1 hour at 4°C. Tumour cells were also stained with CD112-APC and CD155-FITC antibodies and isotype controls in 100  $\mu$ l of 1% FCS/PBS at 4°C for 20 min.

Cells were washed twice with PBS and acquired on a Cyan flow cytometer (Beckman Coulter, Brea, CA, USA). Events were stored and analysed on the FlowJo software (TreeStar). NK cells were gated from PBMC populations as distinct CD3-CD56+ cells (S1 Fig).

### CD107a staining

A total of  $1 \times 10^6$  PBMCs were stimulated with 500U hrIL-2 for 18 h in 96-round-bottom well plates. Freshly re-suspended tumour cells ( $2 \times 10^6$ ) and anti-CD107a-FITC (2  $\mu$ l/well) or IgG1-FITC (2  $\mu$ l/well), as a control, were then added. Plates were incubated for 1 h, before the addition of GolgiStop (BD Pharmingen). Plates were incubated for further 3 h before extracellular staining and flow cytometric analysis. All incubations were performed at 37°C.

### IFN- $\gamma$ intracellular staining for flow cytometric analysis

Cells were stimulated with 500U hrIL-2 for 18 h, the last 4 h in the presence of Golgi-Plug (BD Pharmingen). Cells were washed once in PBS and FcRs were blocked by incubating with 10% human AB serum in 1% FCS/PBS. Cell surface staining was performed as above, followed by intracellular staining with IFN- $\gamma$ -PE-Cy7 or IgG2a/b-PE-Cy7 (both from BD Pharmingen) as control using the Cytotfix/Cytoperm Plus kit (BD Pharmingen) according to the manufacturer's instructions.

### Functional assays

NK cell activation assays were performed with PBMCs at a PBMC to target cell ratio of 5:1. PBMCs yielded 10% NK cells on average. Functional studies were performed in the presence and absence of blocking antibodies to NKG2D, CD226 or CD96 on NK cells and MICA, MICB, CD155, CD112 tumour cell surfaces respectively. Here, cells were incubated in 1 $\mu$ g/mL solutions of either anti-NKG2D (R&D clone# 149810), anti-MICA (R&D clone# 159227), anti-MICB (R&D clone# 236511), anti-CD226 (BioLegend clone# 11A8), anti-CD96 (BioLegend clone# 92.39), anti-CD155 (BioLegend clone# SKII.4) and anti-CD112 (BioLegend clone# TX.31) for 1 hour at room temperature and washed to remove excess soluble antibody. Recombinant MICA and MICB were used at a final concentration of 10 $\mu$ g/mL for 30 minutes with PBMCs. IgG controls were used for each experiment.

Recombinant TGFbeta was used to pre-treat PBMCs for 1 hour at room temperature prior to functional assay in relevant experiments.

Activated platelets were obtained by incubating washed platelets with 125 $\mu$ g/mL thrombin receptor-activated peptide (TRAP) for 30 minutes at room temperature under gentle rocking

conditions. Activated platelets, and their releasate, were then used in functional assays as indicated within the text.

### ELISA

Detection of soluble MICA and MICB was performed using DuoSet ELISA development system from R&D Systems, according to the manufacturer's instructions. All concentrations are expressed as mean  $\pm$  SEM of triplicates.

### Real time PCR

Cancer cells were incubated for 24 hr in standard cell culture conditions with media alone or with media containing washed platelets, with a final cancer cell-platelet ratio of 1:1000. Total RNA was extracted from the samples using the miRVana Kit (Life Technologies, Foster City, CA, USA), according to manufacturer's protocol. ADAM10, ADAM17, ADAM19 and GAPDH mRNA expression levels were evaluated by TaqMan RT-PCR. The data was analysed using the comparative Ct method; where ADAM10, ADAM17 and ADAM19 mRNA expression was normalised to that of GAPDH and calibrated to that of untreated cells to establish the relative level of mRNA expression.

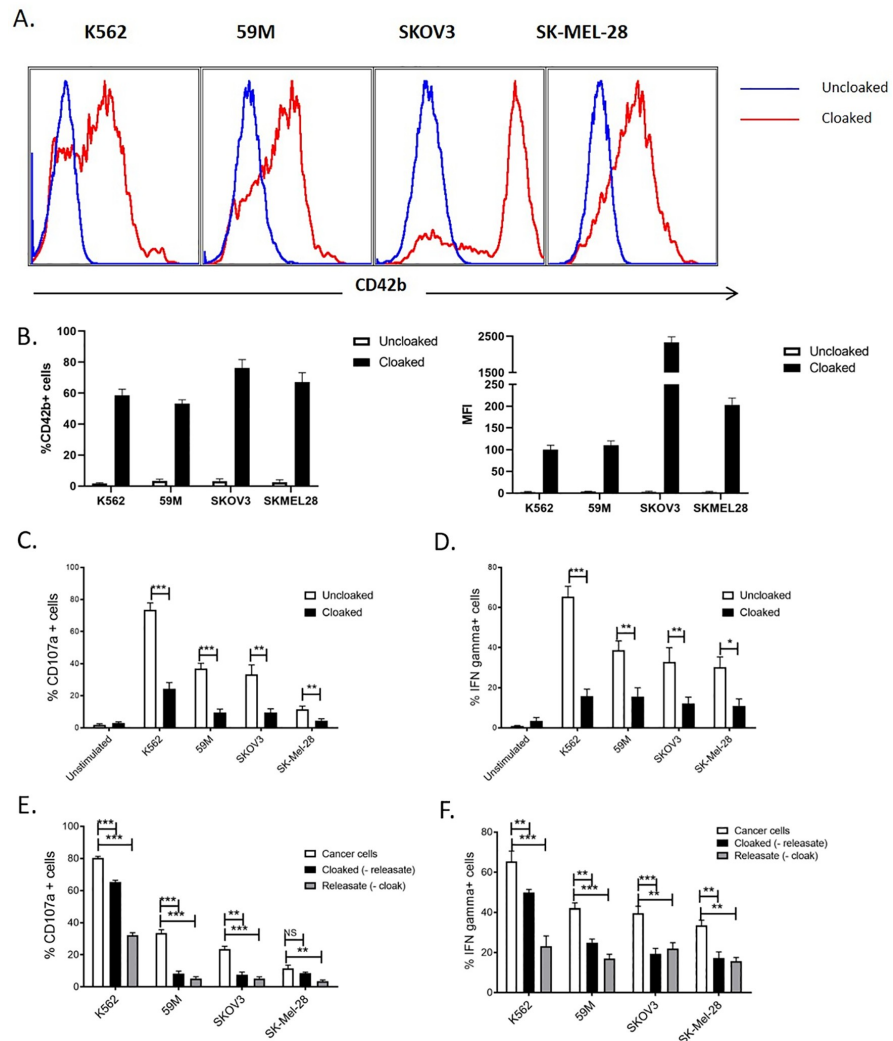
## Results

### Platelet cloaking facilitates evasion of ovarian and melanoma cancer cells from NK cell anti-tumour activity

To establish cloaking of tumour cells, the ovarian cell lines 59M and SKOV3 and the melanoma tumour cells SK-Mel-28 were incubated with platelets and CD42b platelet antigen was measured on tumour cell populations. The K562 cell line, an established target cell of NK cells, was chosen as a positive control. CD42b antigen was detected on tumour cell populations indicating efficient platelet cloaking for each cell line (Fig 1A and 1B; S1 Fig). We subsequently demonstrated robust anti-tumour functions of CD3-CD56+ NK cells (S1 Fig) when challenged with each tumour cell line, quantified by CD107a expression on the NK cell surface and intracellular IFN $\gamma$  production (Fig 1C and 1D). Ovarian and melanoma tumour cells induced a strong NK interferon gamma production, while melanoma cells poorly induced the CD107a response (Fig 1C and 1D). The presence of the platelet cloak significantly reduced NK cell anti-tumour activity to ovarian and melanoma tumour cells (Fig 1C and 1D). These results suggest that platelet cloaking of tumour cells potently inhibits NK cell effector functions in *in vitro* epithelial cancer models.

### Immune modulation is mediated by both soluble and contact factors

Given that both cell contact factors, such as HLA class-I, and platelet derived soluble molecules, such as TGF $\beta$ , are known to alter NK cell effector functions, we addressed the respective roles of soluble and contact factors in ovarian and melanoma tumour cell immune evasion. To study contact factors, PBMCs were incubated with platelet cloaked ovarian and melanoma tumour cells, and our K562 controls, which were washed to remove platelet soluble factors. Washing platelet-cloaked ovarian tumour cells to remove the platelet releasate partially restored NK cell activity and IFN $\gamma$  production (Fig 1E and 1F). For melanoma tumour cells, CD107a activity was completely restored in the absence of platelet releasate, while IFN $\gamma$  was unaffected (Fig 1E and 1F). This suggests a role for membrane bound cell-contact factors for ovarian and melanoma tumour cell lines. To examine soluble factors, PBMCs were incubated with tumour cells suspended in platelet releasate (platelet cloaked tumour cell supernatants) in



**Fig 1. Platelet cloaking inhibits NK cell functions.** (A,B) Quantification of platelet cloaking of ovarian and melanoma tumour cells, and the myelogenous leukaemia control cell line K562. Tumour cell lines were co-incubated with and without platelets and analysed for the surface expression of the CD42b platelet specific marker. Expression data are represented by histogram (A), as a percentage of total cells and by mean fluorescent intensity (MFI; B). (C-F) Analysis of the function consequences of platelet cloaking on NK cell functions. NK cell anti-tumour assays were performed by co-incubating PBMCs with tumour cells (cloaked and uncloaked) for 4 hours and measuring CD107a (C,E) and IFN $\gamma$  production (D,F) as markers of activation. (E,F) To dissect the respective roles of platelet contact factors (cloaked (minus the releasate)) and soluble factors (releasate (minus the platelet cloak)) platelets and releasate were isolated and used to treat NK cells in NK activation assays as previously described. (C-F) Data analysed by ANOVA—each experiment represents mean $\pm$ S.E.M. of at least three independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ .

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the absence of platelets. Soluble factors potently inhibit NK cell functions for all cell lines. This data demonstrates that both cell contact factors and the platelet releasate induced by both ovarian and melanoma tumour cells is a potent inhibitor of NK cell activity (Fig 1E).

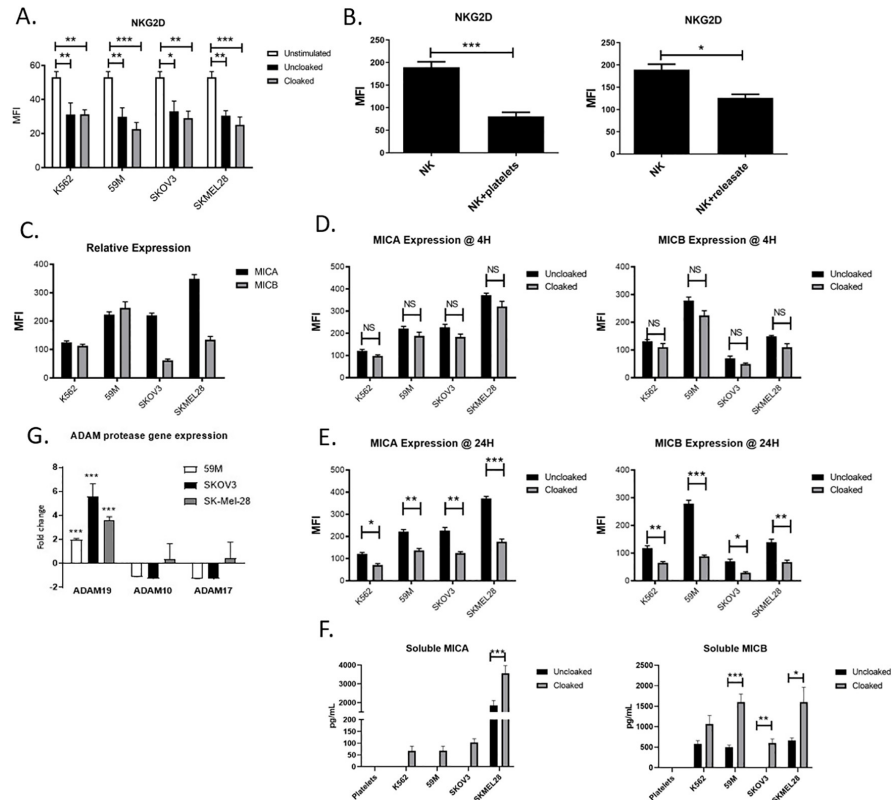
### Platelet cloaking drives an immune decoy mechanism via ADAM proteases

The NKG2D-MICA/MICB receptor-ligand system is well established in tumour immune surveillance. We hypothesised that the platelet cloak modulates both receptor and ligand to promote tumour immune evasion. Initially, we confirmed that the axis is functional in NK targeting of our cell lines by NK cell functional assays in the presence/absence of neutralising antibodies to NKG2D (S2 Fig) and the MICA and MICB tumour expressed ligands (S2 Fig). Neutralising each component significantly decreased NK cell anti-tumour activity against each epithelial cancer. We subsequently defined the role of platelet cloaked tumour cells, platelets and platelet releasate (from TRAP activated platelets) in regulating NKG2D expression and NK cell functions. Initial results reveal that both cloaked and uncloaked tumour cells actively suppress NKG2D on the NK cell surface (Fig 2A). Importantly, the decrease in detectable NKG2D on NK cells when co-incubated with tumour cells over 24 hours is well established phenomenon, which we have further demonstrated here. We have therefore dissected the functions of activated platelets and soluble factors in the absence of tumour cells. PBMCs were incubated with either activated platelets (by TRAP) and/or platelet releasate and significantly decreased NKG2D on the surface of NK cells was observed (Fig 2B).

We then examined the effect of the platelet cloak on the tumour cell and its expression of NKG2DL. Each tumour cell line expressed varying levels of MICA and MICB ligand on unstimulated cells (Fig 2C). The expression of surface MICA and MICB was markedly reduced for each epithelial cancer cell line when cloaked with tumour cells (Fig 2D and 2E). The strongest effect was observed for MICA expression on the melanoma cell line and for MICB on the 59M ovarian cells, which expressed the highest basal levels of their respective ligands. To examine the temporal relationship of platelets with decreased expression of tumour ligands, tumour cells were incubated for 4 hours and 24 hours with platelets to examine direct masking of ligand and/or proteolytic cleavage by the platelet (4h) and transcriptional changes in the tumour cell line (24h) (Fig 2D and 2E). Our results suggest that while there is some loss of MICA and MICB from the tumour cell at 4 hours (did not reach statistical significance) the majority of ligand is lost up to 24 hours suggesting that the mechanism is not a rapid steric occlusion but occurs more gradually (Fig 2D and 2E).

A known mechanism of NK cell inhibition is the induced suppression of the NKG2D receptor by soluble NKG2D ligands, specifically MICA and MICB. We incubated NK cells with recombinant MICA and MICB and observed significantly decreased surface NKG2D ligand expression in our system (S3 Fig). It is important to note that the presence of the recombinant molecule in the binding site of the NKG2D receptor may artificially decrease detection with antibody should they compete for binding sites. We therefore characterised the downstream effect of ligand binding to support our hypothesis. NK cells that were pre-treated with recombinant MICA and MICB had decreased anti-tumour functions compared with the IgG-Fc control protein (S3 Fig). This is supported by previous data in which NK cell functions were inhibited when NKG2D receptor functions were neutralised (S2 Fig).

Given that MICA and MICB are lost from the tumour cell surface in a platelet-dependent manner, we hypothesised a role for the platelet in promoting the release of soluble NKG2D ligands into the microenvironment of the cloaked tumour cells. To quantify this, supernatants from tumour cell cultures in the presence or absence of platelets, and platelets alone, were analysed by ELISA for soluble MICA and MICB molecules (Fig 2F). MICA was produced by



**Fig 2. Platelets modulate NKG2D receptor expression by inducing the release of NKG2DL MICA and MICB from the surface of tumour cell lines.** (A,B,C) To examine the role of platelet cloaked tumour cells, platelets and TRAP-induced platelet releasate in regulating NKG2D expression, quantification of NKG2D was performed by flow cytometry of PBMCs in the presence or absence of tumour cells, platelet cloaked tumour cells, platelets or platelet releasate for 24 hours. (C) Relative expression of MICA and MICB on tumour cell lines was quantified by MICA/MICB mAbs and flow cytometry (n = 3) (D,E) The role of the platelet cloak on the expression on known NK cell activating ligands MICA and MICB on tumour cells was investigated by measuring baseline expression (clear bars) and comparing this to platelet cloaked tumour cells (filled bars). Tumour cells were incubated for 4 (D) or 24 (E) hours in the presence or absence of platelets and ligand expression was quantified by flow cytometry. (F) Analysis of soluble MICA and MICB in the supernatants of tumour cell lines incubated for 24 hours in the presence or absence of platelets by ELISA. (G) Taqman analysis of platelet cloaked and uncloaked ovarian/melanoma tumour cells for ADAM19, ADAM10 and ADAM17 genes. Values shown are cloaked cells relative to uncloaked cells. (A,B,D) Data analysed by ANOVA—each experiment represents mean±S.E.M. of at least three independent experiments. (G) Data analysed by t-test and represented as standard deviation of at least three independent experiments, \* = p<0.05, \*\* = p<0.01, \*\*\* = p<0.001.

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melanoma cells in the absence of platelets but was not detected for ovarian or control cells. (Fig 1C). Platelet cloaking induced the release of MICA from all cell lines, however this only reached significance for our melanoma cells. Similarly, the presence of the platelet cloak induced secretion of soluble MICB from all cell lines, most notably from the 59M and SKOV-3 ovarian cell lines, demonstrating a platelet-dependent immune evasion mechanism.

The temporal relationship of cloaking with sNKG2DL release indicates tumour cell gene regulation as mechanistically important. Taqman relative PCR of cloaked and uncloaked

tumour ovarian cells revealed that ADAM19 is upregulated in platelet cloaked ovarian and melanoma cells compared with uncloaked cells (Fig 2G). Interestingly, ADAM10 and ADAM17 are not upregulated in the tumour cell, suggesting a novel and distinct process to regulate NKG2DL cleavage on the tumour cell surface. Our results demonstrate that platelets specifically upregulate genes of a protease family known to cleave NKG2DL ligands and suggests a potential role for tumour derived ADAM proteases in NKG2DL cleavage.

By treating releasate from platelet cloaked tumour cells that contained platelet soluble factors plus tumour cell soluble factors, with neutralising antibodies to MICA and MICB the suppression of NKG2D (Fig 3A), degranulation (Fig 3B) and pro-inflammatory cytokine (Fig 3C) production was partially rescued. Interestingly, rescue with anti-MICA antibody was only achieved for the melanoma cells, consistent with our finding that MICA is released only from melanoma cells in significant quantities. Additionally, rescue was observed for all cell types when MICB was neutralised as MICB was present in the releasate from each cell line.

Together these results reveal a decoy mechanism to promote tumour cell evasion from NK cell surveillance (Fig 3D).

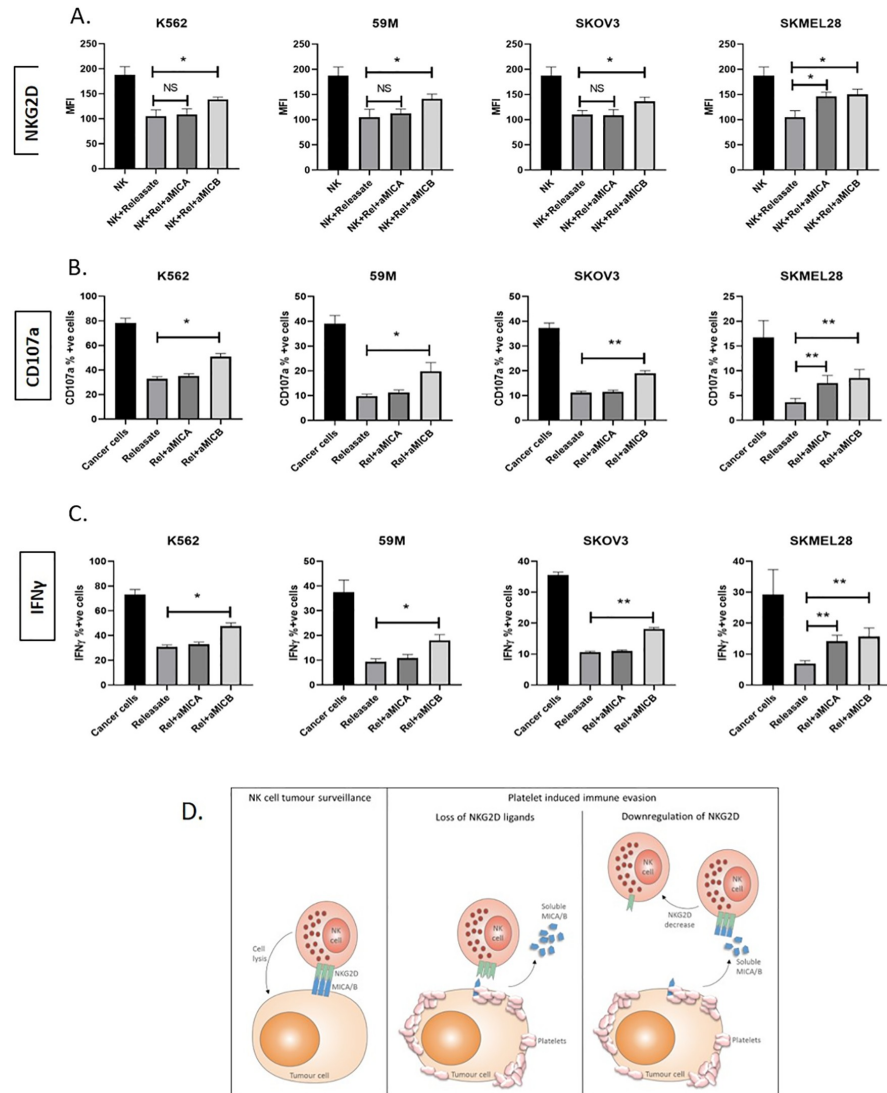
### Platelet cloaking disrupts the CD226/CD96-CD112/CD155 tumour recognition axis

Like NKG2D, CD226 and CD96 are receptors expressed on the surface of NK cells known to be important for tumour immune surveillance. The ligands for the CD226 and CD96 receptors on the tumour cell are CD112 and CD155. We confirmed and quantified their expression on the ovarian and melanoma cell lines by immunofluorescence using anti-CD112 and anti-CD155 specific monoclonal antibody based flow cytometry and each of our ovarian and melanoma tumour cell lines express both CD112 and CD155 (S4 Fig).

To investigate if the CD226/CD96-CD155/CD112 tumour cell recognition system is functional in the context of our ovarian and melanoma tumour cells we blocked each component with commercial mAb and performed NK cell functional assays (Fig 4 and S4 Fig). Blocking CD226 on the NK cell induced a marked inhibition of NK functions for both activity and cytokine production, with strongest effects seen for the epithelial cancer cell lines (Fig 4A). Neutralising CD96 on the NK cell (Fig 4A), or the ligands CD155 or CD112 (S4 Fig) on the tumour cell, did not disrupt NK cell targeted killing of our tumour cell lines. However, dual blocking of CD155 and CD112 ligands demonstrated marked inhibition of NK anti-tumour functions (Fig 4A). This highlights complexity and redundancy within the system.

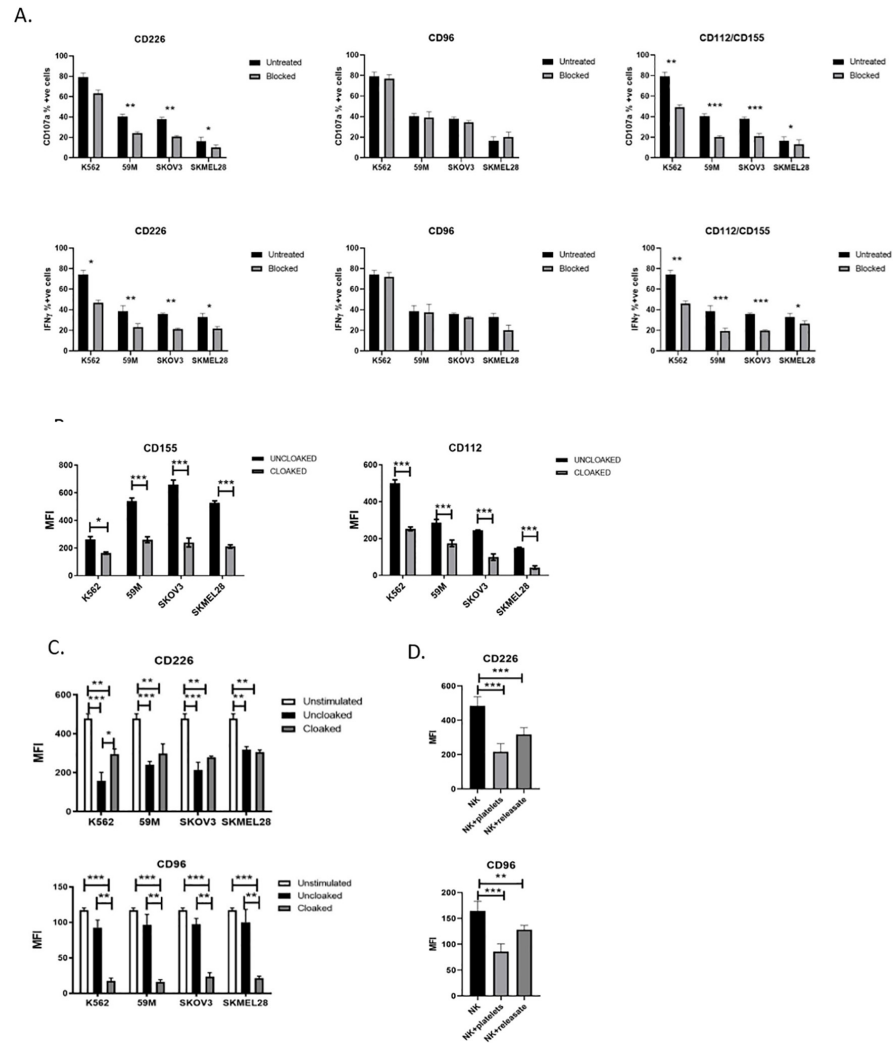
Given the role of platelets in regulating tumour cell expressed ligands and NK cell expressed receptors in the NKG2D-MICA/MICB system, we hypothesised that the CD226/CD96-CD112/CD155 axis would be regulated in a similar manner. We observed that tumour cell lines cloaked with platelets had a significant decrease in expression of CD112 and CD155 (Fig 4B). As previously shown, platelet cloaked tumour cells are less immunogenic than uncloaked cells and by blocking access to tumour cell ligands (using monoclonal antibodies) NK cell degranulation and cytokine synthesis are inhibited (Fig 4A and S4 Fig). This immune evasion mechanism is mediated by platelets and actively disrupts the CD226/CD96-CD112/CD155 axis.

We further confirmed that the platelet cloak downregulates NK cell CD226 and CD96 (Fig 4C). CD226 was inhibited when incubated with uncloaked tumour cells alone, a phenomenon that has been published previously (Fig 4C). There is a paradoxical increase in CD226 with platelet cloaked tumour cells, an artefact from CD226+ activated platelets sticking to NK cells (Fig 4C). Interestingly, NK activity was unaffected at 24 hours compared with 4 hours incubation suggesting that decreased CD226 is not mechanistic in inhibiting NK cells in this



**Fig 3. MICA and MICB in the releasate modulate NKG2D expression and NK cell functions.** (A) To examine the role of MICA and MICB in the releasate (from platelet cloaked tumour cells) in regulating NKG2D and NK cell functions, the platelet releasate was neutralised using monoclonal antibodies against MICA and MICB as previously described. NKG2D expression (A) and NK cell functions (CD107a (B) and IFN $\gamma$  (C)) were quantified following standard 4h NK cell function assays by flow cytometry and results are expressed as the mean fluorescent intensity (MFI; A) or as a percentage of unstimulated or IgG control (B and C). (D) Schematic representation of the platelet sNKG2DL mediated decoy mechanism of tumour immune evasion (A-C) Data analysed by ANOVA—each experiment represents mean $\pm$ S.E.M. of at least three independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$

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**Fig 4. Platelets disrupt the CD226/CD96-CD112/CD155 NK axis for NK cell targeting of tumour cell lines.** (A) Blocking assays using mAb against CD226, CD96 or CD155/CD112 in standard anti-tumour assays (CD107a surface expression and IFN $\gamma$  production) to analyse the role of each molecule in NK cell targeting of tumour cell lines. (B) The role of the platelet cloak on the expression on known NK cell activating ligands CD112 and CD155 on tumour cells was investigated by measuring baseline expression (clear bars) and comparing this to platelet cloaked tumour cells (filled bars). Tumour cells were incubated for 24 hours in the presence or absence of platelets and ligand expression was quantified by flow cytometry. (C) Analysis of the effect of tumour cells with and without the platelet cloak on expression of the NK cell receptors CD226 and CD96. PBMCs (clear bars) were co-incubated with uncloned (filled bar) and cloaked (grey bars) tumour cells for 24 hours and expression of CD226 and CD96 were quantified by flow cytometry. (D) To dissect the role of soluble factors, experiments were repeated as above with TRAP-activated degranulating platelets (+platelets group) and releasate from TRAP-activated degranulated platelets that was cleared of platelet cellular material (+releasate group). (A-D) Data analysed by ANOVA—each experiment represents mean $\pm$ S.E.M. of at least three independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ .

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scenario. To dissect further the role of the activated platelet and releasate, PBMCs were co-incubated with either TRAP activated platelets or platelet releasate and NK receptor expression quantified by flow cytometry (Fig 4D). CD226 expression on the cell surface was decreased by both activated platelets and platelet releasate (Fig 4D). More potent inhibition with platelet material suggests that molecules from the platelet surface have regulatory functions while soluble molecules are also active as demonstrated by a potent suppression of CD226 by the releasate (Fig 4D). A similar effect was observed for CD96 with significantly decreased expression in response to platelet cloaked tumour cells, platelets and releasate suggesting a role for both in CD96 suppression, suggesting that CD226 and its CD96 co-receptor are suppressed in concert (Fig 4C and 4D). The regulation of CD96 on the NK cell is, unlike CD226, independent of the suppressive effects of the tumour cell alone.

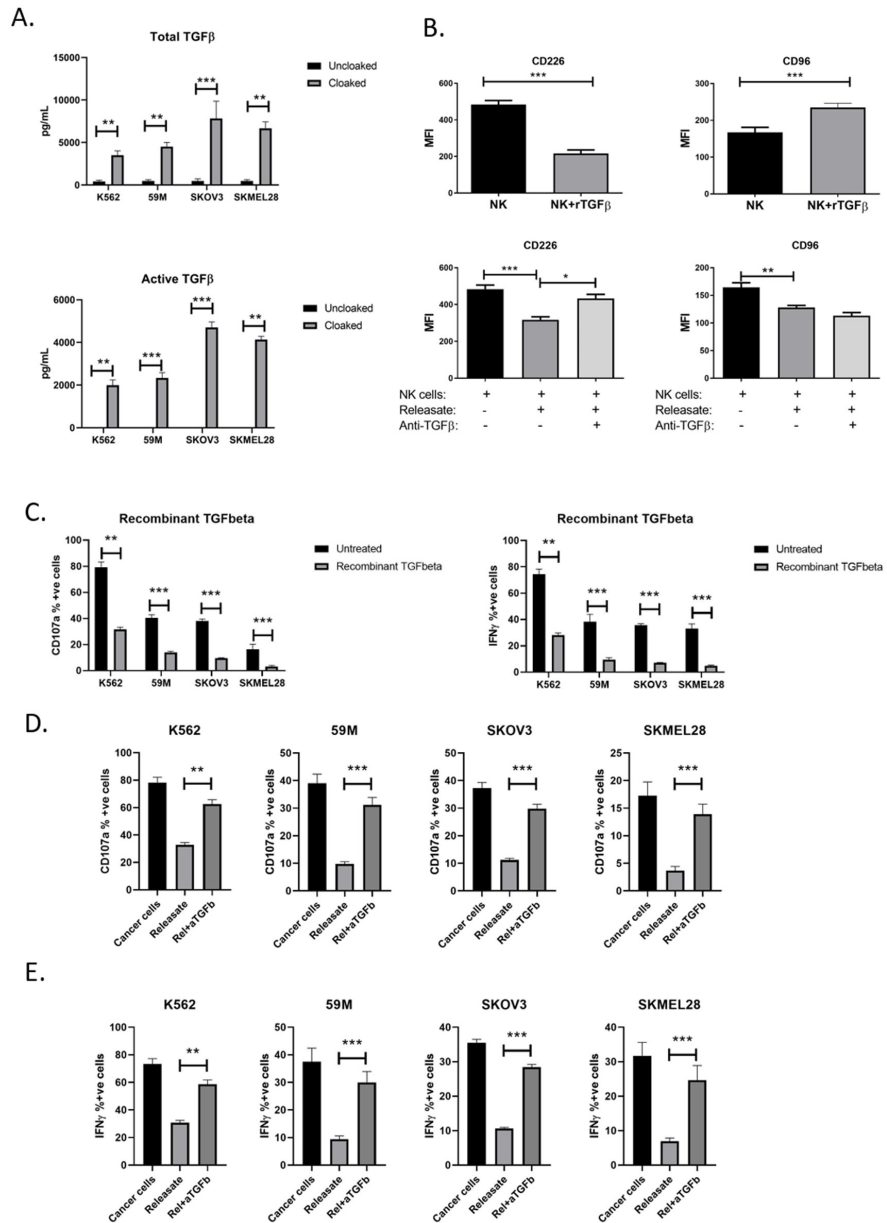
### TGF $\beta$ from platelets inhibits CD226 but not CD96 on NK cells

TGF $\beta$  has established functions in NKG2D receptor down-regulation and NK cell anti-tumour functions. TGF $\beta$  is released from activated platelets as demonstrated by ELISA assays performed on tumour cells in the presence or absence of platelets (Fig 5A). Recombinant TGF $\beta$  downregulated CD226 expression on NK cells. Interestingly, there was a significant increase in CD96 expression (Fig 5B). Additionally, by neutralising TGF $\beta$  in the platelet releasate (from platelet cloaked tumour cells) using anti-TGF $\beta$  antibody we observed rescue of CD226 suppression, supporting TGF $\beta$  dependant regulation of this receptor. No significant effect was observed for CD96 indicating that this receptor is regulated independently of TGF $\beta$ . By treating NK cells with recombinant TGF $\beta$  their functions could be suppressed (Fig 5C), which was supported further by neutralising TGF $\beta$  in the releasate to rescue NK cell suppression (Fig 5D and 5E). Together these data demonstrate that TGF $\beta$  suppresses NK cell functions via down-regulation of CD226 on the NK cell surface.

### Discussion

In the bloodstream, cancer cells (also known as circulating tumour cells or CTCs) encounter many challenges that are overcome, in part, by the formation of CTC-platelet clusters mediated by coagulation factors released both by the tumour cell and the activated platelets [14]. CTCs must evade immune clearance by NK cells in peripheral circulation. NK cells express receptors that efficiently bind tumour cell antigens and release cytotoxic granules to neutralise tumour cells in the blood. However, as previously reported, CTCs induce the release of immune-suppressive molecules from platelets to inhibit NK cells and evade detection [7, 15]. Furthermore, platelets have been shown to transfer their major histocompatibility complex class I to tumour cells, thereby conferring 'self' status and contributing to immune evasion [6]. The interactions between tumour cells and platelets are complex, particularly the role of platelets in facilitating immune evasion of CTCs, similar to that previously identified for germ cell, prostate and colon cell lines [7].

In this study, we examined the effects of platelets and their releasate on two different models of metastatic disease; using low trafficking ovarian cancer cells that spread primarily through the abdominal cavity in ascitic fluid and less commonly through peripheral vasculature, and high trafficking malignant melanoma cells that spread predominantly via the lymphatic and vascular systems. We predicted that cells with such disparate metastatic strategies would vary in their basic molecular anti-immune functions. Using flow cytometry, we confirmed the capacity of ovarian and melanoma tumour cells to efficiently activate and adopt a cloak of platelets and induce the release of modulatory molecules, including TGF $\beta$ . We have subsequently shown that the molecules contained within the platelet releasate are potent inhibitors



**Fig 5. TGFbeta is an active soluble molecule in platelet derive immune evasion of circulating tumour cells. (A)** Quantification of TGFbeta (total and active) released from cloaked tumour cells (filled box) compared with uncloaked cells (clear box) using ELISA duo-set analysis of supernatants of tumours cells incubated for 4 hours in the presence or absence of platelets. (B) To dissect the role of TGFbeta, recombinant TGFbeta1 molecule was used to pre-treat NK cells for 24 hours and CD226 and CD96 receptor expression was quantified by flow cytometry. To confirm the in vitro role of platelet derived TGFbeta, neutralising antibody against TGFbeta was used to pre-clear supernatants and receptor expression was compared with untreated

cells. (C) Functional assays to confirm the effect of TGFbeta on NK cell anti-tumour functions were performed by pre-treating NK cells with recombinant TGFbeta and measuring CD107a surface expression and IFNgamma production as NK cell activation markers. (D,E) Analysis of the role of TGFbeta in platelet releasate on CD107a expression (D) and IFNgamma production (E) by comparison of the effect of TRAP-induced platelet releasate versus releasate that has been cleared of TGFbeta by neutralising antibody. (C-E) Results represent the detection of the activation markers as a percentage of the average response of untreated NK cells to each individual tumour cell line. (A-E) Data analysed by ANOVA—each experiment represents mean±S.E.M. of at least three independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ .

<https://doi.org/10.1371/journal.pone.0211538.g005>

of NK cells. We also demonstrated the conserved roles of contact factors from cell-cell interaction and soluble factors from the platelet releasate in suppressing NK cell anti-tumour functions to tumour cells that spread haematogenously (high trafficking) and transcoelomically (low trafficking).

The interaction between NKG2D on the NK cell and NKG2DL (MICA and MICB) on the tumour cell activates NK cell anti-tumour functions. As NKG2DL are expressed only on stressed cells, including malignantly transformed cells, this axis represents a targeted mechanism for immune clearance of cancer cells. Interestingly, prolonged exposure of NK cells to ligand expressing tumour cells results in decreased levels of NKG2D on the NK cell. This was an important consideration in our study and we therefore examined the functions of platelets and the soluble factors in isolation from tumour cells. In our study, platelet releasate from cloaked ovarian and melanoma tumour cells suppressed NKG2D on NK cells, a phenomenon previously reported for prostate and colon cancer cells [7]. Platelets acted on the tumour cell to decrease detectable surface NKG2DL, altering the 'stressed or non-self' to 'self' phenotype. Platelet cloaking subsequently reduced the immunogenicity of tumour cells to NK cells, promoting immune evasion. We supported this finding by demonstrating decreased NK cell recognition and reactivity to tumour cells that were neutralised with antibodies against the NKG2DL, MICA and/or MICB.

TGFβ from the platelet is a well-established mechanism of suppression of NKG2D receptor surface expression and NK cell function. However, we observed that NKG2D, and thus NKG2D function, is more potently suppressed by the platelet releasate than by recombinant TGFβ (S2 Fig). We, therefore, hypothesised that solubilised NKG2DL contributes to NKG2D suppression. In our study, ovarian and melanoma tumour cells with a platelet cloak could be induced to release soluble NKG2DL into the tumour cell microenvironment and that NKG2D was actively suppressed using recombinant MICA and MICB proteins. A recent study demonstrates the impact of platelet cloaking on the shedding of NKG2D ligands, which supports these findings [16]. Additionally, by neutralising soluble MICA and MICB in the platelet releasate we partially restored NKG2D suppression and function. Neutralising MICA was effective only for melanoma cells, which release soluble MICA in high doses in a platelet-independent manner. This perhaps suggests that the high trafficking melanoma cells release high concentrations of soluble MICA to evade NK cell detection and attack, providing an explanation for the lesser capacity of NK cells to mount an immune response to this cell line. Soluble MICA for ovarian cancer cells, while induced by platelet cloaking, saw only modest increases in levels in the microenvironment and is therefore unsurprising that neutralising sMICA in the releasate has no measurable effect. Conversely, however, neutralising MICB in the releasate restored NKG2D expression for each cell line which correlates with the platelet induced release of soluble MICB from tumour cells, previously described. This suggests a conserved role for MICB in high and low-trafficking cells, while MICA appears functional only in the high-trafficking cell type.

A role for the ADAM proteases in the shedding of MICA and MICB has been shown previously [16, 17]. To examine the role of platelet-surface ADAM proteases we defined the

temporal relationship of platelet cloaking with shedding of MICA and MICB from the surface. We found that while low levels of shedding are detectable at shorted time intervals (attributed to direct cleavage by platelet-surface ADAM10 and ADAM17), a much stronger effect was observed at 24 hours incubation. We subsequently examined ADAM10, ADAM17 and ADAM19 expression in the tumour cell and found that while ADAM10/17 are not induced by platelet cloaking, ADAM19 is potently induced. We suggest that ADAM19 plays an important functional role in MICA and MICB cleavage and we aim to address this further in future studies. Indeed, the detection of soluble MICA and MICB in the serum of patients with advanced hepatocellular carcinoma [18] and oral squamous cell carcinoma [19] respectively represents a marker of poorer prognosis, while increased soluble MICA and decreased NKG2D levels are poor prognostic markers in pancreatic cancer [20]. Our results demonstrate an immune decoy mechanism for tumour cell escape from Natural Killer cells in the peripheral circulation and identify a novel mechanistic target in the metastatic cascade for cancer immunotherapy.

NK cell immunoglobulin receptors CD226 and CD96 that interact with the nectin-like ligand CD112 and the polio-virus receptor CD155 on target cells are emerging as important mediators of NK cell anti-cancer functions [21–23]. The function of CD226 in recognition of tumour cells by NK cells has been previously examined [24]. In our study, we initially demonstrated that CD226 was functional in NK targeting of our melanoma and ovarian tumour cell lines. This was achieved by observing the negative functional impact of blocking the CD226 receptor with commercial mAb. Interestingly, neutralising CD96, a known adhesion molecule had no significant effect on NK cell functions. This is supported by previously published data [24]. CD96 is less well understood. Its primary ligand is CD155 and recent studies of murine CD96 have shown it to be a competitive inhibitor of CD226, competing for binding to CD155 with a stronger binding affinity for the ligand and directly inhibiting NK cell degranulation and cytokine production as a result [21]. However, CD96 acts as an adhesion molecule facilitating NK activity in the human context, and is therefore described as an NK cell activating receptor [25]. Evolutionarily divergent functions of the murine and human CD96 molecule has been considered by experts as an explanation for the differing reports and more work is required to fully define CD96 in the human context. The functional complexities of CD96 in tumour immune surveillance are intriguing, however, they are beyond the scope of this study and we intend to focus on these in further studies. Supporting a role for CD226 and CD96 in the context of tumour evasion, a study of patients with pancreatic cancer demonstrated that CD226+CD96+ NK cells are deficient in patients versus healthy controls, while TIGIT was not altered [26]. Additionally, CD226 and CD96 upregulation was observed on NK cells of relapse free breast cancer patients and CD226 was found to be decreased on anergic NK cells associated with lung cancer [27–29].

Given that the axis is active in immune surveillance of both melanoma and ovarian tumour cells, we established that platelet cloaked tumour cells, activated platelets and platelet releasate all function to suppress expression of CD226 and CD96 on the NK cell surface. Furthermore, we observed that platelet cloaked tumour cells have significantly decreased expression of the CD112 and CD155 ligands that activate CD226/CD96. This mimics the dual functionality of the platelet in the NKG2D/NKG2DL system to inhibit both immune receptors and the tumour cell marker. The role of TGF $\beta$  in modulating NK cell receptor function is established for NKG2D [7], and herein we have described a similar role for CD226. Recombinant TGF $\beta$  suppressed CD226 expression and NK cell functions and this was supported by neutralising TGF $\beta$  in the platelet releasate to partially rescue suppression. TGF $\beta$  significantly increased CD96 expression on NK cells, suggesting that CD96 is regulated independently of TGF $\beta$ . This novel role for TGF $\beta$  may aid in the development of successful anti-TGF $\beta$  or anti-platelet strategies in battling metastatic disease.

Taken together, these findings indicate a profound and systematic inhibition of innate immune surveillance by platelet cloaking or elaboration of platelet releasate from cancer-primed platelets and provide potential future chemotherapeutic targets for metastatic disease.

### Supporting information

**S1 Fig. Representative gating strategy.** (A) Gating of tumour cell populations from free platelets. FSC/SSC plots of SKOV3 cells, platelets and cloaked SKOV3 cells demonstrates two distinct populations. (B) Tumour cell gate (P11) stained with CD42b APC antibody. Isotype control, SKOV3, platelet and cloaked SKOV3 samples demonstrate the low free platelet contamination in the tumour cell population and the positive and negative populations for cloaked tumour cells. (C) A lymphocyte gate was applied to whole PBMCs from healthy donors. Lymphocytes were gated for NK cells (CD3-CD56+ cells). (D) CD3-CD56+ NK cells were treated with cancer cells and cloaked cancer cells (cancer cells + platelets) and analysed for CD107a expression.

(TIF)

**S2 Fig. Neutralising the NKG2D-NKG2DL axis inhibits NK cell functions.** (A) Quantifying the capacity of monoclonal antibodies to neutralise NKG2D receptor on NK cells and MICA and MICB ligands on tumour cell lines. PBMCs and tumour cell lines were incubated for 1 hour at room temperature in the presence or absence of 1µg/mL of respective mAb and subsequently stained with fluorescent antibodies to quantify molecular blockade compared with untreated cells. For tumour cell lines, the clear box represents staining in the absence of mAb blockade and the filled box represents neutralised cells. (B) Given the satisfactory neutralisation of surface molecules, the cells were used in standard anti-tumour assays (CD107a surface expression and IFNγ production) to analyse the role of each molecule (and indeed, a combination of molecules) in NK cell targeting of tumour cell lines. (C) Expression of NKG2D on NK cells. NKG2D was potently suppressed but both platelet releasate and TGFβ recombinant protein, with significant inhibition with releasate compared with recombinant protein. (A,B,C) Each experiment represents mean±S.E.M. of at least three independent experiments.

\* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ .

(TIF)

**S3 Fig. The role of soluble MICA and MICB in NKG2D expression and NK cell functions.**

(A) Expression of NKG2D on NK cells post-treatment with recombinant MICA or MICB for 24 hours. (B and C) NK cells were also functionally analysed for CD107a expression and IFNγ production. Results are expressed as a percentage of control in the presence of IgG control for each cell line. (A-C) Data analysed by ANOVA—each experiment represents mean±S.E.M. of at least three independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ .

(TIF)

**S4 Fig. Quantifying expression and function of CD112 and CD155 ligands on tumour cell lines.**

(A) Quantifying CD112 and CD155 ligands on tumour cell lines using fluorescent mAb and flow cytometry (B) Monoclonal antibodies against CD155 or CD112 were used to block NK cell targeting of tumour cell lines. NK cells were co-incubated with tumour cells in the presence or absence of tumour cells that were pre-treated with neutralising antibodies and degranulation and cytokine production was quantified. Results are expressed as a percentage increase or decrease of neutralised conditions compared with untreated cells. (C) 24 hour timepoint for NK reactivity. CD107a and IFN γ quantification of NK cells that were incubated for 24 hours with either tumour cells alone or with cloaked tumour cells (A,B,C)

Data analysed by ANOVA—each experiment represents mean±S.E.M. of at least three independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ .  
(TIF)

## Acknowledgments

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**Conceptualization:** Cathy Spillane, Sharon A. O'Toole, Orla Sheils, Clair M. Gardiner, John J. O'Leary.

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**Formal analysis:** Christopher D. Cluxton, Cathy Spillane.

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**Resources:** Sharon A. O'Toole, Orla Sheils, Clair M. Gardiner, John J. O'Leary.

**Supervision:** Cathy Spillane, Sharon A. O'Toole, Orla Sheils, Clair M. Gardiner, John J. O'Leary.

**Validation:** Christopher D. Cluxton.

**Visualization:** Christopher D. Cluxton.

**Writing – original draft:** Christopher D. Cluxton.

**Writing – review & editing:** Christopher D. Cluxton, Cathy Spillane, Sharon A. O'Toole, John J. O'Leary.

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## CURICULUM VITAE

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## PERSONAL INFORMATION

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## EDUCATION

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- 07/00      PhD- Dept of Histopathology, School of Medicine, Trinity College Dublin,  
                 PhD supervisor – Prof Eamonn Sweeney
- 10/06      Masters - Centre for Medical Ethics & Law/Dept of Law,  
                 Kings College London, UK

## CURRENT OR MOST RECENT POSITION

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- 09/22      Vice Provost/Chief Academic Officer, Trinity College Dublin

## PREVIOUS POSITION(S)

---

- 04/19      Dean of Faculty of Health Sciences, Trinity College Dublin
- 10/17      Professor of Molecular Diagnostics  
                 Faculty of Health Sciences, School of Medicine, Discipline of Histopathology,  
                 TCD
- 02/16 - 14/19 Director Trinity Translational Medicine Institute
- 10/11 -10/17 Professor in Molecular Pathology  
                 Faculty of Health Sciences, School of Medicine, Discipline of Histopathology,  
                 TCD, Ireland

## SUPERVISION OF GRADUATE STUDENTS AND POSTDOCTORAL FELLOWS

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Successfully completed theses:

School of Medicine Trinity College Dublin:

MD	PhD	Masters	Post-Doctoral Fellows
12	28	22	15

## TEACHING ACTIVITIES

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Professor of Molecular Diagnostics and Director of Medical Ethics School of Medicine, TCD

### **Courses-**

UG Medicine (modules over 4 years)

PG Taught (MSc) Modules in MSc in Molecular Medicine, Translational Oncology, Bio-Engineering, Pharm Med, Medicine, Global Brain Health Institute

## INSTITUTIONAL RESPONSIBILITIES

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Details	Capacity	Date From	Date To
Vice-Provost-/Chief Academic Officer	VP	09/2021	present
Board	VP	09/2021	present
Dean – Faculty of Health Sciences	Dean/ VP	04/2019	present
Finance Committee	Member	2019	present
Council	Member	2019	present
Quality Committee	Chair	2021	present
Marino Institute of Education Governing Body	Member	2021	present

Dublin Dental University Hospital Board	Member	2019	present
DDUH – Strategic Oversight Committee	Member	2019	present
Trinity Translational Medicine Institute	Director	2016	2019
Trinity/St James's Liaison Group	College representative	2017	present
LERU- LEAR Policy Group	Steering Committee Member	2021	present
LERU -Biomedicine / Life Sciences (BIOM) Policy Group	Member	2017	2021
School of Medicine Curriculum Committee	Member	2003	2019
TCD Research Ethics Policy Group	Chair	2012	2019
National CoVid-19 Clinical REC	Member	2020	present
SJH/Tallaght Research Ethics Committee	Member (Deputy Chair)	2012	present
Chairperson of Faculty of Health Science (TCD) Research Ethics Committee	Chairperson	2004	2012
Director of Postgraduate Teaching & Learning	School of Medicine	2012	2015
College Working Group on Plagiarism	Member	2012	2015
Post Graduate Advisor	School of Medicine	2015	2020
Director of Medical Ethics	School of Medicine	2007	present
Acting Head of Discipline (during periods of illness/ extended leave)	Histopathology & Morbid Anatomy	2010	2019
Cancer Strategy Planning Group (St James's Hospital)	Member	2016	present
Patient Ethics Group (St James's Hospital)	Member	2016	2019

School of Medicine First Academic Court and Court of Appeal (Alternate years)	Chairperson	2009	2018
College Tutor		2010	2016
Selected Steering Committees 1. EngAGE 2. Cancer institute	Member	2013	present

#### RECENT FUNDED GRANTS:

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**>€35M total grant income.**

#### Recent grants:

Funding Name	Body	Grant Type/Reference number	Year of award	Amount of award to recipient
SFI		Multi-site study to develop a SARS-CoV-2 Infection Surveillance System for Third Level Students and Staff in Republic of Ireland (UniCoV).	2021	€500,000
SFI		funded Investigator -Covid related research- to develop and implement a self-collected saliva sample for detection of SARS CoV-2 using RT-LAMP in student populations.	2020	€100,000
SFI		Co- PI, (with Kingston Mills; joint bid with TTMI & TBSI). Next generation flow cytometry and single cell gene analysis, SFI Research Infrastructure award,	2019	€628,705.00

Enterprise Ireland	IP20170616	2017	€691,486
Thermo Fisher	Industry grant – develop Oncomine Diagnostic NGS panel for solid tumor diagnostics	2013 -2018	€250,000
Becton Dickinson	BD-Multi-omics Alliance	2019	€250,000

## EVENT ORGANISATION

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Primary organiser for 20 conferences (National & International) (>100 registrants) in Ireland, UK and Europe. Symposium organiser – Europe and US.

## COMMISSIONS OF TRUST

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Details	Date
Member - National Medical Leaders Forum – Sub-group NPHET	2020-21
Member National REC -Covid	2020-21
Deputy Chair – National REC Clinical trials	2021-22
Irish Universities Association – Member of Registrars Group	2021-present
Central Applications Office (CAO) - Director	2021-present
CAO Audit Committee	2022-present
Member of the Advisory Committee -H2020 Societal Challenge 1, Health & Demographic Change. European Commission	2013-2017
Key Opinion Leader and Scientific Advisor – Becton Dickinson	2018-present

Board Member - European Institute of Women's Health	2017-2022
Associate Editor Irish Journal of Medical Science	2017
National workgroup on Bio-banking	2012/17
Member, CRDI Cancer Principal Investigators, Trans-institutional grouping of Principal Investigators leading research on Cancer themes	2010/18
Principal Investigator on ICAT clinician scientist training programme	
Associate Editor BMC Cancer	2010/17
Member, Core Technology PI's, DMMC Principal Investigators leading the development of core technology platforms.	2006/18
Wellcome Trust - Reviewer	2005/18
Reviewer for South African Medical Research Council	2004/18
Scientific Advisor - advisory capacity for ThermoFisher - planning and development of molecular diagnostic tools.	2010-present
Reviewer for Hong Kong Earmarked Research Grants [Research Grants Council]	2005 - present
Member of Editorial Board of Journal of Endocrine Pathology	2009 - present
Advisor to the National Council with expertise in Medical Teaching – USI Academic Affairs Advisory Panel.	2015/18
Reviewer for journals including Oncogene, Nature Biotechnology, Nature Medicine, Cancer, Journal of Clinical Endocrinology and Metabolism, Molecular Cancer, Molecular Endocrinology, Journal of Pathology, Modern Pathology, International Journal of Surgical Pathology, DU Law Review	2003 - present

## PROFESSIONAL MEMBERSHIPS

---

2001 – present	Member of the Pathological Society of Great Britain and Ireland
2007 – present	FRCPath
2006 – present	Member of Medico-legal Society of Ireland
2016- present	Fellow Irish Academy of Biomedical Sciences

## DISTINCTIONS & AWARDS

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- >80 international invited lectures,
- Provost's Teaching Award 2012
- FTCD – 2009
- President 134th session of the Biological Society – Dublin University – 2008
- Procured Status of Applied Biosystems European Reference Laboratory – 2004
- Young Researcher Award – EU High Level Scientific Conference – 2000

## EXAMPLES OF SOME INVITED LECTURES:

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The list below is indicative of invited presentations. It is not exhaustive and is intended to convey a sense of the international relevance of my research outputs over a sustained period of time.

- **IBC USA International Microtechnology Conference** 'Chips to hits', Boston, MA, USA 2005 – Transcriptomic Profiling in Thyroid Cancer
- **American Society of Human Genetics** - Salt Lake City, UT, USA, 2005, – Applications for Transcriptomic Profiling in cancer
- **3rd Conference of the Consortium for Post-Genome Science** 'Genomes to Systems' - Manchester, UK, 2006 - Activating Pathways in Thyroid Neoplasia
- **European Association for Cancer Research** – Budapest, HU, 2006, -Advances in TaqMan Chemistries and Applications in Diagnostic Laboratories
- **International Congress of Human Genetics**- Brisbane, AU, 2006 – Translational Molecular Pathology



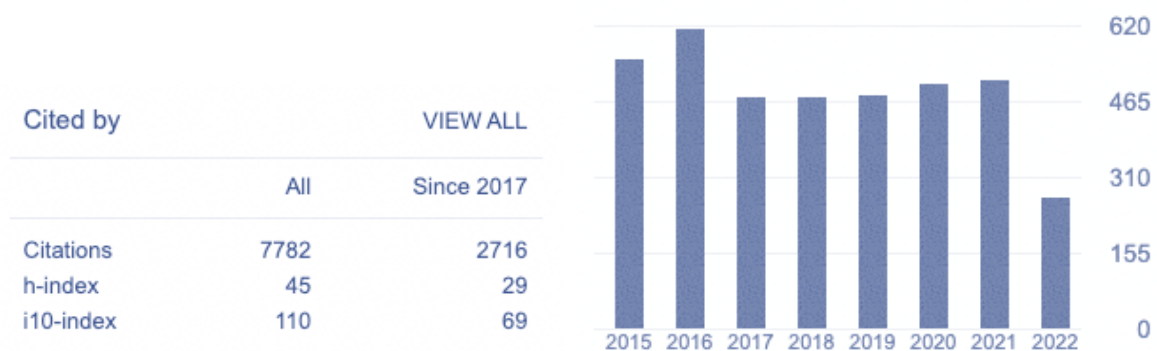
- **European Congress of Cytology**, Venice, IT, 2006 [Molecular Classification and Biomarkers in Thyroid Cancer]
- **Société Belge de Cytologie Clinique**, Brussels. 10<sup>th</sup> December 2011 – Mol Path thyroid
- **AACR 2012**, Chicago Illinois - Quantifying KRAS alterations with TaqMan™ Mutation Detection Assays on Open Array Platform
- **International qPCR symposium** - Amsterdam 2011 - RAS gene mutation detection in colorectal cancer
- **30th German Cancer Congress** – Berlin 2012- New technologies applied to critical pathways of anti-EGFR therapy resistance in colorectal cancer
- **Anatomical Society of Great Britain & Ireland Meeting 2013**- Ethical Issues regarding Consent
- **Ion World** -2015 Dublin HPV prevalence and genotypes among a cohort of HIV positive MSM
- **International Health Conference**, Tbilisi, Georgia, 2016 -The emerging roles of Liquid Biopsy & Circulating Tumour Cells in monitoring the Metastatic Cascade
- **European Institute of Women's Health** - Brussels, December 2017 - How precise is Precision Medicine?
- **Trinity Alumni Weekend 2017** - Liquid Biopsy – Moving the goalposts in Cancer Diagnostics
- **Ion World 2017** – Amsterdam - Clinical Solutions – Can we make Cancer a Chronic Disease?
- **ThermoFisher (BID Marketing)** Gothenburg 2019- A new World of Research tools
- **NUIG Curam Conference** -2015 Circulating Tumour Cells, Metastasis and Evasion of Immune Surveillance
- **Single Cell Symposium (Fluidigm) -Madrid 2016** - Nanofluidic PCR solutions to facilitate the elucidation of the mRNA targets of miR222 and miR-25 in thyroid disease.
- **Diagnostics-4-Future Conference** - Lake Constanz -2018 - Diagnosing Cancer- Challenges and Progress
- **UK BD single-cell Multi-Omics** user group meeting - 2019 Winnersh, UK

## APPLIED RESEARCH ACTIVITY-COMMERCIALISATION OF RESEARCH OUTPUTS

- micro RNA profiling in cervical cancer [2013] – pending;
- Autoantibody profiling in early ovarian cancer [2013] – pending.
- European Patent Application No. 16188421.8 (PL Ref: P11964EP00; TCD Ref: JO04-586 and JO04-587) – Inventions related to the use of CD112 and TIGIT that have potential as a diagnostic target and poor-prognostic biomarker for metastatic cancer.
- Spin out company – UniGenetics (2000-2003)
- 

## RESEARCH INDICES

Source- Google Scholar



## PUBLICATION RECORD

Total Publications: #>500	Senior Author Publications: #112				
Journal Articles:	Reviews:	Book Chapters:	Books:	Conference associated publications:	Other:
#135	#15	#13	#2	#450	#5

1. [Hsa\\_circ\\_0001275](#) Is One of a Number of circRNAs Dysregulated in Enzalutamide Resistant Prostate Cancer and Confers Enzalutamide Resistance In Vitro.

[Lim MCJ](#), [Baird AM](#), [Greene J](#), [McNevin C](#), [Ronan K](#), [Podlesniy P](#), [Sheils O](#), [Gray SG](#), [McDermott RS](#), [Finn SP](#)  
[Cancers \(Basel\)](#), 13(24):6383, 20 Dec 2021

Cited by: 0 articles | PMID: 34945002 | PMCID: PMC8715667

2. [PATHOGENIC BRCA VARIANTS AS BIOMARKERS FOR RISK IN PROSTATE CANCER.](#)

[McNevin CS](#), [Cadoo K](#), [Baird AM](#), [Murchan P](#), [Sheils O](#), [McDermott R](#), [Finn S](#)  
[Cancers \(Basel\)](#), 13(22):5697, 14 Nov 2021

Cited by: 0 articles | PMID: 34830851 | PMCID: PMC8616097

3. [DEVELOPING A HEALTH PROMOTING UNIVERSITY IN TRINITY COLLEGE DUBLIN-OVERVIEW AND OUTLINE PROCESS EVALUATION.](#)

[Darker CD](#), [Mullin M](#), [Doyle L](#), [Tanner M](#), [McGrath D](#), [Doherty L](#), [Dreyer-Gibney K](#), [Barrett EM](#), [Flynn D](#), [Murphy P](#), [Ivers JH](#), [Burke E](#), [Ryan M](#), [McCarron M](#), [Murphy P](#), [Sheils O](#), [Hevey D](#), [Leen A](#), [Keogh L](#), [...] [Barry JM](#)  
[Health Promot Int](#), daab180, 05 Nov 2021

Cited by: 0 articles | PMID: 34738107

4. [THE INDUCTION OF A MESENCHYMAL PHENOTYPE BY PLATELET CLOAKING OF CANCER CELLS IS A UNIVERSAL PHENOMENON.](#)

[Spillane CD](#), [Cooke NM](#), [Ward MP](#), [Kenny D](#), [Blackshields G](#), [Kelly T](#), [Bates M](#), [Huang Y](#), [Martin C](#), [Skehan S](#), [Canney A](#), [Gallagher M](#), [Smyth P](#), [Brady N](#), [Clarke A](#), [Mohamed B](#), [Norris L](#), [Brooks DA](#), [Brooks RD](#), [...] [O'Leary JJ](#)  
[Transl Oncol](#), 14(12):101229, 27 Sep 2021

Cited by: 0 articles | PMID: 34592589 | PMCID: PMC8488306

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5. CIRCULATING TUMOUR CELL NUMBERS CORRELATE WITH PLATELET COUNT AND CIRCULATING LYMPHOCYTE SUBSETS IN MEN WITH ADVANCED PROSTATE CANCER: DATA FROM THE EXPECT CLINICAL TRIAL (CTRIAL-IE 15-21).

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Hayes B, Brady L, Sheill G, Baird AM, Guinan E, Stanfill B, Dunne J, Holden D, Vlajnic T, Casey O, Murphy V, Greene J, Allott EH, Hussey J, Cahill F, Van Hemelrijck M, Peat N, Mucci LA, Cunningham M, [...] Finn S  
Cancers (Basel), 13(18):4690, 18 Sep 2021

Cited by: 1 article | PMID: 34572916 | PMCID: PMC8466183

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6. CORRELATION OF INTEGRATED ERG/P TEN ASSESSMENT WITH BIOCHEMICAL RECURRENCE IN PROSTATE CANCER.

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Brady L, Carlsson J, Baird AM, Casey O, Vlajnic T, Murchan P, Cormican D, Costigan D, Gray S, Sheils O, O'Neill A, Watson RW, Andren O, Finn S  
Cancer Treat Res Commun, 29:100451, 02 Sep 2021

Cited by: 0 articles | PMID: 34507017

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7. DEEP LEARNING OF HISTOPATHOLOGICAL FEATURES FOR THE PREDICTION OF TUMOUR MOLECULAR GENETICS.

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Murchan P, Ó'Brien C, O'Connell S, McNevin CS, Baird AM, Sheils O, Ó Broin P, Finn SP  
Diagnostics (Basel), 11(8):1406, 03 Aug 2021

Cited by: 2 articles | PMID: 34441338 | PMCID: PMC8393642

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8. THE ROLE OF HUMAN PAPILLOMA VIRUS IN DICTATING OUTCOMES IN HEAD AND NECK SQUAMOUS CELL CARCINOMA.

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Brennan S, Baird AM, O'Regan E, Sheils O  
Front Mol Biosci, 8:677900, 23 Jun 2021

Cited by: 2 articles | PMID: 34250016 | PMCID: PMC8262095

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9. DIFFERENTIAL CIRC RNA EXPRESSION SIGNATURES MAY SERVE AS POTENTIAL NOVEL BIOMARKERS IN PROSTATE CANCER.

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Greene J, Baird AM, Lim M, Flynn J, McNevin C, Brady L, Sheils O, Gray SG, McDermott R, Finn SP  
Front Cell Dev Biol, 9:605686, 25 Feb 2021

Cited by: 4 articles | PMID: 33718350 | PMCID: PMC7946979

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10. THE ROLE OF CANCER STEM CELLS IN DRUG RESISTANCE IN GASTROESOPHAGEAL JUNCTION ADENOCARCINOMA.

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Dinneen K, Baird AM, Ryan C, Sheils O  
Front Mol Biosci, 8:600373, 08 Feb 2021

Cited by: 0 articles | PMID: 33628765 | PMCID: PMC7897661

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11. EPIGENETIC MODIFIER UHRF1 MAY BE A POTENTIAL TARGET IN MALIGNANT PLEURAL MESOTHELIOMA.

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Baird AM, Finn SP, Gray SG, Sheils O  
J Thorac Oncol, 16(1):14-16, 01 Jan 2021

Cited by: 0 articles | PMID: 33384056

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12. PLATELET CLOAKING OF CIRCULATING TUMOUR CELLS IN PATIENTS WITH METASTATIC PROSTATE CANCER: RESULTS FROM EXPECT, A RANDOMISED CONTROLLED TRIAL.

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Brady L, Hayes B, Sheill G, Baird AM, Guinan E, Stanfill B, Vlajnic T, Casey O, Murphy V, Greene J, Allott EH, Hussey J, Cahill F, Van Hemelrijck M, Peat N, Mucci L, Cunningham M, Grogan L, Lynch T, [...] Finn S  
PLoS One, 15(12):e0243928, 18 Dec 2020

Cited by: 3 articles | PMID: 33338056 | PMCID: PMC7748139

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13. THE FEASIBILITY OF IMPLEMENTING AN EXERCISE PROGRAMME FOR DECONDITIONED CANCER SURVIVORS IN A NATIONAL CANCER CENTRE: FIXCAS STUDY

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Devenney K, Murphy N, Ryan R, Grant C, Kennedy J, Manecksha RP, Sheils O, McNeely ML, Hussey J, Sheill G  
HRB Open Res, 18 Dec 2020

Cited by: 0 articles | PPR: PPR254842

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14. PROSTATE CANCER-DERIVED HOLOCLONES: A NOVEL AND EFFECTIVE MODEL FOR EVALUATING CANCER STEMNESS.

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Flynn L, Barr MP, Baird AM, Smyth P, Casey OM, Blackshields G, Greene J, Pennington SR, Hams E, Fallon PG, O'Leary J, Sheils O, Finn SP  
Sci Rep, 10(1):11329, 09 Jul 2020

[Cited by: 3 articles](#) | PMID: 32647229 | PMCID: PMC7347552

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15. ANAL CANCER IN PEOPLE LIVING WITH HIV: A CASE SERIES.

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[Sadlier C](#), [Lynam A](#), [Kerr C](#), [Sheils O](#), [Bergin C](#)  
[Int J STD AIDS](#), 31(1):82-84, 16 Dec 2019

[Cited by: 0 articles](#) | PMID: 31842694

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16. THE FEASIBILITY OF IMPLEMENTING AN EXERCISE PROGRAMME FOR DECONDITIONED CANCER SURVIVORS IN A NATIONAL CANCER CENTRE: FIXCAS STUDY.

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[Devenney K](#), [Murphy N](#), [Ryan R](#), [Grant C](#), [Kennedy J](#), [Manecksha RP](#), [Sheils O](#), [McNeely ML](#), [Hussey J](#), [Sheill G](#)  
[HRB Open Res](#), 2:24, 30 Sep 2019

[Cited by: 0 articles](#) | PMID: 33870087 | PMCID: PMC8030104

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17. SUPPRESSION OF NATURAL KILLER CELL NKG2D AND CD226 ANTI-TUMOUR CASCADES BY PLATELET CLOAKED CANCER CELLS: IMPLICATIONS FOR THE METASTATIC CASCADE.

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[Cluxton CD](#), [Spillane C](#), [O'Toole SA](#), [Sheils O](#), [Gardiner CM](#), [O'Leary JJ](#)  
[PLoS One](#), 14(3):e0211538, 25 Mar 2019

[Cited by: 19 articles](#) | PMID: 30908480 | PMCID: PMC6433214

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18. POTENTIALLY IMPORTANT MIRNAS IN ENTEROPATHY-ASSOCIATED T-CELL LYMPHOMA PATHOGENESIS: A PILOT STUDY.

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[Clarke L](#), [Adduri RS](#), [Smyth P](#), [Quinn F](#), [Jeffers M](#), [Dunne B](#), [O'Leary J](#), [McKiernan S](#), [Vandenberghe E](#), [Pyne S](#), [Bashyam MD](#), [Sheils O](#), [Flavin R](#)  
[Leuk Res Rep](#), 10:52-54, 16 Oct 2018

[Cited by: 0 articles](#) | PMID: 30989051 | PMCID: PMC6446659

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19. SIMULTANEOUS DETECTION OF LUNG FUSIONS USING A MULTIPLEX RT-PCR NEXT GENERATION SEQUENCING-BASED APPROACH: A MULTI-INSTITUTIONAL RESEARCH STUDY.

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[Vaughn CP](#), [Costa JL](#), [Feilotter HE](#), [Petraroli R](#), [Bagai V](#), [Rachiglio AM](#), [Marino FZ](#), [Tops B](#), [Kurth HM](#), [Sakai K](#), [Mafficini A](#), [Bastien RRL](#), [Reiman A](#), [Le Corre D](#), [Boag A](#), [Crocker S](#), [Bihl M](#), [Hirschmann A](#), [Scarpa A](#), [...] [Laurent-Puig P](#)  
[BMC Cancer](#), 18(1):828, 16 Aug 2018

Cited by: 6 articles | PMID: 30115026 | PMCID: PMC6097211

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20. INTEGRATING BIOMARKERS ACROSS OMIC PLATFORMS: AN APPROACH TO IMPROVE STRATIFICATION OF PATIENTS WITH INDOLENT AND AGGRESSIVE PROSTATE CANCER.

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Murphy K, Murphy BT, Boyce S, Flynn L, Gilgunn S, O'Rourke CJ, Rooney C, Stöckmann H, Walsh AL, Finn S, O'Kennedy RJ, O'Leary J, Pennington SR, Perry AS, Rudd PM, Saldova R, Sheils O, Shields DC, Watson RW  
Mol Oncol, 12(9):1513-1525, 07 Aug 2018

Cited by: 16 articles | PMID: 29927052 | PMCID: PMC6120220

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21. DIAGNOSIS, MONITORING AND PREVENTION OF EXPOSURE-RELATED NON-COMMUNICABLE DISEASES IN THE LIVING AND WORKING ENVIRONMENT: DIMOPEX-PROJECT IS DESIGNED TO DETERMINE THE IMPACTS OF ENVIRONMENTAL EXPOSURE ON HUMAN HEALTH.

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Budnik LT, Adam B, Albin M, Banelli B, Baur X, Belpoggi F, Bolognesi C, Broberg K, Gustavsson P, Göen T, Fischer A, Jarosinska D, Manservigi F, O'Kennedy R, Øvrevik J, Paunovic E, Ritz B, Scheepers PTJ, Schlünssen V, [...] Casteleyn L  
J Occup Med Toxicol, 13:6, 05 Feb 2018

Cited by: 11 articles | PMID: 29441119 | PMCID: PMC5800006

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22. A NOVEL ROLE FOR THE MACROPHAGE GALACTOSE-TYPE LECTIN RECEPTOR IN MEDIATING VON WILLEBRAND FACTOR CLEARANCE.

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Ward SE, O'Sullivan JM, Drakeford C, Aguila S, Jondle CN, Sharma J, Fallon PG, Brophy TM, Preston RJS, Smyth P, Sheils O, Chion A, O'Donnell JS  
Blood, 131(8):911-916, 27 Dec 2017

Cited by: 19 articles | PMID: 29282218

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23. MIR-223 POTENTIALLY TARGETS SWI/SNF COMPLEX PROTEIN SMARCD1 IN ATYPICAL PROLIFERATIVE SEROUS TUMOR AND HIGH-GRADE OVARIAN SEROUS CARCINOMA.

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Arts FA, Keogh L, Smyth P, O'Toole S, Ta R, Gleeson N, O'Leary JJ, Flavin R, Sheils O  
Hum Pathol, 70:98-104, 24 Oct 2017

Cited by: 7 articles | PMID: 29079174

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24. THE EVIDENCE BASE FOR CIRCULATING TUMOUR DNA BLOOD-BASED BIOMARKERS FOR THE EARLY DETECTION OF CANCER: A SYSTEMATIC MAPPING REVIEW.

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Cree IA, Uttley L, Buckley Woods H, Kikuchi H, Reiman A, Harnan S, Whiteman BL, Philips ST, Messenger M, Cox A, Teare D, Sheils O, Shaw J, UK Early Cancer Detection Consortium  
BMC Cancer, 17(1):697, 23 Oct 2017

Cited by: 44 articles | PMID: 29061138 | PMCID: PMC5654013

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25. THE EXPECT (EXAMINING EXERCISE, PROSTATE CANCER AND CIRCULATING TUMOUR CELLS) TRIAL: STUDY PROTOCOL FOR A RANDOMISED CONTROLLED TRIAL.

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Sheill G, Brady L, Guinan E, Hayes B, Casey O, Greene J, Vlajnic T, Cahill F, Van Hemelrijck M, Peat N, Rudman S, Hussey J, Cunningham M, Grogan L, Lynch T, Manecksha RP, McCaffrey J, Mucci L, Sheils O, [...] Finn S  
Trials, 18(1):456, 04 Oct 2017

Cited by: 4 articles | PMID: 28978344 | PMCID: PMC5628461

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26. PERIPHERAL BLOOD MICRORNA AND VEGFA MRNA CHANGES FOLLOWING ELECTROCONVULSIVE THERAPY: IMPLICATIONS FOR PSYCHOTIC DEPRESSION.

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Kolshus E, Ryan KM, Blackshields G, Smyth P, Sheils O, McLoughlin DM  
Acta Psychiatr Scand, 136(6):594-606, 04 Oct 2017

Cited by: 12 articles | PMID: 28975998

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27. N-LINKED GLYCAN TRUNCATION CAUSES ENHANCED CLEARANCE OF PLASMA-DERIVED VON WILLEBRAND FACTOR.

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O'Sullivan JM, Aguila S, McRae E, Ward SE, Rawley O, Fallon PG, Brophy TM, Preston RJ, Brady L, Sheils O, Chion A, O'Donnell JS  
J Thromb Haemost, 14(12):2446-2457, 09 Dec 2016

Cited by: 15 articles | PMID: 27732771

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28. N-LINKED GLYCANS WITHIN THE A2 DOMAIN OF VON WILLEBRAND FACTOR MODULATE MACROPHAGE-MEDIATED CLEARANCE.

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Chion A, O'Sullivan JM, Drakeford C, Bergsson G, Dalton N, Aguila S, Ward S, Fallon PG, Brophy TM, Preston RJ, Brady L, Sheils O, Laffan M, McKinnon TA, O'Donnell JS

Blood, 128(15):1959-1968, 23 Aug 2016

Cited by: 13 articles | PMID: 27554083

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29. ALTERED EXPRESSION OF MIR-222 AND MIR-25 INFLUENCES DIVERSE GENE EXPRESSION CHANGES IN TRANSFORMED NORMAL AND ANAPLASTIC THYROID CELLS, AND IMPACTS ON MEK AND TRAIL PROTEIN EXPRESSION.

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Aherne ST, Smyth P, Freeley M, Smith L, Spillane C, O'Leary J, Sheils O  
Int J Mol Med, 38(2):433-445, 22 Jun 2016

Cited by: 9 articles | PMID: 27353001 | PMCID: PMC4935456

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30. HPV VACCINE ACCEPTABILITY IN HIV-INFECTED AND HIV NEGATIVE MEN WHO HAVE SEX WITH MEN (MSM) IN IRELAND.

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Sadlier C, Lynam A, O'Dea S, Delamere S, Quinlan M, Clarke S, Sheils O, Bergin C  
Hum Vaccin Immunother, 12(6):1536-1541, 06 May 2016

Cited by: 8 articles | PMID: 27153289 | PMCID: PMC4964722

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31. RESPONSE TO LETTER: LIMITATIONS OF HUMAN PAPILLOMAVIRUS DNA TESTING IN MEASURING PREVIOUS EXPOSURE AND VACCINE PROTECTION.

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Sadlier C, Sheils O, Bergin C, HPV MAPS research group (Human Papilloma Virus in Men, Awareness, Prevention Surveillance)  
HIV Med, 17(7):557-558, 09 Feb 2016

Cited by: 0 articles | PMID: 26857554

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32. PLURIPOTENCY MARKERS ARE DIFFERENTIALLY INDUCED BY MEK INHIBITION IN THYROID AND MELANOMA BRAFV600E CELL LINES.

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Dorris ER, Blackshields G, Sommerville G, Alhashemi M, Dias A, McEneaney V, Smyth P, O'Leary JJ, Sheils O  
Cancer Biol Ther, 17(5):526-542, 01 Feb 2016

Cited by: 5 articles | PMID: 26828826 | PMCID: PMC4910922

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33. INTERLEUKIN-15 IS ASSOCIATED WITH DISEASE SEVERITY IN VIRAL BRONCHIOLITIS.

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Leahy TR, McManus R, Doherty DG, Grealy R, Coulter T, Smyth P, Blackshields G, Sheils O, Carr MJ, Purandare N, Geary M, Hodemaekers HM, Janssen R, Bont L, Slattery D, Ryan T

Eur Respir J, 47(1):212-222, 05 Nov 2015

Cited by: 9 articles | PMID: 26541527

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34. ASPIRIN AND P2Y12 INHIBITION ATTENUATE PLATELET-INDUCED OVARIAN CANCER CELL INVASION.

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Cooke NM, Spillane CD, Sheils O, O'Leary J, Kenny D

BMC Cancer, 15:627, 09 Sep 2015

Cited by: 30 articles | PMID: 26353776 | PMCID: PMC4565001

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35. IDENTIFYING NOVEL HYPOXIA-ASSOCIATED MARKERS OF CHEMORESISTANCE IN OVARIAN CANCER.

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McEvoy LM, O'Toole SA, Spillane CD, Martin CM, Gallagher MF, Stordal B, Blackshields G, Sheils O, O'Leary JJ

BMC Cancer, 15:547, 25 Jul 2015

Cited by: 16 articles | PMID: 26205780 | PMCID: PMC4513971

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36. ENHANCED REGULATION OF CELL CYCLE AND SUPPRESSION OF OSTEOBLAST DIFFERENTIATION MOLECULAR SIGNATURES BY PROSTATE CANCER STEM-LIKE HOLOCLONES.

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Gallagher MF, Salley Y, Spillane CD, Ffrench B, El Baruni S, Blacksheilds G, Smyth P, Martin C, Sheils O, Watson W, O'Leary JJ

J Clin Pathol, 68(9):692-702, 02 Jun 2015

Cited by: 3 articles | PMID: 26038242

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37. LIGATION OF TLR7 ON CD19(+) CD1D(HI) B CELLS SUPPRESSES ALLERGIC LUNG INFLAMMATION VIA REGULATORY T CELLS.

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Khan AR, Amu S, Saunders SP, Hams E, Blackshields G, Leonard MO, Weaver CT, Sparwasser T, Sheils O, Fallon PG

Eur J Immunol, 45(6):1842-1854, 14 Apr 2015

Cited by: 18 articles | PMID: 25763771

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38. DEVELOPMENT OF A SEMI-CONDUCTOR SEQUENCING-BASED PANEL FOR GENOTYPING OF COLON AND LUNG CANCER BY THE ONCONETWORK CONSORTIUM.

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Tops BB, Normanno N, Kurth H, Amato E, Mafficini A, Rieber N, Le Corre D, Rachiglio AM, Reiman A, Sheils O, Noppen C, Lacroix L, Cree IA, Scarpa A, Ligtenberg MJ, Laurent-Puig P  
BMC Cancer, 15:26, 31 Jan 2015

Cited by: 27 articles | PMID: 25637035 | PMCID: PMC4318366

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39. RAPID AND FULLY AUTOMATED BACTERIAL PATHOGEN DETECTION ON A CENTRIFUGAL-MICROFLUIDIC LABDISK USING HIGHLY SENSITIVE NESTED PCR WITH INTEGRATED SAMPLE PREPARATION.

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Czilwik G, Messinger T, Strohmeier O, Wadle S, von Stetten F, Paust N, Roth G, Zengerle R, Saarinen P, Niittymäki J, McAllister K, Sheils O, O'Leary J, Mark D  
Lab Chip, 15(18):3749-3759, 01 Jan 2015

Cited by: 45 articles | PMID: 26235430

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40. AN ASSOCIATION BETWEEN MICRORNA-21 EXPRESSION AND VITAMIN D DEFICIENCY IN CORONARY ARTERY DISEASE.

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Sheane BJ, Smyth P, Scott K, Aziz R, Buckley M, Lodge E, Kiely N, Kingston M, McGovern E, Healy M, Walsh JB, Sheils O, Cunnane G  
Microna, 4(1):57-63, 01 Jan 2015

Cited by: 12 articles | PMID: 25882990

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41. HUMAN PAPILOMAVIRUS DNA AND MRNA PREVALENCE AND ASSOCIATION WITH CERVICAL CYTOLOGICAL ABNORMALITIES IN THE IRISH HIV POPULATION.

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Loy A, McInerney J, Pilkington L, Keegan H, Delamere S, Martin CM, Sheils O, O'Leary JJ, Mulcahy F  
Int J STD AIDS, 26(11):789-795, 25 Sep 2014

Cited by: 0 articles | PMID: 25258395

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42. AN INTEGRATED ANALYSIS OF THE SOX2 MICRORNA RESPONSE PROGRAM IN HUMAN PLURIPOTENT AND NULLIPOTENT STEM CELL LINES.

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Vencken SF, Sethupathy P, Blackshields G, Spillane C, Elbaruni S, Sheils O, Gallagher MF, O'Leary JJ  
BMC Genomics, 15:711, 25 Aug 2014

Cited by: 13 articles | PMID: 25156079 | PMCID: PMC4162954

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43. THE MYD88+ PHENOTYPE IS AN ADVERSE PROGNOSTIC FACTOR IN EPITHELIAL OVARIAN CANCER.

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d'Adhemar CJ, Spillane CD, Gallagher MF, Bates M, Costello KM, Barry-O'Crowley J, Haley K, Kernan N, Murphy C, Smyth PC, O'Byrne K, Pennington S, Cooke AA, Ffrench B, Martin CM, O'Donnell D, Hennessy B, Stordal B, Finn S, [...] O'Leary JJ  
PLoS One, 9(6):e100816, 30 Jun 2014

Cited by: 29 articles | PMID: 24977712 | PMCID: PMC4076208

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44. HUMAN PAPILLOMAVIRUS (HPV) AND THE USEFULNESS OF THE HPV VACCINE FOR MEN WHO HAVE SEX WITH MEN.

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Sadlier C, Rowley D, Higgins D, Sheils O, Bergin C  
J Infect Dis, 210(10):1679, 08 May 2014

Cited by: 5 articles | PMID: 24812047

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45. PREVALENCE OF HUMAN PAPILLOMAVIRUS IN MEN WHO HAVE SEX WITH MEN IN THE ERA OF AN EFFECTIVE VACCINE; A CALL TO ACT.

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Sadlier C, Rowley D, Morley D, Surah S, O'Dea S, Delamere S, O'Leary J, Smyth P, Clarke S, Sheils O, Bergin C  
HIV Med, 15(8):499-504, 24 Mar 2014

Cited by: 14 articles | PMID: 2465589

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46. COMPARING ION TORRENT WITH PYROSEQUENCING AND SANGER SEQUENCING FOR THE DETECTION OF TP53 MUTATIONS IN COLORECTAL CANCER

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Doyle B, Lee C, Harkins T, Petraroli R, Smyth P, Sheahan K, O'Leary J, Sheils O  
The EPMA Journal, 5(suppl 1):A32-A32, 01 Jan 2014

Cited by: 0 articles | PMCID: PMC4125828

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47. ACTIVATED EOSINOPHILS IN ASSOCIATION WITH ENTERIC NERVES IN INFLAMMATORY BOWEL DISEASE.

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Smyth CM, Akasheh N, Woods S, Kay E, Morgan RK, Thornton MA, O'Grady A, Cummins R, Sheils O, Smyth P, Gleich GJ, Murray FM, Costello RW  
PLoS One, 8(5):e64216, 22 May 2013

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