



Review

A review of neuropsychological and neuroimaging  
research in autistic spectrum disorders:  
Attention, inhibition and cognitive flexibility

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**Abstract**

Autistic spectrum disorders (ASD) are devastating neurodevelopmental disorders of unknown aetiology with characteristic deficits in social interaction, communication and behaviour. Individuals with ASD show deficits in executive function (EF), which are hypothesised to underlie core repetitive, stereotyped behaviours of autism. Neuroimaging research has identified structural brain abnormalities in ASD, which coincide with brain regions involved in EF. Therefore, we reviewed the literature on four specific executive functions in ASD—sustained attention, orienting attention, response inhibition and set shifting. Medline and Embase searches were carried out using specific search terms. This task was followed by the identification of further relevant publications from papers referenced in initial search results. Discussion with experts in neuropsychology, neuroimaging and autism research yielded further publications that were reviewed. Based on these data there is evidence to suggest that deficits in orienting attention, response inhibition and set shifting exist in ASD, but sustained attention ability appears to be normal. A striking lack of research attempting to link neural correlates with these deficits in orienting attention, response inhibition and set shifting was noted. Future research should focus on understanding links between the neuropsychological deficits and structural and functional brain abnormalities.

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**Keywords:** Autism; Executive function; Cognitive flexibility; Sustained attention; Orienting attention; Response inhibition; Neuropsychology; Imaging

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**1. Introduction**

Autistic spectrum disorders (ASD) are devastating neurodevelopmental disorders of childhood with deficits in social interaction and communication and characteristic restricted, repetitive patterns of behaviours, interest and activities. Autism, Retts’ disorder, childhood disintegrative disorder, pervasive developmental disorder—not otherwise specified and asperger syndrome are classified in ICD-10 and DSM-IV as the five pervasive developmental disorders (PDD). The term ASD is commonly used clinically to describe conditions on the autistic spectrum not meeting the strict criteria for autism as defined by ICD-10 or DSM-IV. Within the confines of this review, we are including autism, high-functioning autism and Asperger syndrome within the boundaries of the term ASD. Asperger syndrome describes individuals with core deficits in social interaction, communication and behaviour with normal intellectual functioning and no history of speech and language delay. High functioning autism commonly refers to individuals meeting criteria for autism with normal intellectual functioning and a history of speech and language delay. Most neuropsychological and neuroimaging research involves testing children with a diagnosis of Asperger syndrome or high functioning autism rather than autism, in which a substantial number of the children suffer from intellectual disability as well; at least in part because of the greater ability of the children with Asperger syndrome or high functioning autism to complete the study tasks.

Autism has a population prevalence of 1/1000 while other ASDs are relatively frequent with prevalence rates in the order of 1 in 250 (Fombonne, 2003). The deficits associated with autism

80  
81 are enduring. Co-morbid features, such as depression, anxiety, obsessional features and  
82 oppositionality, frequently complicate the clinical picture and cause difficulties with clinical  
83 management. An improved understanding of the underlying pathophysiology of the disorders  
84 will aid the discovery of novel treatments.

85 Although the cause of autism remains unknown, it is widely accepted that there is a strong  
86 genetic component (Bailey et al., 1995). The mode of inheritance is unclear, but it is widely  
87 considered to be an oligo-genic disorder with 5–15 susceptibility genes of small effect size  
88 (Pickles et al., 1995; Risch et al., 1999). Large-scale genetic studies are ongoing in an effort to  
89 identify susceptibility genes for autism (International Molecular Genetic Study of Autism  
90 Consortium, 2001; The Autism Genome Project and Consortium, *in press*). Understanding the  
91 complex relationships between genotype and phenotype is a current challenge.

92 In parallel with genetic research, neuropsychologists have been attempting to understand the  
93 relationship between brain structures and the psychological and behavioural functions they serve.  
94 Although this relationship is still quite unclear, it is widely accepted that there are specific  
95 neuropsychological deficits in autism. It is hoped that increased understanding of these cognitive  
96 deficits will lead to better definitions of specific cognitive phenotypes (or endophenotypes),  
97 which may aid progress in genetic studies. In addition, understanding the neuroanatomical  
98 correlates of neuropsychological deficits may give insights into the aetiology of autism and  
99 ASDs. In neuropsychological terms, three main theories of autism currently exist and each  
100 postulates a core impairment that is argued to account for some of the defining behavioural  
features of autism.

- 102
- 103 1. *Executive dysfunction hypothesis*. The executive dysfunction hypothesis of autism holds that  
104 deficits in executive control over behaviour account for the defining behavioural features of  
105 autism (Turner, 1999a, 1999b). This theory addresses the restricted, repetitive, stereotyped  
106 patterns of behaviour characteristic of autism. This theory suggests that due to anatomical  
107 dysfunction within the fronto–striatal and fronto–parietal circuits, people with autism will  
108 demonstrate difficulties with higher-order cognitive functions, such as sustained attention,  
109 response inhibition and cognitive flexibility (Pennington & Ozonoff, 1996; Verte, Geurts,  
110 Roeyers, Oosterlaan, & Sergeant, 2005).
  - 111 2. *Empathising-systematising theory of autism*. This hypothesis outlines abnormal psychological  
112 functions that may explain the social and communication deficits characteristic of autism  
113 (Baron-Cohen, 2002). This theory postulates that ASD involves deficits in the normal  
114 development of empathy. The term ‘empathising’ encompasses two concepts. First, it includes  
115 ‘theory of mind’—a person’s intuitive ability to understand other people’s plans, thoughts,  
116 points of view, beliefs, attitudes and emotions. Second, ‘empathising’ refers to an individual’s  
117 ability to respond to another person’s emotion in an appropriate way. Researchers have  
118 suggested that a deficit in empathising underlies the core social and communication  
119 impairments characteristic of autism (Baron-Cohen, 1988; Tager-Flusberg, 1993). The term  
120 ‘systematising’ refers to the drive to analyse, explore and construct systems. It is proposed that  
121 systematising in autism is either intact or superior (Baron-Cohen, Richler, Bisarya,  
122 Gurunathan, & Wheelwright, 2003; Lawson, Baron-Cohen, & Wheelwright, 2004). There is  
123 fairly robust evidence supporting this theory (Baron-Cohen, 1996; Baron-Cohen, Tager-  
124 Flusberg, & Cohen, 1993).
  - 125 3. While there have been a limited number of studies investigating the links between the  
126 postulated cognitive deficit in empathising and structural and functional brain abnormalities,  
127 lesion studies and structural and functional neuroimaging studies have suggested that  
128

abnormalities of the amygdala may underlie the deficit in empathising (Baron-Cohen et al., 2000). Neuroimaging studies, however, have also implicated a number of other brain areas including the medial frontal cortex, orbital frontal cortex and superior temporal sulcus (Baron-Cohen et al., 1994; Gervais et al., 2004; Happe, 1996). In summary, there is behavioural and physiological evidence for a deficit in empathising in autism and this theory can account for the social-communication deficit in autism.

4. *Weak central coherence hypothesis.* Frith and Happe (1994) argue that individuals with autism exhibit 'weak central coherence'. The concept of central coherence refers to an information-processing style—where incoming information is processed in its context. In weak central coherence (Frith, 1989; Frith & Happe, 1994; Happe, 1996), information is processed in a piecemeal way, at the expense of contextual meaning. There is an inability to integrate information into a meaningful whole. This hypothesis can account for the unusual attentional features seen in autism; for example fascination with unusual objects or unusual aspects of objects. These results can also explain the experimental findings of abnormal assets and deficits on non-social tasks in individuals with autism (e.g. superior performance on the Embedded Figures Task; Shah & Frith, 1983, 1993) but poor performance on reading homographs (words with same spelling but two meanings) in context (Frith & Snowling, 1983; Happe, 1997; Jolliffe & Baron-Cohen, 1999). However, the neurological abnormalities underpinning weak central coherence are not well understood. There are only a handful of studies investigating the brain basis for this cognitive deficit (e.g. Manjaly et al., *in press*; Ring et al., 1999). A great deal of neuroanatomical research is needed in this area (Hill & Frith, 2003).

Evidence has accumulated for each of these theories, and they need not be viewed as competitive (Hill & Frith, 2003). Each approach attempts to explain discrete aspects of the autistic profile. Characterisation of the neuropsychological deficits postulated by each of the hypotheses may aid identification of diagnostic signs of autism and allow isolation of endophenotypes, which will assist future genetic studies. Over the past decade, there have been limited attempts to understand the neural correlates of these cognitive and behavioural deficits. Only a handful of studies have investigated links between these deficits and brain structure and function. Future interdisciplinary research between neuroimaging and neuropsychology may result in identification of brain abnormalities specific to autism.

This review article concentrates on the executive dysfunction theory of autism and provides a review of research in four specific executive functions in individuals with high-functioning autism.

### 1.1. Executive dysfunction in ASD

Executive functions control, regulate and manage lower-order cognitive processes (Alvarez & Emory, 2006; Pennington et al., 1997). These higher-order executive functions include processes such as planning and sequencing, working memory, attention, reasoning, inhibition of inappropriate and selection of appropriate behaviours. Deficits in executive function will impact on the functioning of lower-order cognitive processes such as language, perception, explicit memory, learning and action. Intact executive function is needed in order to succeed at non-routine problem-solving tasks that require flexible thinking and the generation of novel solution strategies.

Over the past two decades, neuropsychological research has provided evidence supporting the executive dysfunction theory of autism (see Pennington & Ozonoff, 1996 for a review) and the

176 link between deficits in executive function and the clinical symptoms of ASD (Hill & Bird, 2006). It  
177 has been proposed that executive function deficits are the underlying cause of core autistic  
178 symptoms of perseveration, rule-bound behaviours and obsessiveness (Turner, 1999a).  
179 Researchers have also shown correlations between executive dysfunction and brain abnormalities  
180 recognised in ASD. One of the most prominent brain regions implicated in executive function is the  
181 frontal cortex and its connections to striatal and parietal brain regions (Baddeley, 2002; Pennington  
182 & Ozonoff, 1996; Schroeter, Zysset, Wahl, & von Cramon, 2004; Shallice, 2004).

183 Children with autism have abnormally large frontal lobes (Carper & Courchesne, 2000, 2005).  
184 This may reflect a lack of synaptogenesis early in life (Belmonte et al., 2004). Substantial  
185 evidence suggests that fronto–striatal pathways are abnormal in autism. In adults with ASD,  
186 fronto–striatal pathways are reported to be anatomically (Abell et al., 1999; Carper &  
187 Courchesne, 2005; Courchesne et al., 2001; McAlonan et al., 2002; Voelbel, Bates, Buckman,  
188 Pandina, & Hendren, 2006), metabolically (Murphy et al., 2002) and functionally (Silk et al.,  
189 2006) abnormal compared with controls.

190 Reduced fronto–parietal functional connectivity has been reported in individuals with high  
191 functioning autism during a task of executive function (Just, Cherkassky, Keller, Kana, &  
192 Minshew, *in press*). Debate, however, centres on whether anatomical and functional  
193 abnormalities exist in autism in the parietal cortex (Abell et al., 1999; Courchesne, Press, &  
194 Yeung-Courchesne, 1993; Hendry et al., 2006; Koshino et al., 2005; McAlonan et al., 2002;  
195 Schmitz et al., 2006). During a set shifting and response inhibition task, adults with autism  
196 showed increased activation of the frontal and parietal cortices, compared with controls, despite  
197 showing normal behavioural performance on these tasks (Schmitz et al., 2006). Thus, alternative,  
198 compensatory mechanisms may exist in people with autism, particularly in the inferior and  
199 orbitofrontal cortices (Schmitz et al., 2006).

200 A wide range of executive function deficits have been described in ASD including  
201 abnormalities in set shifting (e.g. Verte et al., 2005), planning (e.g. Hughes, Russell, & Robbins,  
202 1994; Ozonoff & Jensen, 1999), working memory (e.g. Steele, Minshew, Luna, & Sweeney, *in*  
203 *press*), response inhibition (e.g. Hughes & Russell, 1993; Ozonoff, Strayer, McMahon, &  
204 Filloux, 1994; Russell, Mauthner, Sharpe, & Tidswell, 1991), and different forms of attention;  
205 (e.g. orienting attention; Townsend et al., 1999; Townsend, Courchesne, & Egaas, 1996;  
206 Townsend, Harris, & Courchesne, 1996), shifting attention (e.g. Rinehart, Bradshaw, Moss,  
207 Brereton, & Tonge, 2006)). This review concentrates on four executive function deficits in  
208 ASD—sustained attention, orienting attention, response inhibition and set shifting/cognitive  
209 flexibility. Clear correlates between deficits in these executive functions in ASD and clinical  
210 presentation will be described. The aim of this review was to investigate the evidence for any  
211 putative link between the neuropsychological deficits, neuroanatomical abnormalities and  
212 aspects of the clinical phenotype.  
213

## 214 2. Methods

215 Medline and Embase searches were carried out using the search terms autism, autism  
216 spectrum disorder, neuropsychology, executive function, sustained attention, orienting attention,  
217 response inhibition, set shifting, cognitive flexibility, functional magnetic resonance imaging,  
218 structural and functional neuroimaging. Articles identified following this initial search were  
219 reviewed and relevant articles referenced in these papers were selected. In addition, experts in the  
220 field of autism, neuropsychology and neuroimaging were consulted to discuss the review findings  
221 and identify other relevant publications.

### 3. Results

A review of the literature on sustained attention, orienting attention, response inhibition and set shifting in ASD has been provided. Included is a description of the clinical correlates of these executive function deficits, an overview of the research investigating these neuropsychological functions in ASD and a discussion of current knowledge of the neural correlates of these specific executive functions. The need for ongoing research in this area is illustrated. In particular, the need for research linking neuropsychological deficits with neuroanatomical abnormalities is highlighted.

#### 3.1. Sustained attention

Sustained attention is a self-directed process, in which a person sustains a mindful, conscious processing of stimuli, whose repetitive, non-arousing qualities would otherwise lead to habituation and distraction (Robertson, Manly, Andrade, Baddeley, & Yiend, 1997).

##### 3.1.1. Clinical correlates

Children with autism have unusual attentional capacities. They have difficulty in attending to stimuli on demand, but may have the ability to focus for hours on unusual aspects of their environment.

##### 3.1.2. Neuropsychological studies

There are few studies investigating sustained attention in ASD, partly because it has been difficult to find sensitive tools with which to measure this phenomenon. Tests of sustained attention conventionally involve long periods of monitoring a stream of information for the occurrence of a particular, rarely occurring target. An example is the continuous performance task (CPT). Deteriorating performance over time, rather than absolute levels of accuracy, have generally formed the key index of ‘sustained attention’ capacity in these studies (Parasuraman, Mutter, & Molloy, 1991). Most studies performed on people with ASD have reported no deficits in sustained attention (Buchsbaum et al., 1992; Garretson, Fein, & Waterhouse, 1990; Johnson et al., in press; Noterdaeme, Amorosa, Mildenerger, Sitter, & Minow, 2001; Pascualvaca, Fantie, Papageorgiou, & Mirsky, 1998; Siegel, Nuechterlein, Abel, Wu, & Buchsbaum, 1995; Voelbel et al., 2006); but see (Corbett & Constantine, 2006).

##### 3.1.3. Imaging studies

Neuroimaging studies have begun to illustrate which parts of the brain are involved in the control of sustained attention. One task, the sustained attention to response task (SART) activates the right fronto-parietal attentional network (Manly et al., 2003) that is hypothesised to be dysfunctional in autism (Hendry et al., 2006). There have been no activation studies using the SART, the CPT or any other measure of sustained attention, to investigate the pattern of cortical activation in individuals with autism. Given the neuroanatomical debate as to whether the parietal cortex is implicated in autism, it would be valuable to examine function of the frontal and parietal cortices during a sustained attention task with people with ASD, to determine if activation levels are normal and if there are compensatory mechanisms occurring, despite normal performance in behavioural testing, as found in other tests of executive function (Schmitz et al., 2006).

### 3.2. Orienting attention

Attention orienting involves three stages: disengaging attention, shifting attention and re-engaging attention (Posner & Peterson, 1990).

#### 3.2.1. Clinical correlates

Children with autism appear to have difficulty disengaging their gaze from an object or activity. This clinical observation has led to numerous studies of autistic individuals investigating their ability to disengage and shift visual attention. The characteristic atypical gaze is considered by many to be an integral part of the social-communication deficit of autism (e.g. Phillips, Baron-Cohen, & Rutter, 1992).

#### 3.2.2. Neuropsychological studies

Over the past decade, the evidence for a deficit in orienting attention in autism has been accumulating (Casey, Gordon, Mannheim, & Rumsey, 1993; Courchesne et al., 1994; Townsend et al., 1999; Townsend, Harris, et al., 1996; Wainwright & Bryson, 1996; Wainwright-Sharp & Bryson, 1993). In 2002, Landry and Bryson again demonstrated that young children with autism had impaired disengagement of attention (Landry & Bryson, 2004). Rinehart et al have reported a deficit specifically in shifting attention in high functioning autism but not Asperger's disorder (Rinehart, Bradshaw, Moss, Brereton, & Tonge, 2001). Recent results of research by Renner et al. again support the hypothesis that there are deficits in attention orienting in ASD (Renner, Grofer Klinger, & Klinger, 2006).

There is also extensive evidence to suggest a role for the cerebellum in dysfunctional attention orienting. An impairment of shifting attention has been reported in both individuals with autism and in patients with acquired cerebellar damage (Akshoomoff & Courchesne, 1992, 1994). In a number of studies in the early 1990s, Townsend et al reported that patients with damage to the cerebellum are also slow to orient visual attention in space (Townsend, Courchesne, & Egass, 1992; Townsend, Courchesne, et al., 1996, Townsend, Harris, et al., 1996).

There also appears to be an interesting relationship between the cue type that is shown and ability to orient attention. Children with ASD shift their attention more slowly when the cue has a social component (Dawson, Meltzoff, Osterling, Rinaldi, & Brown, 1998; Senju, Tojo, Dairoku, & Hasegawa, 2004). This demonstrates a complex interaction between social awareness and attention function, which is a growing field in neuroscience.

Iarocci and Burack (2004), however, reported findings that are inconsistent with the view that orienting is generally impaired in children with autism. They demonstrated that covert orienting responses to peripheral cues among children with autism were normal (Iarocci & Burack, 2004). Leekam, Lopez, and Moore (2000) also failed to find a deficit in shifting attention in autism and actually found that the children with autism were faster overall in orienting to targets (Leekam et al., 2000). These disparate findings need further research.

#### 3.2.3. Imaging studies

No imaging studies have investigated attention orienting in the ASD population. In normal healthy populations, anatomical and functional areas of the brain linked to attention orienting have been investigated. The orienting system for visual events has been associated with posterior brain areas, including the superior parietal lobe and temporal parietal junction, and in addition, the frontal eye fields (Corbetta & Shulman, 2002). Functional magnetic resonance imaging studies have suggested that the superior parietal lobe is associated with orienting following the

305 presentation of a cue (Corbetta & Shulman, 2002). Lesions of the temporal parietal junction lobe  
306 and superior temporal lobe have also been consistently related to difficulties in orienting  
307 (Karnath, Ferber, & Himmelbach, 2001).

308 In an interesting study, Townsend et al. (1999) showed that individuals with greater hypoplasia  
309 of cerebellar vermal lobules VI–VII had more severe attention-orienting deficits. Evidence from  
310 two different tasks performed during the study suggested that slowed spatial attention orienting is  
311 associated with structural cerebellar abnormality (Townsend et al., 1999). Harris, Courchesne,  
312 Townsend, Carper, and Lord (1999) suggested that the degree of slowed attention orienting to  
313 visual cues in children with autism was associated with the degree of cerebellar hypoplasia  
314 (Harris et al., 1999).

315 Courchesne has suggested a putative role for the cerebellum in attention. The cerebellum may  
316 continuously track sensory, cognitive and motor information and it relays this information to  
317 other brain systems. This may then optimise attentional responses (Courchesne, 1997;  
318 Courchesne & Allen, 1997).

### 3.3. Response inhibition

320 Response inhibition refers to the ability to suppress irrelevant or interfering stimuli or  
321 impulses. This executive function is critical for normal human behaviour.

#### 3.3.1. Clinical correlates

322 Difficulties with inhibitory control of behaviour have been linked to the repetitive, stereotyped  
323 patterns of behaviour, which are core diagnostic features of autism (Turner, 1999a). Turner  
324 proposes that children with autism become ‘locked into’ repetitive behavioural patterns because  
325 they are unable to inhibit prepotent responding (Turner, 1999b).

#### 3.3.2. Neuropsychological studies

326 Researchers investigating response inhibition in ASD have yielded conflicting results.  
327 Individuals with ASD reportedly are unimpaired on classic tasks of inhibition such as the  
328 Stroop test (Goldberg et al., 2005; Ozonoff & Jensen, 1999), tests of negative priming, the  
329 stop signal paradigm (Ozonoff & Strayer, 1997), and neutral inhibition of a Go-NoGo  
330 task (Ozonoff & McEvoy, 1994). In a test of neutral inhibition, participants must always  
331 respond to the same ‘go’ signal, for example subjects are requested to always respond (e.g. via  
332 button press) to one particular cue (e.g. ‘x’), and to always withhold on another cue (e.g. ‘y’).  
333 When prepotent inhibition is tested however, where subjects must change their primed  
334 response pattern to respond to the stimulus that was the opposite of the initial ‘go’ stimulus  
335 (e.g. press on ‘y’ instead of ‘x’), autistic individuals perform poorly in comparison with  
336 controls. Using a number of paradigms—Go–NoGo task (Ozonoff & McEvoy, 1994), the  
337 Windows task (Russell et al., 1991), the SART (Johnson et al., in press), oculomotor anti-  
338 saccade task (Luna, Doll, Hegedus, Minshew, & Sweeney, 2007) and the Detour reaching task  
339 (Hughes & Russell, 1993), deficits in inhibiting prepotent responses have been demonstrated  
340 in ASD.

341 Biro and Russell offer an alternative explanation for these difficulties in response inhibition.  
342 They propose that individuals with autism have difficulties in following arbitrary procedures and  
343 suggest that it is this obstacle that causes apparent deficits in tasks of inhibition and other  
344 executive function tasks (Biro & Russell, 2001). This theory may suggest impairment in  
345 maintaining task-set or goals.



Russell, Jarrold, and Hood (1999), however, noted that performance on a task of response inhibition may depend on the verbal demands of the task. Even if a task is non-verbal, the individual can improve their performance by using ‘inner speech’ to explain the task. Individuals with autism may find this difficult (Russell et al., 1999). Bishop and Norbury tested children with HFA, pragmatic language impairment, specific language impairment and controls using two tasks of response inhibition. The authors report that inhibitory deficits were not specific to autism, nor were they linked to particular autistic symptoms. They suggest that inhibitory deficits in ASD may not be associated with autistic symptomatology, but rather with co-morbid attentional deficits and structural language difficulties (Bishop & Norbury, 2005).

An interesting study published by Wisdom, Dyck, Piek, Hay, and Hallmayer (in press), compared children with autistic disorder, mixed receptive expressive language disorder (RELD) and developmental coordination disorder on a number of measures including response inhibition. The authors report that the RELD group had poorer response inhibition than the group with autistic disorder (Wisdom et al., in press). This study again suggests the importance of language in response inhibition.

However, in the Johnson study, when the children with HFA were tested on the Fixed SART, in which the response inhibition component was minimal, the children with HFA performed the tasks in a similar manner to controls. When the response inhibition component was increased through a randomised presentation of stimuli, the number of commission errors (press on the no-go stimuli) significantly increased compared with controls. The demand on the individual’s language ability was similar between the fixed and random versions of the task thus suggesting a response inhibition deficit in high functioning autism (Johnson et al., in press).

### 3.3.3. *Imaging studies*

Only one study has been published investigating brain function during tasks of response inhibition in autism (Schmitz et al., 2006). In this study, the authors examined whether brain regions that were functionally different were also anatomically abnormal. Despite no significant differences between individuals with ASD and controls in task performance, they found that the ASD group showed significantly increased brain activation in left inferior and orbital frontal gyrus (with motor inhibition (Go–NoGo) tasks), left insula (with interference inhibition (Stroop) tasks) and right inferior and left mesial parietal cortex (during a set shifting and response inhibition (Switch) task). In addition, increased frontal grey matter density and increased functional activation shared the same anatomical location. This finding is interesting given that right prefrontal brain regions have been shown to mediate inhibitory control in normal healthy adults (Garavan, Hester, Murphy, Fassbender, & Kelly, 2006; Rubia et al., 2005). The authors suggest that the increased activation in the left hemisphere during the Go–NoGo task may reflect a compensatory strategy to achieve correct inhibitory performance. While this study failed to show a deficit in response inhibition in individuals with autism, it reports novel functional imaging findings. Based on previous research findings, a deficit in prepotent inhibition would be expected in individuals with ASD. Brain activation during abnormal inhibition has not been described yet in participants with ASD; however, if challenged with a more demanding task, performance differences may be revealed and activation differences may then be observed.

### 3.4. *Set shifting*

Set shifting or cognitive flexibility refers to the ability to shift to a different thought or action according to changes in a situation.

### 3.4.1. *Clinical correlates*

Both Ridley and Turner have suggested that restrictive, repetitive behaviours in autism are more severe forms of the tendency to perseverate and are possibly due to the inability to generate novel solutions or to shift one's cognitive set (Ridley, 1994; Turner, 1999a, 1999b)

### 3.4.2. *Neuropsychological studies*

Deficits in set shifting have been consistently reported in ASD. One test of set shifting is the Wisconsin card sort test (WCST) (Heaton, Chelune, Talley, Kay, & Curtiss, 1993). Numerous researchers have shown that individuals with autism are highly perseverative in their response to the WCST compared with controls (Bennetto, Pennington, & Rogers, 1996; Ozonoff, 1995; Ozonoff & McEvoy, 1994; Ozonoff, Pennington, & Rogers, 1991; Prior & Hoffmann, 1990; Rumsey & Hamburger, 1988; Shu, Lung, Tien, & Chen, 2001; Szatmari, Bartolucci, Bremner, Bond, & Rich, 1989). Over the past 3 years there have been a number of large studies published, which have reliably demonstrated significant deficits in set shifting in ASD (Geurts, Verte, Oosterlaan, Roeyers, & Sergeant, 2004; Kenworthy et al., 2005; Ozonoff et al., 2004; Verte et al., 2005).

As in the case of response inhibition, however, there is a question about the role of language in performance of set shifting tasks. In an interesting study published in 2001, Liss et al. demonstrated that although individuals with ASD appear to perseverate more than individuals with developmental language disorder on the WCST, there is actually no significant difference in perseverative errors when verbal IQ is controlled for. The authors suggest that the deficits in set shifting may be related more to verbal skills than to autistic symptoms (Liss et al., 2001).

### 3.4.3. *Imaging studies*

With regard to investigation of the neural correlates of set shifting, there is only one neuroimaging study investigating brain activity during a task of set shifting in individuals with ASD; as discussed above in relation to response inhibition (Schmitz et al., 2006). The authors did not show a deficit in set shifting on this task in contrast to the majority of previous research outlined above, but did report imaging abnormalities in the parietal cortex during the task. Based on the extensive previous research, a deficit in set shifting in individuals with ASD would be expected. No functional neuroimaging study has investigated brain activity during abnormal set shifting in ASD but again, as discussed in relation to response inhibition, brain activation differences may be observed if more demanding tasks of set shifting reveal a performance deficit in the ASD group.

## 4. Discussion

This article has addressed four specific executive functions. Deficits in three of the four executive functions have clear correlates in the symptoms associated with ASD. In review, there is extensive evidence for deficits in set shifting and orienting of attention in ASD. Studies investigating response inhibition have yielded conflicting results, with some authors reporting a deficit in prepotent inhibition, but others arguing that language and attention deficits may explain these findings. Research on sustained attention is very limited in the ASD population and is suggestive of normal functioning. In total, there is a striking lack of research attempting to understand the neural correlates of these abnormal executive functions.

435  
436 This lack of research relating executive dysfunction with neuro-anatomy and function is  
437 mirrored in the two other major theories explaining ASD. While there is extensive evidence  
438 supporting the empathising-systematising hypothesis (Baron-Cohen, 1996; Baron-Cohen et al.,  
439 1993) and the postulated deficits in empathising can account for the core clinical deficits in social  
440 behaviour and communication in ASD (Baron-Cohen, 1988; Tager-Flusberg, 1993), there is  
441 limited research attempting to understand links between these cognitive deficits and brain  
442 structure and function. Likewise, in the weak central coherence hypothesis, although the theory  
443 can account for a number of clinical features of autism, the neurological basis of this cognitive  
444 deficit has not been well studied. A great deal of neuroanatomical research is needed in this area  
445 (Hill & Frith, 2003).

446 The executive dysfunction hypothesis of ASD provides a neuroanatomical and functional  
447 framework on which to draw hypotheses for future research. In particular, the Posner tri-  
448 network theory of attention might be a very useful model with which to research further the  
449 potential executive dysfunctions in ASD. This theory suggests that three attention networks  
450 exist within the human brain, each with a separate function (Posner & Peterson, 1990; Posner,  
451 Sheese, Odludas, & Tang, 2006). The “alerting” network acquires and maintains an alert and  
452 vigilant state; the “orienting” network selects information from sensory information and the  
453 “executive attention” network resolves conflict that arises between potential responses  
454 (Posner & Rothbart, 2006). The alerting network is thought to involve the right frontal and  
455 parietal cortices and the locus coeruleus. The sustained attention ability seen from the review  
456 suggests that this alerting network may be intact in participants with ASD. The orienting  
457 network is hypothesised to involve the superior parietal, temporal parietal junction, frontal  
458 eye fields and the superior colliculus. There is also evidence to suggest that the cerebellum  
459 may play a role in attention orienting. Difficulties in orienting attention, as seen in the studies  
460 reviewed, suggests that this network is dysfunctional in ASD. The executive attention  
461 network is postulated to include the anterior cingulate, lateral ventral and prefrontal cortices  
462 and the basal ganglia. Dysfunction in the ability to inhibit primed responses and to mentally  
463 shift set, according to a change in rule structure, may be related to dysfunction within this  
464 network.

465 A better understanding of the elements of executive dysfunction in ASD may aid development of  
466 effective therapies for this debilitating disorder. For example, it has been suggested that children  
467 with ASD may use compensatory mechanisms to normalise their performance on certain executive  
468 function tasks (Schmitz et al., 2006). If it were possible to determine the specific compensatory  
469 operations they utilise, it may be possible to develop therapies that teach these methods, especially  
470 to other neurodevelopmental disorders (e.g. attention deficit hyperactivity disorder).

471 To reiterate the view of Dawson et al. (2002), it is essential that future research attempting to  
472 elucidate the cause of autism integrates findings from genetics, cognitive neuroscience and  
473 animal and clinical studies. The review above specifically highlights the need to combine  
474 neuropsychological and neuroimaging findings; using both structural and functional  
475 neuroimaging to examine the links between executive dysfunction and cortical brain  
476 abnormalities. It is hoped that such a modal approach will not only lead to an improved  
477 understanding of the neurobiology of autism, but will also allow progress in genetic research  
478 through definition of autism-specific cognitive (endo)phenotypes (Dawson et al., 2002).

#### ~~Uncited reference~~

479  
480 ~~Jolliffe and Baron-Cohen (1997).~~

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