

# Kinetic resolution of *sec*-alcohols using a new class of readily assembled (*S*)-proline-derived 4-(pyrrolidino)-pyridine analogues

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We report the development of a new class of readily prepared chiral 4-(pyrrolidino)-pyridine catalysts capable of exploiting both van der Waals ( $\pi$ ) and H-bonding interactions, thus allowing remote chiral information to stereochemically control the kinetic resolution of *sec*-alcohols.

The development of small chiral organic molecules capable of mimicking enzymatic action (in an asymmetric catalysis context) is a challenge that is receiving considerable attention in contemporary organic chemistry.<sup>1</sup> Significant advances have been made recently in the design of chiral catalysts based on the tertiary phosphine<sup>2</sup> and amine<sup>3–5</sup> structural motifs for enantioselective acyl-transfer reactions and a range of other processes susceptible to the influence of nucleophilic catalysis.<sup>3</sup> The reactive and robust catalyst *N,N*-dimethylaminopyridine (DMAP),<sup>6</sup> has been demonstrated to be a particularly useful target for desymmetrisation by Vedejs,<sup>7</sup> Fu,<sup>8</sup> Spivey,<sup>9</sup> and (*inter alia*)<sup>10</sup> Fuji.<sup>11</sup>

The most successful designs for pyridine-based catalytic systems represent a practical compromise between the opposing considerations of reactivity and selectivity; *i.e.* to maximise selectivity it is desirable to install chiral information as close to the site of acylation as possible, however reaction rates (and therefore the  $k_{\text{cat}} : k_{\text{uncat}}$  ratio) in these systems are remarkably sensitive to substitution adjacent to the nucleophilic ring-heteroatom.<sup>3a</sup> An interesting approach to addressing this issue is embodied in **1** (Fig. 1), which operates *via* an 'induced-fit' mechanism whereby, in the absence of an acylating agent, the catalyst adopts an 'open' unhindered (and therefore reactive) form, but which on acylation adopts a 'closed' conformation due to an attractive  $\pi$ - $\pi$  interaction between the pyridinium ring and the naphthyl moiety, resulting in the stereoselective shielding of one face of the acylated catalyst.<sup>11a</sup>

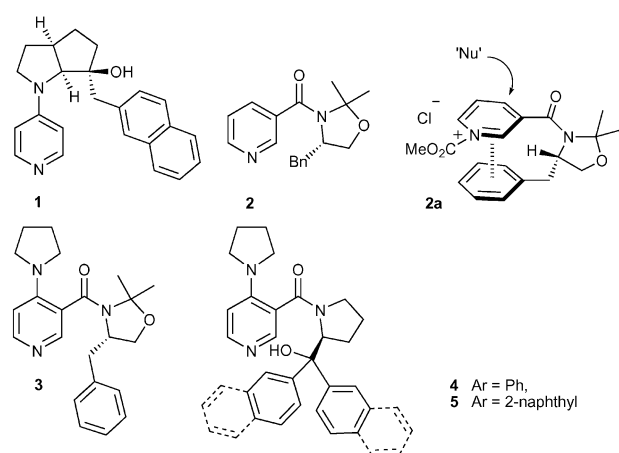
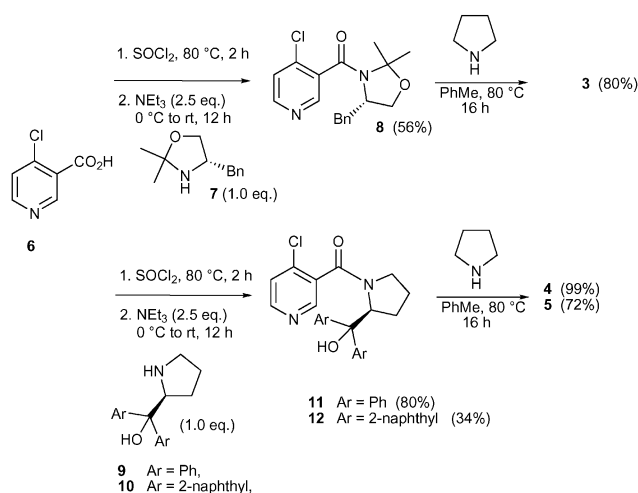


Fig. 1 Chiral 4-(pyrrolidino)-pyridine analogues.

In this context, we were intrigued by a report demonstrating that the 3-substituted pyridine **2** exhibited a similar  $\pi$ - $\pi$  stacking interaction on acylation/alkylation,<sup>12</sup> allowing the subsequent attack of a nucleophile at C-4 (**2a**, Fig. 1) to proceed in

a face-selective manner.<sup>12,13</sup> We therefore reasoned that a 4-pyrrolidino-analogue of **2** (*i.e.*, **3** [Fig. 1]) held promise as a tuneable and easily-constructed acyl-transfer catalyst template capable of operating *via* an induced-fit mechanism. With a view toward maximising both catalyst rigidity and potential for  $\pi$ - $\pi$  interaction, novel (*S*)-proline-derived structures **4** and **5** also seemed worthy of investigation.

The synthesis of **3** was carried out as outlined in Scheme 1. Treatment of 3-carboxy-4-chloropyridine (**6**)<sup>14</sup> with thionyl chloride furnished the corresponding acid chloride hydrochloride, which was then coupled with amine **7**<sup>15</sup> to afford amide **8** in reasonable yield. Subsequent substitution of the 4-chloro-substituent with excess pyrrolidine afforded catalyst **3**. In a similar fashion, **4**<sup>†</sup> and **5** were prepared from **6** using commercially available enantiopure (*S*)- $\alpha$ , $\alpha$ -diphenylprolinol (**9**) and its readily accessible 2-naphthyl analogue **10**<sup>16</sup> (Scheme 1).



Scheme 1 Synthesis of catalysts **3**, **4** and **5**.

Catalysts **3–5** were evaluated in the kinetic resolution of mono-protected diols **13–15** in the presence of isobutyric anhydride (Table 1). As expected, **3–5** promoted the smooth acylation of **13–15** at low catalyst loadings. While the prototype catalyst **3** exhibited disappointing selectivity (entry 1),<sup>17</sup> acylation promoted by the (*S*)-prolinol-derived **4** and **5** was considerably more enantioselective (entries 2–7), with synthetically useful selectivity possible at low temperature (entry 3). It is noteworthy that the exchange of the phenyl substituents of catalyst **4** for 2-naphthyl moieties (catalyst **5**) resulted in a marginal improvement in performance (entries 4 and 6), and that a decrease in the substrate carbonyl Lewis-basicity led to an attenuation of enantioselectivity (entries 5–7), indicating that catalyst-substrate H-bonding may contribute to selectivity in these systems.<sup>19</sup>

To determine the influence of the hydroxyl group on catalyst selectivity, reduced analogues of **4** and **5** (**19** and **20**, respectively), were prepared using an identical strategy to that outlined in Scheme 1.<sup>20</sup> Catalysts **4**, **5**, **19** and **20** were then compared in the kinetic resolution of alcohol **21** (Table 2).

**Table 1** Evaluation of **3–5** in the kinetic resolution of *sec*-alcohols **13–15**

Entry	Catalyst	ROH	T/°C	C (%) <sup>a</sup>	Ee (%) <sup>b</sup>	S <sup>c</sup>	Absolute configuration <sup>d</sup>
1	<b>3</b>	<b>13</b>	25	55	13	1.4	(1 <i>S</i> , 2 <i>R</i> )
2	<b>4</b>	<b>13</b>	25	78	93	4.9	(1 <i>S</i> , 2 <i>R</i> )
3	<b>4</b>	<b>13</b>	-78	69 <sup>e</sup>	97	9.4	(1 <i>S</i> , 2 <i>R</i> )
4	<b>4</b>	<b>14</b>	25	68	74	4.3	(1 <i>S</i> , 2 <i>R</i> ) <sup>f</sup>
5	<b>5</b>	<b>13</b>	25	73.5	90	5.4	(1 <i>S</i> , 2 <i>R</i> )
6	<b>5</b>	<b>14</b>	25	71	80	4.4	(1 <i>S</i> , 2 <i>R</i> ) <sup>f</sup>
7	<b>5</b>	<b>15</b>	25	88	95	3.5	(1 <i>S</i> , 2 <i>R</i> ) <sup>f</sup>

<sup>a</sup> Refers to conversion, which could be determined (with excellent agreement) either by <sup>1</sup>H NMR spectroscopy or using chiral HPLC, where C = 100 × ee<sub>alcohol</sub> / (ee<sub>alcohol</sub> + ee<sub>ester</sub>). <sup>b</sup> Ee of **13a–15a** determined by chiral HPLC using a Chiralcel OD-H column (4.6 × 250 mm). <sup>c</sup> S = selectivity index (k<sub>fast</sub>/k<sub>slow</sub>, see ref. 18). <sup>d</sup> Absolute configuration of the recovered alcohol (major enantiomer) as determined by comparison with literature retention times (ref. 10*d*). <sup>e</sup> 1.5 eq. (iPrCO)<sub>2</sub>O, 8 h. <sup>f</sup> Tentative assignment assuming that the elution order is identical to that of the *p*-dimethylamino-benzoate.

**Table 2** Determination of the H-bonding contribution to selectivity

Entry	Catalyst	C (%) <sup>a</sup>	Ee <sub>alcohol</sub> (%) <sup>b</sup>	Ee <sub>ester</sub> (%) <sup>b</sup>	S <sup>c</sup>	Absolute configuration <sup>d</sup>
1	<b>4</b>	72	93	29	6.3	( <i>R</i> )
2	<b>5</b>	43 <sup>e</sup>	51	63	8.7	( <i>R</i> )
3	<b>19</b>	36	22	36	2.8	( <i>S</i> )
4	<b>20</b>	43	30	38	3.0	( <i>S</i> )

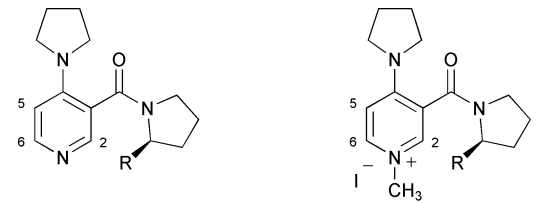
<sup>a</sup> Refers to conversion, which could be determined (with excellent agreement) either by <sup>1</sup>H NMR spectroscopy or using chiral HPLC, where C = 100 × ee<sub>alcohol</sub> / (ee<sub>alcohol</sub> + ee<sub>ester</sub>). <sup>b</sup> Determined by chiral HPLC using a Chiralcel OD-H column (4.6 × 250 mm). <sup>c</sup> S = selectivity index (k<sub>fast</sub>/k<sub>slow</sub>, see ref. 18). <sup>d</sup> Absolute configuration of the recovered alcohol (major enantiomer) as determined by comparison with literature retention times (ref. 9*h*). <sup>e</sup> 0.8 eq. (iPrCO)<sub>2</sub>O, 8 h.

While the hydroxy-substituted catalysts **4** and **5** promoted acylation with a useful level of selectivity (entries 1 and 2), **19** and **20** furnished recovered alcohol **21a** with relatively poor enantioselectivity and with the opposite sense of stereoinduction to that observed using **4** and **5** (entries 3 and 4). These findings strongly indicate that the hydroxyl moiety plays a critical role in determining the preference of the acylated catalyst for one antipode of the *sec*-alcohol racemate in these reactions.<sup>21</sup>

In an attempt to detect possible aryl-pyridinium ion  $\pi$ -stacking interactions, the <sup>1</sup>H NMR spectra of catalysts **4**, **5**, **19**, **20** and control material **23** (prepared from **6** and pyrrolidine) was compared to that of their corresponding products on methylation with iodomethane (Table 3).<sup>12</sup> These experiments were instructive; while little evidence was found to support a 'face-face'  $\pi$ - $\pi$  stacking interaction (Fig. 1),<sup>11,12</sup> a strong upfield shift associated with H-2 upon methylation of **4**, **5**, **19** and **20** (which is absent on methylation of **23**) was observed, the magnitude and localisation of which indicates that an interaction between the substituted edge of the pyridinium cation (or H-2

itself) and one of the pendant aryl moieties takes place.<sup>22</sup> This effect is more dramatic in the case of naphthyl-substituted **5a** and **20a**, where even the pyridinium methyl protons are significantly shielded relative to the corresponding **23a** methyl group. It is also noteworthy that  $\delta$  H-2 is observed at considerably higher field in the cases of **4** and **5** than for **23**, which we propose demonstrates that the aforementioned interaction is also a feature of the solution-state structure of these materials.<sup>23</sup>

The results in Tables 1–3 indicate that the ability of **4** and **5** to serve as active and enantioselective acyl-transfer catalysts is due to a unique combination of both aryl-pyridinium ion  $\pi$ - $\pi$  (or  $\pi$ -H) and substrate-catalyst H-bonding interactions. Based on this data, a rationale for the selectivity observed in the acylation of **21** catalysed by **4** is shown in Fig. 2. H-2 is located in the vicinity of the  $\pi$ -cloud of one of the phenyl substituents (the proximity of which to the ring nitrogen forces the isopropyl group to occupy the distal side of the N–N pyridine-axis), with the second phenyl moiety orientated into the solvent. In this conformation the hydroxyl group can control the Bürgi–Dunitz

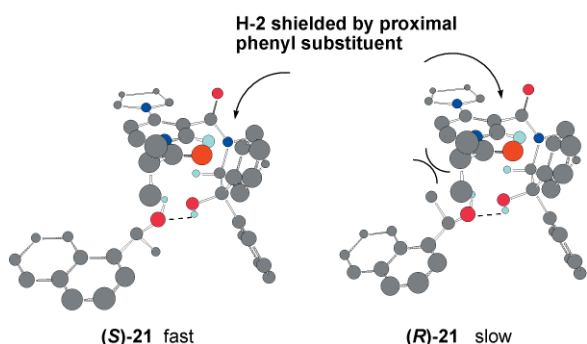
**Table 3** Selected  $^1\text{H}$  NMR chemical shifts for **4**, **5**, **19**, **20** and **23** and their methylated analogues


<b>4</b>	R = COHPh <sub>2</sub>	<b>4a</b>	R = COHPh <sub>2</sub>
<b>5</b>	R = COH(2-naphthyl) <sub>2</sub>	<b>5a</b>	R = COH(2-naphthyl) <sub>2</sub>
<b>19</b>	R = CHPh <sub>2</sub>	<b>19a</b>	R = CHPh <sub>2</sub>
<b>20</b>	R = CH(2-naphthyl) <sub>2</sub>	<b>20a</b>	R = CH(2-naphthyl) <sub>2</sub>
<b>23</b>	R = H	<b>23a</b>	R = H

Catalyst	$\delta$ H-2 <sup>a,b,c</sup>	$\delta$ H-5 <sup>a,b,c</sup>	$\delta$ H-6 <sup>a,b,c</sup>	$\delta$ CH <sub>3</sub>
<b>4</b>	7.33	6.45	8.09	—
<b>4a</b>	6.52 (−0.81)	6.80 (0.45)	8.04 (−0.05)	3.88
<b>5</b>	7.51	6.45	8.09	—
<b>5a</b>	5.99 (−1.52)	6.70 (0.25)	7.88 (−0.21)	3.33
<b>19</b>	7.73	6.42	8.12	—
<b>19a</b>	6.68 (−1.05)	6.79 (0.37)	8.10 (−0.02)	4.02
<b>20</b>	7.93	6.42	8.11	—
<b>20a</b>	6.39 (−1.54)	6.66 (0.24)	7.88 (−0.23)	3.49
<b>23</b>	8.19	6.47	8.16	—
<b>23a</b>	8.17 (−0.02)	6.90 (0.43)	8.21 (0.05)	4.21

<sup>a</sup> Values for  $\delta$  are quoted in ppm with CDCl<sub>3</sub> as solvent. <sup>b</sup> Value in parenthesis represents  $\Delta\delta$ : the change in chemical shift of the proton indicated on methylation (in ppm); a negative value for  $\Delta\delta$  indicates an upfield shift. <sup>c</sup> All pyridine ring proton resonances were unambiguously assigned by NMR spectroscopy ( $^1\text{H}$ - $^1\text{H}$  COSY,  $^1\text{H}$ - $^{13}\text{C}$  COSY, NOE and 1-D TOCSY experiments).

trajectory of **21** by H-bonding and (assuming that the naphthyl moiety avoids the acylated catalyst) the (*R*)-**21** antipode reacts relatively slowly due to catalyst-methyl group repulsion as the substrate approaches (Fig. 2).

**Fig. 2** Possible pre-TS-assemblies for the acylation of **21** by (*t*PrCO)<sub>2</sub>O catalysed by **4**.

In summary, we have developed a new class of active, chiral 4-(pyrrolidino)-pyridine derivatives (**4** and **5**) for the kinetic resolution of *sec*-alcohols such as **15** and **21** with selectivity approaching synthetically useful levels. These proline-derived promoters are readily prepared from simple starting materials without the need for resolution steps.<sup>24</sup> To our knowledge **4** and **5** represent the first chiral 4-*N,N*-dialkylaminopyridine catalysts to (synergistically) employ both van der Waals ( $\pi$ ) interactions and hydrogen bonding to allow remote chirality to exert stereochemical influence on an acylation reaction. Experiments are underway to further explore both the mode-of-action and potential utility of these catalysts (and modified analogues) in a range of enantioselective acyl-transfer reactions. The results of these studies will be reported in due course.

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## Notes and references

† Characterisation data for **4**: mp 144–146 °C, [ $\alpha$ ]<sub>D</sub><sup>20</sup> −98 (c 0.96, CHCl<sub>3</sub>);  $\delta_{\text{H}}$  (400 MHz, CDCl<sub>3</sub>) 0.95–1.18 (2H m), 1.80–2.20 (6H m), 2.90–3.15 (3H, m), 3.40–3.55 (3H, m), 5.20 (1H, dd,  $J$  = 9.0, 8.5 Hz), 6.45 (1H, d,  $J$  = 6.0 Hz), 7.25–7.38 (5H, m), 7.41–7.53 (4H, m), 7.60 (2H, d,  $J$  = 6.0 Hz), 8.09 (1H, d,  $J$  = 6.0 Hz);  $\delta_{\text{C}}$  (100 MHz, CDCl<sub>3</sub>) 23.3, 25.1, 30.2, 48.8, 51.6, 68.3, 81.5, 108.1, 116.2, 127.0, 127.1, 127.2, 127.3, 127.4, 127.6, 142.1, 144.6, 146.6, 147.6, 148.5, 170.4; HRMS calcd. for C<sub>27</sub>H<sub>30</sub>N<sub>3</sub>O<sub>2</sub> (M + 1) 428.2328, found 428.2338.

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- 23 Preliminary crystal structure data indicates that the solid-state conformation of **4** is dominated by an intramolecular hydrogen bond between the hydroxy group and the amide carbonyl oxygen, which could explain neither the observed selectivity nor the <sup>1</sup>H NMR chemical shift data outlined in Table 3.
- 24 Both antipodes of  $\alpha,\alpha$ -diphenylprolinol are commercially available.