The 'special effect' of case mixing on word identification: Neuropsychological and

TMS studies dissociating case mixing from contrast reduction

# **Wouter Braet, Glyn Humphreys**

## **University of Birmingham**

#### Abstract

\*

We present neuropsychological evidence and evidence from transcranial magnetic stimulation (TMS) with normal readers, that the effects of case mixing and contrast reduction on word identification are qualitatively different. Lesions and TMS applied to the right parietal lobe selectively disrupted the identification of mixed relative to single case stimuli. Bilateral lesions and TMS applied to the occipital cortex selectively disrupted the identification of low contrast words. These data suggest that different visual distortions (case mixing, contrast reduction) exert different effects on reading, modulated by contrasting brain regions. Case mixing is a 'special' distortion, and involves the recruitment of processes that are functionally distinct, and dependent on different regions in the brain, from those required to deal with contrast reduction.

### Introduction

Studies of visual processes involved in skilled reading have frequently assessed the effects of visual format on word identification. (e.g. Coltheart, 1987; Cornelissen et al., 2003; Ellis & Young, 1997; Mayall et al., 1997; McClelland, 1977). Formats that distort the visual information used to recognize words should disrupt performance more than those that do not. One manipulation commonly used is that of cAsE mIxInG. Words presented in mixed case take longer to read, and are more prone to errors, than words presented in familiar single case (Mayall & Humphreys, 1996; Mewhort & Johns, 1988). Effects of presenting letters in different cases are more severe than those of presenting

letters in contrasting sizes (Mayall et al., 1997), suggesting that familiarity of co-occuring letter shapes contributes to word recognition (Greenberg & Vellutino, 1988; Spoehr & Smith, 1973; Taft, 1979; Treiman & Chafetz, 1989; Treiman et al., 1995; Whitley & Walker, 1997). For example, the visual description mediating skilled word recognition may comprise groups of familiar letter features, in addition to individual letter identities (Mayall et al., 1997; 2001). However, at least part of the disruptive effect of case mixing may come about through visual degradation of the letter features, due (for example) to lateral masking of large letters on small letters (Besner & Johnston, 1989).

To argue that case mixing exerts a selective effect on the visual information used in reading, it is important to demonstrate that manipulations of case disrupt word identification in a manner that is qualitatively different from general effects of visual distortion. One way to do this is to show that different brain regions are recruited to deal with the processing of mixed case words and with other forms of distortion (e.g. lowered contrast), when the two forms of distortion have been matched for difficulty. If the same processes are required to deal with all effects of distortion, then the same neural structures should be involved in all instances (at least provided that one form of distortion is not generally more difficult than the other, when additional brain regions may be recruited). We present evidence from neuropsychological patients and from the effects of TMS with normal readers, demonstrating that different neural regions modulate the effects of case mixing and effects of contrast reduction on word recognition. The data suggest that case mixing exerts a 'special effect' on reading, consistent with a specific effect on the visual description used for word identification.

We report two experiments. In Experiment 1 we document the reading of three patients, two with damage to parietal cortex (GK and FL) and one with damage to the ventral occipital cortex (HJA). The parietal patients were severely impaired at identifying words in mixed compared to lower case, but showed no greater effects of contrast reduction than control participants. The occipital patient, however, showed a much less marked effect of case mixing whilst manifesting a strong effect of contrast reduction. In Experiment 2, we report converging evidence from the effects of transcranial magnetic stimulation (TMS) applied to the parietal and occipital cortex of normal readers.

# Experiment 1: neuropsychological evidence

Prior neuropsychological evidence has demonstrated that patients with forms of peripheral dyslexia (Riddoch, 1990) can be selectively disrupted when words are presented in mixed compared to single case. This has been found in patients with attentional dyslexia following bilateral parietal damage (Baylis et al., 1994; Hall et al., 2001; Humphreys & Mayall, 1996), and in patients with letter-by-letter reading after ventral occipito-temporal damage (Osswald et al., 2002; Warrington & Shallice, 1980). The relative magnitude of the effects of case mixing on these different patients has never previously been examined, though in attentional dyslexia the effects are typically expressed in accuracy whilst in letter-by-letter readers the effects are expressed in latencies. Hence we might expect that effects are more substantial in attentional dyslexia (i.e. following bilateral parietal damage). The effects of contrast reduction in the two classes of patient have not been studied before. Here we compare the effects of contrast

reduction (Experiment 1a) and case mixing (Experiments 1b and 1c) on the two types of patient.

### Method - Neuropsychological data

Three sub-experiments are reported, evaluating the effects of manipulating contrast (Experiment 1a) or case-mixing (Experiments 1b and 1c) on reading. We examined 2 patients with bilateral parietal lesions, and one patient with occipital lesions, as well as a group of age-matched controls. Participants were required to name words as fast and as accurately as they could. In the contrast-experiment, words were presented in single case, in either low or high contrast. In the case-experiment, the words were presented in high contrast (Experiment 1b) or low contrast (Experiment 1c), either in single case or mIxEd-CaSe.

# **Participants**

**GK** 

GK was born in 1936 and left school at the age of 14. He was a business man who started a successful import-export company and often traveled overseas. In 1986 he suffered two strokes, which occurred three months apart. This resulted in lesions of (1) the right temporo-parietal region, (2) the right occipito-parietal region, and (3) the left temporo-parietal region (see Gilchrist, 1996 for an MRI scan). Following the second stroke, GK was temporarily cortically blind and aphasic, but these difficulties recovered over a period of time. GK presented a number of neuropsychological problems including:

Balint's syndrome (simultanagnosia and optic ataxia, see Balint, 1909; Edwards & Humphreys, 2002; Humphreys et al., 2000), left neglect and extinction (Gilchrist et al., 1996), and attentional dyslexia (Hall et al., 2001). GK was able to read many single words (making occasional left neglect errors), but he could not read aloud the constituent letters of the words. Nonword reading was impossible.

## FL

FL was born in 1936 and was a carpenter. He suffered carbon monoxide poisoning in 1994, which resulted in lesions of the left intraparietal sulcus, as well as bilateral damage to the lateral occipital gyrus, as well as the lenticular nuclei (see Humphreys & Forde, 1998, for an MRI scan). This led initially to severe memory problems, a low-level agnosia, and difficulties in word recognition. By the time of testing for this paper, the agnosia had largely resolved, although memory problems and difficulties in word recognition remained. Like GK, FL presents as an attentional dyslexic, with better reading of words than their constituent letters (Mayall & Humphreys, 2002).

## HJA

HJA was born in 1920 and suffered a stroke in 1981, which resulted in bilateral ventral-occipital lesions, including the lingual and fusiform gyri and the occipital-temporal gyrus (see Riddoch et al. (1999) for an MRI scan). After the stroke, HJA had severe problems in visual recognition of faces and common objects, as well as photographs and line-drawings. Drawing and writing were relatively intact. HJA had

achromotopsia, normal visual acuity, and a superior altitudinal field deficit of both the left and right visual field, while the lower visual field was spared. HJA reads in a letter by letter fashion, showing abnormally pronounced effects of word length on reading (Humphreys & Riddoch, 1987).

### Controls

The performance of the patients was compared to two groups of five controls. For Experiment 1a (contrast) the mean age of the controls was 69 years old, with a SD of 10. The controls for Experiment 1b (case, high contrast) had a mean age of 66, SD=13. The controls for Experiment 1c (case, low contrast) had a mean age of 71, SD=6.

# Apparatus and stimuli

For both sub-experiments, the stimuli were presented on a 17" Samsung 753s—monitor, at an approximate viewing distance of 70 cm, using E-Prime software (Psychology Software Tools, Pittsburg) ran on a Pentium 4 (1.8 GHz). The stimuli for both experiments were generated using the same software used in Mayall et al. (2001), and were light-grey on a dark-grey background (low contrast condition in Experiment 1a), or white on a dark-grey background (high contrast in Experiment 1a, and both conditions in Experiment 1b).

Sub-experiment 1a and 1b both used two lists of 100 six-letter words, with the mean frequency of occurrence of respectively 156 and 145 occurrences per million (Kucera & Francis, 1967). These lists were assigned to the different conditions within an experiment, so that each participant would see each word twice, once in Experiment 1a

and once in Experiment 1b. Data collection for Experiment 1a occurred at least 6 months after Experiment 1b, making any effects of learning unlikely. All the patients had stable long term deficits, and showed equivalent performance in the conditions that matched across the sub-experiments, with high-contrast, same case words. Experiment 1c used two lists of 50 six-letter words, with a mean frequency of 47 and 52 occurrences per million.

### Procedure

Both Experiments 1a and 1b consisted of 200 trials<sup>1</sup>; Experiment 1c had 100 trials. For Experiment 1a, half of the trials were presented in low contrast, and half in high contrast. For Experiments 1b and 1c, half of the trials were presented in single case, with the other half presented in mIxEd-cAsE. Only high-contrast stimuli were used in Experiment 1b, and only low contrast stimuli were used in Experiment 1c. Every trial started with a 1s fixation cross in the centre of the screen. The cross was then replaced with the stimulus word. Participants were asked to name the word as quickly and as accurately as possible. A verbal response (naming the word), was then registered by the experimenter using the mouse to record RTs. The experimenter was blind to the stimuli being presented. This procedure was used, rather than using a voice-key to trigger the responses, because the patients generated very long RTs (particularly GK), and there were problems with the voice-key sometimes being triggered inadvertently. Also, given the long RTs, any inaccuracy due to responding to the patient's verbal output was

\_

<sup>&</sup>lt;sup>1</sup> For HJA, only 144 words were presented in Experiment 1a, due to time constraints. Half were high and half low contrast.

minimal, relative to the variance across trials. Responses were scored as correct or incorrect.

### Results

The data for the controls were analyzed as a repeated measures ANOVA, with difficulty as a within-subjects factor (high vs. low contrast in Experiment 1a, and single case vs. mixed case in Experiments 1b and 1c), and degradation type as a between-subjects factor with three levels (contrast, case (high contrast), or case (low contrast), in Experiments 1a, 1b and 1c respectively).

The accuracy data for each patient were analyzed separately, using chi-square to test whether performance differed for effects of contrast (Experiment 1a), or for case mixing (Experiments 1b and 1c). The patients' RTs were analyzed separately for the three sub-experiments in between-subjects ANOVAs with each RT treated as a separate subject and with contrast or case (Experiment 1a, or 1b and 1c respectively) as within-subjects, and patient as the between-subjects variable.

### Controls - RTs

There were significant main effects of degradation type (F(2,12)=9.7, p=.003) and difficulty (F(1,12)=33, p<.001). The interaction of type and difficulty was not significant (F(1,8)=1.4, p=.29). RTs were overall slower in Experiment 1a (with a contrast manipulation) compared to Experiment 1b (case manipulation), and were slower still in Experiment 1c (low contrast case manipulation). In each instance participants were

slower to respond to the more difficult condition: low contrast (cf. high contrast) in Experiment 1a, and mixed case (cf. lower case) in Experiments 1b and 1c.

## Controls – accuracy

There were no significant effects (all effects F<1). Errors were very low (less than 1% in all conditions).

### Patients – Effects of contrast

## RTs

There was a significant effect of contrast on RTs in Experiment 1a (F(1,188)=65.34, p<.001), as well as a significant effect of patient (F(2,188)=64.03, p<.001). The interaction between contrast and patient was also significant (F(2,188)=36.23, p<.001). When comparing the individual patients, this contrast x patient interaction was significant for GK vs. HJA (F(1,107)=66.75, p<.001), as well as for FL vs. HJA (F(1,124)=65.63, p<.001). However, this interaction was not significant for a comparison between the two parietal patients (F<1). HJA showed larger effects of contrast than the two parietal patients.

### Accuracy

There was a significant difference in accuracy for words presented in low and high contrast for HJA ( $\chi^2(1)$ =47.43, p<.001); there was no effect of contrast for either of the parietal patients (both  $\chi^2(1)$ <1). HJA made more errors for words presented in low contrast, compared to high contrast.

-----

Table 1 here

-----

Patients – Effects of case under high contrast conditions

RTs

For naming latencies, there were significant main effects of case in Experiment 1b (F(1,188)=27.08, p<.001) as well as patient (F(2,188)=97.34, p<.001). The interaction between case and patient was also significant (F(2,188)=9.93, p<.001). When comparing the individual patients, the case x patient interaction was significant for GK compared to the two other patients (vs. FL: F(1,92)=7.22, p=.009; vs. HJA: F(1,129)=13.79, p<.001). GK showed particularly large effects of case mixing on reading latency. Both parietal patients showed a reliable effect of case mixing on reading speed (GK: t(33)=2.8, p=.008; FL: t(59)=3.74, p<.001). Though HJA was also slower with mixed than single case words, this difference was not reliable (t(96)=1.09, p=.279).

Accuracy

Both of the parietal patients were less accurate at reading mixed than single case words  $(\chi^2(1)=14.29, p<.001 \text{ for FL}, \text{ and } \chi^2(1)=21.78, p<.001 \text{ for GK})$ . There was no effect of case on HJA's reading accuracy (p=.621, Fisher's Exact test).

Patients – Effects of case under low contrast conditions

## RTs

There were significant main effects of case on naming times in Experiment 1c (F(1,60)=11.09, p=.001), as well as a main effect of patient (F(2,60)=42.77, p<.001). There was a trend for an interaction between case and patient (F(2,60)=2.93, p=.061). Comparisons were made between the individual patients. The case x patient interaction was borderline significant, for GK compared to the two other patients (vs. FL: F(1,36)=4.3, p=.045; vs. HJA: F(1,35)=3.94, p=.055). As in Experiment 1b, GK showed particularly large effects of case mixing on reading latency. The case mixing effect was reliable for GK (t(11)=2.22, p=.024, on a one-tailed paired t-test), and marginally reliable for FL (t(25)=1.45, p=.081). The case effect did not approach significance for HJA (t<1).

# Accuracy

Both of the parietal patients were also less accurate at reading mixed than single case words ( $\chi^2(1)$ =14.86, p<.001 for FL, and  $\chi^2(1)$ =21.33, p<.001 for GK). There was no effect of case on HJA's reading accuracy ( $\chi^2(1)$ =2.65, p=.104).

## The case effect under high and low contrast

We compared the patient data for Experiments 1b and 1c, to test if the effects of case mixing and contrast reduction are additive or interactive. Naming latencies were analyzed for each patient, as a between subjects repeated measures ANOVA with each RT treated as a separate subject, and with case (single or mixed) as within-subjects, and

experiment (1b: high contrast, or 1c: low contrast) as the between subjects variable. The interaction between case and experiment was not significant for any of the three patients (all F<1).

Accuracy data for each patient separately were analyzed in a loglinear analysis, with case and experiment as factors. For the two parietal patients, GK and FL, the final model contained a significant interaction between accuracy and case ( $\chi^2(1)$ =43.46, p<.001, and  $\chi^2(1)$ =29.57, p<.001, respectively), but this interaction was not significant for the occipital patient HJA. In addition, GK and HJA showed a significant interaction between accuracy and experiment (for GK:  $\chi^2(1)$ =4.46, p=.035; HJA:  $\chi^2(1)$ =81.49, p<.001), indicating that they made more errors overall under low contrast conditions. Crucially though, none of the patients showed a significant interaction between case and experiment, showing that the effects of both manipulations were additive, for the conditions used in this study.

### Discussion

The results show a clear double dissociation between lesion site and the type of visual manipulation (case or contrast). The two patients with parietal lesions (GK and FL) showed a marked impairment when reading mixed case words relative to single case words in both accuracy and naming latency. This replicates previous results with both patients (Hall et al., 2001; Humphreys & Mayall, 2001). The parietal patients were slower to read low contrast compared with high contrast words (in Experiment 1a, and in Experiment 1c cf. Experiment 1b), but, crucially there was no interaction between case

and contrast. In addition, neither of the parietal patients showed any detrimental effect on accuracy when the stimuli were presented in low contrast, compared to high-contrast.

Importantly, the effects of case mixing were much larger on the parietal patients than on the controls, even when the effects were scaled by the RTs to read single case words (to equate for differences in baseline RTs between the patients and the controls). For GK the effect size was 168% and 150% of his baseline RTs, in Experiments 1b and 1c. For FL there was an effect size of 146% and 123%. For controls the effect size was 106% of their baseline RTs, in both Experiments 1b and 1c. The effects of contrast, on the other hand, were roughly the same on the parietal patients and the controls, when performance was scaled by the baseline RTs (effect sizes in Experiment 1a: 106% for GK, 109% for FL, and 107% (SD=3.9) for the controls).

The data for the parietal patients differed from those for the ventral occipital patient, HJA. HJA showed relatively small effects of case mixing. There was no effect on his reading accuracy. Case mixing did slow his RTs, and the effect size was somewhat larger than in the controls, even with RTs scaled by baseline latencies. Nevertheless, the effect size for HJA was substantially less than that of the parietal patients (an effect size of 128% for HJA). However, whilst the case effects for HJA were modest, the effects of contrast reduction were striking. In Experiment 1a there was a 53% drop in accuracy and RTs to read low contrast words were more than double those to identify high contrast words (effect size = 253%). The difference between HJA's performance with low and high contrast words in Experiments 1b and 1c was slightly less marked (a 33% drop in accuracy for low contrast words, in Experiment 1c, though RTs were over two times slower), but it was still pronounced. The data indicate that HJA is highly sensitive to

contrast reduction and relatively less sensitive to case mixing; on the other hand the parietal patients are highly sensitive to case mixing and relatively less sensitive to contrast reduction.

The reduced effects of contrast reduction, when comparing across Experiments 1b and 1c, relative to the effects of Experiment 1a, are likely because contrast was blocked in Experiments 1b and 1c. This may have allowed contrast effects to become accommodated to some degree, relative to when contrast varied across trials (Experiment 1a).

In addition to these selective effects of case and contrast, there was also an overall difference on reading accuracy across the patients, with GK being overall worse than FL and HJA. This is likely because GK's reading is affected by spatial neglect, as well as by his attentional dyslexia, leading him to make errors even with high contrast, same-case words (Hall et al., 2001). This overall difference, though, is not critical to the assessments of the way that contrast and case impact on GK's reading.

### Experiment 2: effects of TMS

Whilst the neuropsychological data are interesting, the individual patients do not present the clearest evidence for the localization of the effects. GK has lesions that involve occipito-parietal as well as temporo-parietal regions. FL suffered carbon monoxide poisoning which typically produces multiple disseminated lesions, and whilst the clearest damage is to the left parietal cortex, there are lesions elsewhere. In addition, it cannot be ruled out that the different sensitivities we observed for changes in contrast

or case-mixing, may be due to different strategies for reading, adopted by the patients after some degree of cortical reorganization to compensate for the lesions. For example, HJA may have adopted letter-by-letter reading in compensation for an impairment in identifying whole word forms (Humphreys & Riddoch, 1987), and this could reduce effects of case mixing on performance. To provide converging evidence on the roles of occipital and parietal cortex in modulating effects of contrast and case mixing, Experiment 2 was carried out. Here we applied TMS to occipital and parietal regions in control participants, comparing the effects on reading with that produced by sham stimulation. TMS disrupts cortical activity only briefly, making it unlikely that any effects observed here may be due to cortical reorganization or long term changes in reading-strategy. Previous TMS studies have demonstrated that there can be raised visual contrast thresholds following stimulation of the occipital pole (Kammer & Nussek, 1998; Paulus et al., 1999). Effects of occipital stimulation on reading have also been reported by Lavidor and Walsh (Lavidor & Walsh, 2004; Lavidor et al., 2003). We may expect then, that occipital stimulation would increase effects of contrast reduction on reading. On the other hand, Braet & Humphreys (2005) have found that the effects of case mixing are increased when TMS is applied to the right parietal lobe. However, the influence of parietal stimulation on the effects of contrast, or of occipital stimulation on case mixing, have not been examined. Mayall et al. (2001; see also Mechelli et al., 2000) have assessed the effects of case mixing and contrast using PET. They found that mixed case stimuli generated increased activation in the right superior parietal lobe, while contrast reduction generated increased activation in the lingual gyrus (along with decreased activity in the fusiform gyrus). These data suggest that effects of case and contrast are

modulated by different brain regions in normal readers, but they do not show the necessary involvement of the different regions in the two effects. This was tested here.

## Method – TMS experiment

Participants were required to name words as fast and accurately as they could. In the contrast-experiment (Experiment 2a), words were presented in single case, in either low or high contrast. In the case-experiment (Experiment 2b), the words were presented in low contrast, either in single case or mIxEd-CaSe. Both experiments contrasted the effects of stimulation of the occipital pole (V1), the right posterior parietal lobe, or sham TMS over the vertex.

The TMS experiments differ from the conditions used for the patients in the following ways: the stimuli were presented briefly, and were replaced by a blank background until the participant made a response, and low-contrast stimuli were used when the effects of case mixing were examined. This was done because we have previously failed to obtain TMS effects on mixed case stimuli, when the stimuli were presented in high contrast for an unlimited duration (see Braet & Humphreys, 2005, Experiment 1). This may be because, unless the stimuli were presented under challenging visual conditions, performance on the naming task was too easy to be disrupted with the stimulation used.

## **Participants**

Experiment 2a (contrast) involved 8 participants (5 males, 3 females), aged between 18 and 37. Experiment 2b (case) had 10 participants, aged 18-22, of whom 2

were male. Participants who had not taken part in TMS studies before were given an information leaflet explaining the procedures prior to deciding whether to participate. All participants gave written consent to participate, were native speakers of English, had normal or corrected-to-normal vision, and reported an absence of epilepsy or other neurological disorders in themselves and immediate members of their family (first degree relatives). The study had approval of the local ethics committee, and conformed to the Declaration of Helsinki (1964) and safety-procedures for rTMS as outlined in Wasserman (1998).

## Apparatus and stimuli

For both sub-experiments, the stimuli were presented on a 17" Gateway VX720—monitor, at an approximate viewing distance of 100 cm, using E-Prime software (Psychology Software Tools, Pittsburg) run on a Pentium 4 (1.8 GHz). The stimuli were generated using the same software as in Mayall et al. (2001), and were light-grey (low contrast in Experiment 2a and 2b) or white (high contrast in Experiment 2a only) on a dark-grey background (3.1° visual angle). All stimuli were presented in the centre of the screen for 200 ms.

Each sub-experiment used 6 lists of 50 6-letter words, with a mean frequency of respectively 55, 49, 47, 52, 48, and 53 occurrences per million (Kucera & Francis, 1967). These lists were assigned to the different conditions within an experiment, which were counterbalanced over participants, so that for any participant, each individual word would be presented once.

#### Stimulation

The stimulator used was a Magstim Rapid with 2 external boosters, in conjunction with a Double Circular 70 mm coil which produces a maximum output of 2.2 Tesla. With this coil-configuration the magnetic fields generated by both halves of the coil will add up, ensuring that the induced current is strongest in the region directly beneath the centre of the coil (Jalinous, 1998).

Prior to the first experimental block, each participant's individual Motor Threshold (MT) was established, by finding the lowest stimulation-intensity at which finger movements could be elicited reliably to visual observation, with single-pulse stimulation of the motor cortex. The stimulation during both experiments involved a train of 3 TMS-pulses with an inter-pulse interval of 50ms with the first pulse occurring 50ms prior to stimulus onset. The total number of TMS-pulses in an experiment was 900 (including 300 pulses of sham-TMS), with an intertrial interval of 1s + the RT of the participant<sup>2</sup>. Stimulation intensity was 10% below individual MT. For experiment 2a (contrast) the average stimulation intensity was 47% (SD=6) of stimulator output; for experiment 2b (case) it was 46% (SD=12). The coil was replaced after every block of 300 pulses, to prevent overheating.

In both experiments, three stimulation sites were used: occipital, parietal and sham-vertex. For occipital stimulation, the centre of the coil was placed 3 cm above the inion, with the handle of the coil pointing upwards so that induced current would flow anterior-posterior. For parietal stimulation, the centre of the coil was placed over the same scalp-coordinates where we have previously found case-specific effects (Braet &

-

<sup>&</sup>lt;sup>2</sup> Chen et al. (1997), using 20 Hz trains with a duration of 1.6s, report that these are unsafe when stimulating higher than MT, when the intertrial interval was 1s or less. Jahanshahi et al. (1997) investigated safety of 20 Hz trains of 4 pulses, also at an intensity close to MT, and did not find these to be unsafe.

Humphreys, 2005), close to P4 in the 10-20 electrode system. Induced current-flow for this site was posterior-anterior and lateral-medial, towards the vertex. In the Sham condition the coil was held over the vertex, but angled tangentially to the skull so that any cortical effects are unlikely to occur, and was included to control for non-specific effects of TMS, such as caused by the sound (see Lisanby et al., 2001 for an evaluation of sham TMS). Both the parietal site and the vertex (Cz in the 10-20 electrode system) were marked on an electrode cap prior to the experiment taking place. The occipital site (3 cm anterior to the inion) was determined at the start of the experiment for each participant.

#### Procedure

Both sub-experiments consisted of three blocks (parietal stimulation, occipital stimulation, and sham), each of 100 trials, the order of which was counterbalanced across participants. The three blocks were always completed within a single session of testing.

In Experiment 2a, half of the trials contained words in either low contrast and half in high contrast, all in the same case. In Experiment 2b, half the items were single case and half were mixed case, all in the same contrast. There were 50 trials in each condition in each sub-experiment. TMS was administered on each trial. Trials were presented in a semi-random order (randomized prior to the experiment) for each experiment.

Every trial started with a 1s fixation cross in the center of the screen, which was then replaced with the stimulus word, which participants were asked to name as quickly and accurately as possible. Response latencies were measured with a voice-key<sup>3</sup>, and accuracy was scored manually.

<sup>&</sup>lt;sup>3</sup> To prevent the voice-key from being triggered by the TMS-onset, the voice-key was delayed to start recording RTs only after the last TMS pulse in a trial.

The stimulus words remained on the screen for only 200 ms, and were then replaced by a blank screen, which stayed on until the participant gave a response.

### Results

The data for each sub-experiment were analyzed in a repeated measures ANOVA, with site of stimulation (V1, parietal, or sham) and difficulty (low contrast vs high, or mixed case vs single, for Experiments 2a and 2b respectively) as within-subjects factors. Task (contrast-manipulation or case-manipulation) was included as a between subjects-variable. Reaction times and errors were analyzed separately.

### RTs

There was a significant main effect of difficulty (F(1,16)=26.97, p<.001), with overall slower RTs in the more difficult condition (low contrast for Experiment 2a: 601 ms versus 580 ms for high contrast stimuli; or mixed case for Experiment 2b: 613 ms versus 589 ms for lower case stimuli). No other main effects or interactions were significant (all F<1).

## Accuracy

There was a significant main effect of difficulty (F(1,16)=21.59, p<.001), but not stimulation site (F<1). There was a trend for a main effect of type of degradation (F(1,16)=3.34, p=.086). There were trends for an interaction between site and difficulty (F(2,32)=3.25, p=.052), and for the interaction between difficulty and type of degradation (F(1,16)=3.42, p=.083). The three-way interaction between degradation type,

site and difficulty was found to be significant (F(2,32)=19.33, p<.001). This interaction was broken down by examining the effects of each type of degradation separately.

#### Contrast

There was a significant main effect of contrast (F(1,7)=11.39, p=.012), but not site (F(2,14)=3.07, p=.078). The interaction between site and contrast was also significant (F(2,14)=6.54, p=.01). This site x contrast interaction was significant for the comparison between occipital (V1) and sham stimulation (F(1,7)=4.24, p=.041), and for the comparison between occipital (V1) and parietal stimulation (F(1,7)=18.67, p=.003). It was not reliable for the comparison between sham and parietal stimulation (F<1). TMS had a selective, disruptive effect on low contrast relative to high contrast words, when the occipital (V1) region was stimulated. This pattern was not found when stimulating either the parietal site, or when using sham-TMS (see Figure 1).

-----

Figure 1 here

-----

# Case mixing

There was a significant main effect of case (F(1,9)=15.44, p=.003), but not stimulation site (F<1). The interaction between case and site was also significant (F(2,18)=18.42, p<.001). This interaction was significant when comparing parietal with sham stimulation (F(1,9)=14.76, p=.004), and parietal with occipital (V1) stimulation

(F(1,9)=29.82, p<.001). There was no differential effect of occipital (V1) vs. sham stimulation (F(1,9)=2.65, p=.138). Stimulation of the parietal site led to an increase in errors for mixed case, relative to single case words. This pattern was not found when using sham-stimulation, or when stimulation was applied to the occipital (V1) site (see Figure 2).

-----

Figure 2 here

-----

## Discussion – TMS experiments

The results using TMS replicate and extend prior studies. As in our prior work, stimulation of the right parietal lobe was found to increase the case mixing effect, disrupting mixed case words more than single case words (cf. Braet & Humphreys, 2005). This was not just a general effect of difficulty, however. Right parietal stimulation did not have a differential effect on the reading of low compared with high contrast words. The opposite effects occurred with occipital (V1) stimulation. Here there were no differential effects on reading mixed compared with single case words, compared with sham stimulation, but there were differential TMS effects on low compared with high contrast words. In addition, we see that in Experiment 2b (case), where all stimuli were presented in low contrast, participants tend to make the most errors for lower case words, with occipital stimulation compared to parietal or even sham stimulation, though this effect did not reach significance. The stimulation effects are predicted by prior PET

studies of case and contrast effects on reading (involving right parietal cortex and lingual gyrus respectively, see Mayall et al., 2001; Mechelli et al., 2000), but here we show that the different brain regions are necessary to deal with the different distortion effects. The results highlight that the effects of contrast reduction and case mixing are not dealt with in a common manner by the brain; different neural regions, and we suggest different functional mechanisms, are involved.

For both stimulation sites, the TMS effect was expressed as a reduction of accuracy, while there were no clear effects on naming latencies. This is surprising, as it is typically easier to affect response latencies with TMS compared to accuracy (e.g. Walsh & Rushworth, 1998). In a previous study (Braet & Humphreys, 2005) the effects of our stimulation protocol affected either RTs or accuracy, and we used a combined measure (efficiency score, see Townsend & Ashby, 1983) to best express the overall effect.

#### General discussion

We have presented neuropsychological evidence along with evidence from TMS effects in normal readers, distinguishing between the effects of contrast reduction and case mixing on reading. The data indicate that parietal cortex is necessarily involved in the reading of mixed case stimuli, but it is less critical for same case stimuli. The parietal cortex is also not necessarily involved in the dealing with low compared with high contrast words, since lesions/TMS applied to parietal cortex did not increase the effect of contrast reduction. The opposite results arose with ventral occipital lesions/TMS. Here there were enhanced effects of contrast reduction after occipital damage/TMS, but no enhancement of the case mixing effect.

These results suggest that effects of contrast reduction are overcome within the occipital cortex, without requiring further recruitment of the parietal cortex (at least for the levels of contrast reduction used in this study). This is consistent with accounts that effects of contrast reduction are 'normalised' at early stages of visual processing, and that the visual description used in later stages of processing is relatively less dependent on contrast. For example, Goodyear & Menon (1998), using BOLD fMRI, report an increase in activity in V1, but not extrastriate areas, when contrast luminance was increased. Likewise, Avidan et al. (2002) found a monotonic increase in contrast-invariability, from 'early' to 'late' visual areas (i.e. V1, V2, Vp, V4/V8 through to the lateral occipital complex). While object-perception is generally found to be quite robust to changes in contrast, this is not true for early visual areas, with activity in V1 being highly dependent on changes in contrast. Henrie & Shapley (2005) looked at single unit activity and local field potentials (LFPs) in the macaque primary visual cortex (V1). They found a monotonic relationship between single cell activity in V1 and luminance contrast. They also report that, as contrast increases, LFP increases in amplitude and becomes more structured, suggesting a more synchronized firing of neurons in V1 (a higher 'signal' to 'noise' ratio). Our present TMS results can likely be explained along the same lines: the occipital TMS introduces sufficient neural noise to disrupt the representation of low contrast words, but not of high contrast words.

On the other hand, case mixing disrupts some of the critical information used to read words over and above general effects of noise to visual features (manipulated through contrast reduction). This critical information is likely to include clusters of familiar letter features, which co-occur in lower case words and that change when case

mixing is introduced (Hall et al., 2001; Mayall et al., 2001). Due to the loss of this supraletter information, additional processes need to be recruited to enable mixed case words to be identified. These processes may include mental transformation of the shapes of the letters to a common format (as the parietal lobe has been implicated in tasks involving spatial transformations; e.g. Bestmann et al., 2002; Kassubek et al., 2001; Ungerleider et al., 1998; see also Braet & Humphreys, 2005) and/or the serial processing of the letters present (dependent on spatial attention, modulated through the parietal cortex; cf. Corbetta & Shulman, 1998). In either instance, the results show that these additional processes are implemented in the parietal cortex. Patients with parietal damage are less able to call on these compensatory processes, and seem over-dependent on recognition via familiar visual codes, derived via ventral visual pathways (Cohen & Dehaene, 2004; Dehaene, 2002). Since the familiar visual code is disrupted by case-mixing, such patients find mixed case words particularly problematic. Crucially though, the present data demonstrate that case mixing differs both neurally and functionally from other forms of visual degradation, such as contrast reduction. We suggest that this is because case mixing is special, because it disrupts supra-letter information used in word identification.

## Acknowledgements

We thank the patients for their kind participation, and also Anna Rzeskjewicz for assistance in collecting part of the neuropsychological data. The work was supported by grants from the BBSRC, the MRC, and the Stroke Association (UK).

#### References:

- Avidan, G., Harel, M., Hendler, T., Ben-Bashat, D., Zohary, E., & Malach, R. (2002). Contrast sensitivity in human visual areas and its relationship to object recognition. *Journal of Neurophysiology*, 87, 3102-3116.
- Balint, R. (1909). Seelenlahmung des Schauens, optische Ataxie, raumliche Storung der Aufmerksamkeit. *Monatschrift für Psychiatrie und Neurologie*, 25, 5-81.
- Baylis, G.C., Driver, J., Baylis, L. L., & Rafal, R. D. (1994). Reading of letters and words in a patient with Balint's syndrome. *Neuropsychologia*, 32, 1273-1286.
- Besner D, & Johnston JC (1989) Reading the mental lexicon: On the uptake of visual information. In: Marslen-Wilson W (Ed.), *Lexical representation and process (pp 291-316)*. Cambridge, MA: MIT Press.
- Bestmann, S., Thilo, K. V., Sauner, D., Siebner, H. R., Rothwell, J. C. (2002). Parietal magnetic stimulation delays visuomotor mental rotation at increased processing demands. *Neuroimage*, 17, 1512-1520.
- Braet, W., & Humphreys, G. W. (2005). Case mixing and the right parietal cortex: evidence from rTMS. *Experimental Brain Research, DOI: 10.1007/s00221-005-0085-z*
- Chen, R., Gerloff, C., Classen, J., Wasserman, E. M., Hallet, M., & Cohen, L. G. (1997). Safety of different inter-train intervals for repetitive transcranial magnetic stimulation and recommendations for safe ranges of stimulation parameters. *Electroenecphalography and clinical neurophysiology*, 105, 415-421.
- Cohen, L., & Deheane, S. (2004). Specialization within the ventral stream: the case for the visual word form area. *Neuroimage*, 22, 466-476.
- Coltheart, M. (1987) Functional Architechture of the Language Processing System. In: Coltheart, M., Sartori, G. & Job, R. *The cognitive neuropsychology of language*. Hove: Lawrence Erlbaum Ass. Publ.
- Corbetta, M., & Shulman, G. L. (1998). Human cortical mechanisms of visual attention during orienting and search. *Philosophical Transactions of the Royal Society of London, Biological Sciences*, 353,1353-1362.
- Cornelissen, P., Tarkiainen, A., Helenius, P., & Salmelin, R. (2003). Cortical effects of shifting letter position in letter strings of varying length. *Journal of Cognitive Neuroscience*, 15, 731-46.
- Deheane, S., Le Clec'H, G., Poline, J. B., Le Bihan, D., & Cohen, L. (2002). The visual word form area: a prelexical representation of visual words in the fusiform gyrus. *Neuroreport*, 13, 321-325.

- Edwards, M.G. & Humphreys, G.W. (2002). Visual selection and action in Balint's syndrome. *Cognitive Neuropsychology*, 19, 445-462.
- Ellis, A.W. & Young, A.W. (1996) <u>Human Cognitive Neuropsychology</u>. Hove: Psychology Press Ltd.
- Friedman-Hill, S. R., Robertson, L. C., & Treisman, A. (1995). Parietal contributions to visual feature binding evidence from a patient with bilateral lesions. *Science*, 269, 853-855.
- Gilchrist, I. D., Humphreys, G. W., & Riddoch, J. (1996). Grouping and extinction: Evidence for low-level modulation of visual selection. *Cognitive neuropsychology*, 13, 1223-1249.
- Goodyear, B. G., & Menon, R. S (1998). Effects of luminance contrast on BOLD fMRI response in human visual areas. *Journal of Neurophysiology*, 79, 2204-2207.
- Greenberg, S. N., & Vellutino, F. R. (1988). Evidence for processing of constituent single- and multi-letter codes: Support for multi-level coding in word perception. *Memory and cognition*, 16, 54-63.
- Hall, D. A., Humphreys, G. W., & Cooper, A. C. G. (2001). Neuropsychological evidence for case-specific reading: Multi- letter units in visual word recognition. Quarterly. *Journal of Experimental Psychology*, 54, 439-467.
- Henrie, J. A., & Shapley, R. (2005). LFP power spectra in V1 cortex: the graded effect of stimulus contrast. *Journal of Neurophysiology*, Eprint ahead of publication
- Humphreys, G. W., & Forde, E. M. E. (1998). Disordered action schema and action disorganisation syndrome. *Cognitive Neuropsychology*, 15, 771-811.
- Humphreys, G., W., & Mayall, K. (2001), A peripheral reading deficit under conditions of diffuse visual attention. *Cognitive Neuropsychology*, 18, 551-576.
- Humphreys, G. W., & Price, C. J. (1994). Visual feature discrimination in simultanagnosia a study of 2 cases. *Cognitive Neuropsychology*, 11, 393-434.
- Humphreys, G. W., & Riddoch, M. J. (1987). <u>Visual object processing: A cognitive neuropsychological approach</u>. Hillsdale, NJ: Lawrence Erlbaum Associates.
- Jahanshahi, M., Ridding, M. C., Limousin, P., Profice, P, Fogel, W, Dressler, D., Fuller, R., Brown, R. G., Brown, P., & Rothwell, J. C. (1997). Rapid rate transcranial magnetic stimulation a safety study. <u>Electroenecephalography and clinical neurophysiology</u>, 105, 422-429.

- Jalinous, R. (1998). Guide to Magnetic Stimulation, <u>The MagStim Company</u>, <u>Whitland</u>, <u>Wales</u>.
- Kammer, T., & Nussek, H. G. (1998). Are recognition deficits following occipital lobe TMS explained by raised detection thresholds? *Neuropsychologia*, 36, 1161-1166.
- Kassubek, J. {PRIVATE "TYPE=PICT;ALT=Corresponding Author"} Author Contact Information"}{PRIVATE "TYPE=PICT;ALT=E-mail The Corresponding Author"}, Schmidtke, K., Kimmig, H., Lücking, C. H., & Greenlee, M. W. (2001). Changes in cortical activation during mirror reading before and after training: an fMRI study of procedural learning. *Cognitive Brain Research*,10, 207-217.
- Kucera, H., & Francis, W. N. (1967). <u>Computational analysis of present-day American English.</u> Brown University Press, Providence, Rhode Island.
- Lavidor, M., Ellison, A., Walsh, V. (2003). Examination of a split-processing model of visual word recognition: a magnetic stimulation study. *Visual Cognition*, 10, 354-363.
- Lavidor, M., & Walsh, V. (2004). Magnetic stimulation studies of foveal representation. *Brain and Language*, 8, 331-338.
- Lisanby, S. H., Gutman, D., Luber, B., Schroeder, C., & Sackeim, H. A. (2001). Sham TMS: intracerebral measurement of the induced electrical field and the induction of motor-evoked potentials. *Biological Psychiatry*, 49, 460-463.
- Mayall, K., Humphreys, G. W., & Olson, A. (1997). Disruption to word or letter processing? The origins of case-mixing effects. *Journal of Experimental Psychology Learning, Memory and Cognition*, 23,1275-86.
- Mayall, K. A., Humphreys, G. W., Mechelli, A., Olson, A., & Price, C.J. (2001). The effects of case mixing on word recognition: evidence from a PET study. Journal of Cognitive Neuroscience, 13, 844-853.
- Mayall, K., & Humphreys, G. W. (2002). Presentation and task effects on migration errors in attentional dyslexia. *Neuropsychologia*, 40, 1506-1515.
- McClelland, J. L. (1977). Letter and configuration information in word identification. *Journal of Verbal Learning and Verbal Behavior*, 16, 137-150.
- Mewhort, D. J. K., & Johns, E. E. (1988). Some tests of the interactive activation model for word recognition. *Psychological Research*, 50, 135-147.

- Osswald, K., Humphreys, G. W., & Olson, A. (2002). Words Are More Than The Sum Of Their Parts: Evidence For Detrimental Effects Of Word-Level Information in Alexia. *Cognitive Neuropsychology*, 19, 675-695.
- Paulus, W., Korinth, S., Wischler, S., & Tergau, F. (1999). Differential inhibition of chromatic and achromatic perception by transcranial magnetic stimulation of the human visual cortex. *Neuroreport*, 10,1245-1248.
- Price, C.J. (2000). The anatomy of language: contributions from functional neuroimaging. *Journal of Anatomy*, 197, 335-359.
- Price, C. J., Moore, C. J., & Frackowiak, R. S. J. (1996). The effect of varying stimulus rate and duration on brain activity during reading. *Neuroimage*, 3, 40–52.
- Price, C. J., Wise, R. J., & Frackowiak, R. S. (1996). Demonstrating the implicit processing of visually presented words and pseudowords. *Cerebral Cortex*, 6, 62–70.
- Riddoch, M.J. (1990). Loss of Visual Imagery: A Generation Deficit. *Cognitive Neuropsychology*, 7, 249-273.
- Riddoch, M. J., Humphreys, G. W., Gannon, T., Blott, W., & Jones, V. (1999). Memories are made of this: the effects of time on stored visual knowledge in a case of visual agnosia. *Brain*, 122, 537-559.
- Spoehr, K. T., & Smith, E. E. (1973). The role of syllables in perceptual processing. *Cognitive Psychology*, 5, 71-89.
- Taft, M. (1979). Lexical access via an orthographic code: The basic orthographic syllable structure (BOSS). *The journal of verbal learning and verbal behaviour*, 18, 21-39.
- Townsend, J. T., & Ashby, F. G. (1983). <u>Stochastic modelling of elementary psychological processes</u>. Cambridge University Press.
- Treiman, R., & Chaftez, J. (1987). Are there onset- and rime-like units in printed words? In M. Coltheart (Ed.), <u>Attention and performance XII (pp. 281-298)</u>. Hove, UK: <u>Lawrence Erlbaum Associates Ltd.</u>
- Treiman, R., Mullennix, J., Bijeljacbabic, R. & Richmondwelty, E. D. (1995). The special role of rimes in the description, use, and acquisition of Englishorthography. *Journal of Experimental Psychology: general*, 124, 107-136.
- Ungerleider, L. G., Courtney, S. M., & Haxby, J. V. (1998). A neural system for human visual working memory. *Proceedings of the National Academy of Sciences*, 95, 883–889.

Walsh, V., & Rushworth, M. (1998). A primer of magnetic stimulation as a tool for neuropsychology. *Neuropsychologia*, 37, 125-135.

Warrington, E. K., & Shallice, T., (1980). Word form dyslexia. *Brain*, 103, 99-112.

Wasserman, E. M. (1998). Risk and safety of repetitive transcranial magnetic stimulation: report and suggested guidelines from the International Workshop on the Safety of Transcranial Magnetic Stimulation, June 5-7, 1996. <u>Electroencephalography and Clinical Neurophysiology/Evoked Potentials Section</u>, 107, 1-16.

Whiteley, H.E., & Walker, P. (1997). The activation of multi-letter units in visual word recognition: Direct activation by supraletter visual features. *Visual cognition*, 4, 69-110.

Table 1: accuracy (grey rows) and RT (white rows) data for Experiments 1a (low vs. high contrast), 1b (single vs. mixed case, all in high contrast), and 1c (single vs. mixed case, all in low contrast)

Patient	lesion	low	high	single	mixed	single	mixed
		contrast	contrast	case	case	case	case
				(high	(high	(low	(low
				contrast)	contrast)	contrast)	contrast)
GK	parietal	65%	67%	67%	34%	56%	24%
		7.9s	7.5s	11.4s	19.2s	16.7s	25.0s
FL	parietal	82%	84%	84%	60%	78%	52%
		4.0s	3.7s	2.7s	4.0s	5.0s	6.1s
HJA	occipital	44.4%	97.2%	97%	99%	68%	64%
		15.2s	5.4s	3.9s	5.0s	12.1s	12.9s
controls	none	99.6%	99.8%	100%	99.2%	100%	98.5%
Controls		1.5s	1.4s	1.1s	1.2s	1.7s	1.8s

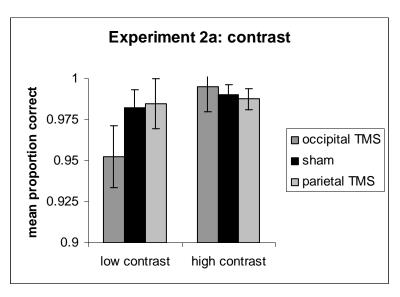


Figure 1: Experiment 2a (contrast) accuracy. Error bars represent 1 S. E.

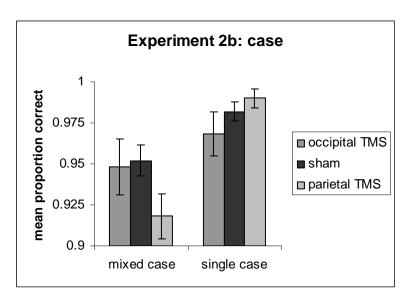


Figure 2: Experiment 2b (case) accuracy. Error bars represent 1 S. E.