

1 **The role of the superficial region in determining the dynamic properties of articular**
2 **cartilage**

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32 osteoarthritis.

33 **Abstract**

34 *Objective:* The objective of this study was to elucidate the role of the superficial region of
35 articular cartilage in determining the dynamic properties of the tissue. It is hypothesised that
36 removal of the superficial region will influence both the flow dependent and independent
37 properties of articular cartilage, leading to a reduction in the dynamic modulus of the tissue.

38 *Methods:* Osteochondral cores from the femoropatellar groove of three porcine knee joints
39 were subjected to static and dynamic loading in confined or unconfined compression at
40 increasing strain increments with and without their superficial regions. Equilibrium moduli
41 and dynamic moduli were measured and the tissue permeability was estimated by fitting
42 experimental data to a biphasic model.

43 *Results:* Biochemical analysis confirmed a zonal gradient in the tissue composition and
44 organisation. Histological and PLM analysis demonstrated intense collagen staining in the
45 superficial region of the tissue with alignment of the collagen fibres parallel to the articular
46 surface. Mechanical testing revealed that the superficial region is less stiff than the remainder
47 of the tissue in compression, however removal of this region from intact cores was found to
48 significantly reduce the dynamic modulus of the remaining tissue, suggesting decreased fluid
49 load support within the tissue during transient loading upon removal of the superficial region.
50 Data fits to a biphasic model revealed a significantly lower permeability in the superficial
51 region compared to the remainder of the tissue.

52 *Conclusions:* It is postulated that the observed decrease in the dynamic moduli is due at least
53 in part to the superficial region acting as a low permeability barrier, where its removal
54 decreases the tissue's ability to maintain fluid load support. This result emphasises the impact
55 that degeneration of the superficial region has on the functionality of the remaining tissue.

55 1. Introduction

56 Articular cartilage is a thin layer of highly specialized tissue that functions to support and
57 redistribute joint contact forces and to reduce friction within the joint. It is comprised of a
58 specialised cell type; chondrocytes, embedded in an abundant fluid filled extra-cellular matrix
59 (ECM) that consists mainly of a collagen type II network containing water and the large
60 aggregating proteoglycan aggrecan, the latter of which consists of a protein core to which
61 numerous negatively charged glycosaminoglycan (GAG) side chains are attached [1-3].
62 Articular cartilage demonstrates a depth dependent heterogeneous composition and ultra-
63 structure, traditionally divided into three distinct zones. Each zone has a distinct ECM
64 composition, organisation and cell morphology, with the shape and arrangement of the
65 chondrocytes in these zones believed to be related to the local architecture of the collagen
66 fibrils within the solid matrix [4]. In the superficial tangential zone (STZ) collagen fibres are
67 densely packed and orientated parallel to the articular surface while the proteoglycan content
68 is lowest. In the middle zone (MZ) the collagen fibres are larger, less dense and arcade from a
69 parallel to a perpendicular orientation resulting in a more random organisation. The
70 concentration of PG aggregates increases with depth from the articular surface negatively
71 correlating to decreases in water content and thus resulting in higher swelling pressures with
72 tissue depth [5]. Finally in the deep zone collagen fibres are arranged perpendicular to the
73 subchondral bone thus anchoring the tissue to its bony bed [6-10].

74 The functional properties of articular cartilage are dependent on this unique structure
75 and biochemical composition [11-13]. Confined and unconfined compression tests are
76 commonly used to determine the material properties of articular cartilage. When cartilage is
77 loaded in compression, a loss of tissue volume occurs due to fluid exudation from the tissue.
78 These effects give rise to time-dependent viscoelastic behaviours such as creep and stress
79 relaxation [2]. During stress relaxation testing, upon reaching equilibrium no hydraulic fluid

80 pressurization exists within the tissue and the intrinsic elastic properties of the solid matrix
81 can be determined [14]. Previous studies have revealed that the compressive modulus
82 increases with depth from the articular surface which correlates to an increase in sulphated
83 glycosaminoglycan (sGAG) content [12, 15-19]. A certain amount of strain softening has also
84 been observed during compressive loading [20, 21] which can be attributed, at least in part, to
85 unloading of the vertically aligned collagen fibres that are initially pre-stressed due to tissue
86 swelling [22]. The tensile strength and stiffness of the tissue is mainly attributed to both the
87 amount and organisation of the collagen fibres and is highest in the superficial tangential
88 zone [23, 24]. Hydraulic permeability, inversely correlated to collagen content and fixed
89 charge density [16], is lowest in the superficial tangential zone. This is speculated to be due
90 to the zone's high collagen content, the collagen fibres' tightly packed organisation and their
91 tangential orientation to the articular surface [14, 25, 26].

92 While the relationship between the depth dependent composition of articular cartilage
93 and its equilibrium mechanical properties have been well established, less is known about the
94 depth dependent dynamic properties of the tissue and how they depend on the tissue's
95 composition and structural organisation. During dynamic loading the apparent stiffness of the
96 tissue is dependent on fluid pressurisation, which in turn is a function of the hydraulic
97 permeability of the solid matrix. Such fluid pressurisation has been shown to initially support
98 over 90% of the load applied to articular cartilage [27]. While the collagen network plays a
99 key role in determining the permeability of articular cartilage, it further contributes to the
100 tissue's ability to generate fluid load support by limiting radial expansion during compressive
101 loading [11, 28]. Together these findings would suggest that the superficial region of the
102 tissue, where the collagen network is a tightly packed and highly organised structure, would
103 play a key role in determining the dynamic properties of the tissue. While the compressive
104 modulus of this superficial region is lower than the rest of the tissue [29-32], it has been

105 demonstrated that upon removal of this layer the tissue is more susceptible to damage from
106 impact loading [33]. The hypothesis of this study is that removal of the superficial region will
107 influence both the flow dependent and independent properties of articular cartilage, leading to
108 a reduction in the dynamic modulus of the remaining tissue. Elucidating the role of this
109 region of the tissue in determining the functional properties of articular cartilage is critically
110 important given that disruption to the superficial zone is often associated with the initiation
111 and/or progression of osteoarthritis.

112

113 **2. Materials and methods**

114 *2.1. Sample preparation*

115 24 osteochondral cores were harvested from the medial and lateral trochlear ridges of the
116 femoropatellar groove of three 4-month-old porcine knee joints within 3h of sacrifice;
117 specimens were pooled from three different animals. Of these 24 cores, 16 were selected for
118 mechanical testing. Cores (6mm diameter) were isolated normal to the articular surface using
119 the Osteochondral Autograft Transfer System (Athrex, Naples, FL, USA) to a maximum
120 depth of 20mm. After coring the subchondral bone was trimmed to approximately 2mm using
121 a custom made cutting tool. The specimens were stored in phosphate buffered saline (PBS)
122 solution (0.15M) and frozen at -80°C until the day of use. On each day of mechanical testing
123 an individual core was thawed to room temperature by immersion in PBS solution and the
124 height of the articular cartilage and respective subchondral bone was measured
125 microscopically (Mitutoyo UK Ltd., Andover, UK) at four sites around the perimeter of the
126 core, the cartilage-bone interface identified and marked using permanent ink. Osteochondral
127 cores were first tested in confined or unconfined compression, and then cut into sections for
128 layer specific testing.

129

130 2.2. *Mechanical Testing-Full Thickness Osteochondral Cores*

131 Osteochondral cores (n=9) were transferred to a confined compression chamber (Fig. 1A) and
132 attached to a standard materials testing machine with a 20N pancake load cell (Zwick Roell
133 Z005, Germany; resolution <0.5%). A preload of 0.05N was applied to ensure contact
134 between the articular surface and the porous indenter (30 μ m porosity, Aegis Advanced
135 Materials Ltd., Worcestershire, UK). Cores were kept hydrated through immersion in a PBS
136 bath at room temperature. Stress relaxation testing was performed, where a series of
137 compressive strains were applied in increasing steps of 10% strain to a maximum of 30%
138 strain. At each strain increment, peak strain was achieved within 500 seconds and the
139 equilibrium stress was recorded after a relaxation period of 1800 seconds (Fig. 1B).
140 Preliminary tests revealed that 1800 seconds was a sufficient relaxation period to allow the
141 samples to fully equilibrate at all loading magnitudes previously outlined. A 1% amplitude
142 sinusoidal strain was superimposed directly after relaxation at each static strain increment at a
143 frequency of 1Hz for five cycles at a 0.01%/s strain rate (Fig. 1B). The aggregate modulus
144 was calculated as the equilibrium force divided by the specimen's cross sectional area
145 divided by the applied strain, whilst the dynamic modulus was calculated as the average force
146 amplitude divided by the specimens cross sectional area divided by the average strain
147 amplitude for all cycles. After dynamic testing the superficial region of the cartilage was
148 removed (Fig. 1C) using customised cutting tools for further testing in confined and
149 unconfined compression. To ensure removal of the entire superficial region, and upon review
150 of histological characterisation (Figs. 2 and 4), this section was taken as the top 25% of the
151 total cartilage thickness from the articular surface [17, 34-36]. The remaining osteochondral
152 core was then placed back into the confined compression chamber and retested using the
153 same test sequence as outlined above.

154 For unconfined compression testing (Fig. 1D), osteochondral cores (n=7) were placed
 155 between two impermeable steel platens with and without their respective superficial regions.
 156 An identical testing regime to that described for confined testing was implemented,
 157 consisting of both stress relaxation and dynamic compression testing.

158

159 2.3. Layer Specific Testing

160 In order to elucidate the layer specific material properties individual layers were tested
 161 without the subchondral bone in confined and unconfined compression under the same
 162 testing sequence as was applied to the osteochondral cores. The superficial region, mean
 163 thickness of 0.9368 (0.71, 1.1637) mm, was taken as the cartilage section previously removed
 164 and the remainder of the articular cartilage after removal of the subchondral bone and
 165 calcified cartilage measured a mean thickness of 2.222 (2.1023, 2.3420) mm. Experimental
 166 data in the form of force/time stress relaxation curves were fit to a linear bi-phasic model for
 167 a known strain and aggregate modulus (H_A) in order to determine the layer specific average
 168 permeability of the different articular cartilage regions in confined compression. To evaluate
 169 the stress strain response, the axial normal stress can be evaluated at the interface with the
 170 porous indenter during the relaxation phase ($t \geq t_0$) by:

$$171 \frac{\sigma_a(t)}{H_A} = -P_e^w \left\{ \frac{t_0}{\tau} + \frac{2}{\pi^2} \sum_{n=1}^{\infty} e^{-n^2 \pi^2 \frac{t}{\tau}} \left(e^{n^2 \pi^2 \frac{t_0}{\tau}} - 1 \right) \right\}, \text{ where } P_e^w = \frac{V_0 h}{H_A k} \text{ and } \tau = \frac{h^2}{H_A k}$$

172 Here, V_0 is the loading velocity and h is the specimen thickness. Optimised values of k were
 173 determined by minimising the difference between predicted and experimental stress time
 174 profiles $\sigma_a(t)$ using a least squares method [17].

175

176 2.4. Biochemical Analysis

177 The wet mass of all mechanically tested layer specific slices was recorded and the samples
 178 were frozen for subsequent biochemical analysis. Samples were digested in papain (125

179 1g/mL) in 0.1 M sodium acetate, 5 mM cysteine HCl, 0.05 M EDTA, pH 6.0 (all from Sigma-
180 Aldrich, Dublin, Ireland) at 60 °C under constant rotation for 18h. Aliquots of the digested
181 samples were assayed separately for collagen and sulphated GAG content. The proteoglycan
182 content was estimated by quantifying the amount of sulfated GAG using the
183 dimethylmethylen blue dye-binding assay (Blyscan, Biocolor Ltd., Northern Ireland), with a
184 chondroitin sulfate standard. Total collagen content was determined by measuring the
185 hydroxyproline content using a hydroxyproline-to-collagen ratio of 1:7.69 [37]. Each
186 biochemical constituent (hydroxyproline and GAG) was normalized to the tissue wet weight.

187

188 2.5. *Histological Analysis*

189 Osteochondral cores were fixed in 4% paraformaldehyde overnight at 4°C. Tissues were
190 decalcified in 5% formic acid, 95% DI H₂O and secured on a gyro rocker at 40revs/min for
191 approximately 30 days. Samples were checked each week and returned to fresh solution if not
192 deemed completely decalcified. Once each sample appeared fully decalcified they were sliced
193 in half along the vertical axis, dehydrated, wax embedded and sectioned at 8µm. Sections
194 were stained with safranin O for sGAG and picrosirius red for collagen and subsequently
195 imaged on an Olympus Bx41 microscope equipped with a 30-bit CCD camera (Mason
196 Technology, Dublin, Ireland).

197 In order to quantitatively analyse these histologically stained sections, TIFF images
198 were converted to greyscale and stored as 8 bits per sampled pixel which allows 256 intensity
199 levels or shades of grey. Among these light intensity levels, black or complete lack of light is
200 represented numerically as zero whilst pure white is represented as 255. Converted images
201 were analysed for intensity levels with depth from the articular surface using MATLAB 7.0.
202 (Mathworks, Cambridge, UK).

203

204 2.6 *Polarised Light Microscopy (PLM)*

205 Polarised light microscopy is an optical microscopy technique used to study structural
206 orientation of anisotropic materials such as articular cartilage. Essentially, a polariser filter
207 placed after the light source on a microscope ensures only linearly polarised light is
208 transmitted to the specimen. Optically anisotropic materials change the direction of the
209 polarised light, which is known as birefringence [38]. PLM exploits the natural birefringence
210 of cartilage which varies through the depth of the tissue due to the orientation and the
211 alignment of collagen fibres. As this birefringence can be fairly weak and difficult to detect,
212 picosirius red staining was performed to enhance visualisation with polarised light [39]. In
213 an effort to reduce birefringence of the surrounding matrix all GAGs were digested prior to
214 imaging. Briefly, sections were incubated for 90 min at 37°C in a 0.5% pre-warmed papain
215 solution (pH 4.43) and then rinsed in distilled water prior to staining [40]. In articular
216 cartilage, PLM reveals the highly organised collagen structure of the superficial region and
217 deep zone as two birefringent regions, separated by a non-birefringent and hence randomly
218 organised middle zone [38].

219

220 2.7 *Statistical Analysis*

221 Statistical analysis was performed using a two way ANOVA with Tukey's post-hoc test for
222 multiple comparisons, whilst two sample t-tests were used for unpaired data sets. All tests
223 were performed using the statistical software package MINITAB 15.1 (Minitab Ltd.,
224 Coventry, UK). Numerical and graphical results are displayed as mean with uncertainty
225 expressed by 95% confidence intervals (CIs): mean (upper limit, lower limit). Significance
226 was accepted at $p \leq 0.05$ or as indicated. Sample numbers varied according to respective
227 comparison and are outlined in the results section of this manuscript.

228

229 **3. Results**

230 Polarised light microscopy revealed intensely birefringent and hence highly organised
231 collagen structures in the superficial region and deep zone of the tissue; fibrils appear
232 orientated parallel to the articular surface in the superficial region and perpendicular to the
233 subchondral bone in the deep zone (Fig. 2).

234 Biochemical analysis revealed a lower ($p=0.027$) sGAG content in the superficial
235 region compared to the remaining tissue, with no significant difference ($p=0.426$) in the total
236 collagen content between the two regions (Fig. 3). Histological analysis with safranin O
237 staining correlated with the results of the sGAG biochemical assay, displaying decreased
238 staining in the superficial region. The picosirius red stained sections demonstrated a more
239 complex spatial distribution of collagen through the depth of the tissue, with the superficial
240 and deep regions staining intensely for collagen and a more moderate staining in the middle
241 region (Fig. 2). Significantly higher light intensities were measured in the superficial region
242 of the safranin O stained sections ($p=0.026$) (Fig. 4), indicating less intense staining in this
243 region of the tissue and hence a lower sGAG content. Picosirius red stained sections
244 displayed highest light intensity in the middle zone, with lower intensity values in the
245 superficial and deep regions suggesting higher collagen content in these zones (Fig. 4).
246 Hence, removal of the superficial region exposed a low-collagen region. This observation
247 could not have been made from bulk biochemical analysis alone.

248 Cartilage thickness averaged 3.051 (2.516, 3.586) mm for full thickness
249 osteochondral cores and 2.435 (1.92, 2.95) mm after removal of the superficial region;
250 approximately 25 (19.01, 31.95) % of the total cartilage thickness. The aggregate moduli
251 determined from confined compression testing of osteochondral cores (Fig. 5A) significantly
252 increased ($p=0.0035$) at 10% strain after removal of the superficial region by 50.4% (from
253 0.76 (0.65, 0.88) MPa to 1.1 (0.88, 1.3) MPa). The compressive stiffness in unconfined

254 compression (Fig.5B) also significantly increased ($p=0.0365$) by 26.6% (from 0.75 (0.66,
255 0.84) MPa to 0.93 (0.86, 1.01) MPa). In contrast, the confined dynamic modulus significantly
256 decreased at each strain level of 10, 20 and 30% ($p=0.0053$, $p<0.0001$ and $p=0.0049$
257 respectively) upon removal of the superficial region by 24% (from 29.45 (26.64, 32.25) MPa
258 to 22.26 (19.97, 24.54) MPa), 33.3% (from 43.75 (40.44, 47.07) MPa to 29.07 (25.91, 32.22)
259 MPa) and 25.4% (from 42.09 (31.43, 52.76) MPa to 30.59 (26.6, 34.58) MPa) respectively.
260 The ratio of the peak stress to equilibrium stress during stress relaxation testing (Fig. 6) at
261 10% strain in unconfined compression significantly reduced ($p=0.006$) upon removal of the
262 superficial region from 2.07 (1.89, 2.25) to 1.68 (1.47, 1.9) suggesting a reduced ability to
263 maintain fluid load support.

264 Layer specific mechanical testing revealed a similar trend of increasing aggregate and
265 equilibrium moduli with depth, whilst in general the confined and unconfined dynamic
266 moduli of the superficial region was lower than the remaining cartilage (Fig. 7A, B).

267 Experimental data fit to a linear bi-phasic model of articular cartilage in confined
268 compression revealed a significantly lower hydraulic permeability in the superficial region
269 (1.98×10^{-15} (1.03×10^{-15} , 2.93×10^{-15}) $\text{m}^4/\text{N}\cdot\text{s}$) compared to the remaining cartilage (3.22×10^{-15}
270 (2.46×10^{-15} , 3.97×10^{-15}) $\text{m}^4/\text{N}\cdot\text{s}$) when tested in isolation ($p=0.028$).

271

272 **4. Discussion**

273 The objective of this study was to test the hypothesis that removal of the superficial region of
274 the tissue will influence both the flow dependent and independent properties of articular
275 cartilage, leading to an increase in the equilibrium modulus but a reduction in the dynamic
276 modulus of the tissue. In confined compression, significant increases in the aggregate
277 modulus (H_A) of the osteochondral cores upon removal of the superficial region were
278 observed, which is in agreement with the literature [12, 14, 18, 28, 41]. This rise in stiffness

279 corresponded with a significant increase in sGAG content with depth from the articular
280 surface [42-45]. However, while removal of the superficial region increased the aggregate
281 modulus of the remaining tissue, a significant reduction in the dynamic modulus was
282 observed. This is despite the fact that when tested in isolation the dynamic modulus of this
283 layer at high offset strains was significantly lower than the remaining tissue in both confined
284 and unconfined compression as has been observed in previous studies [33, 46], confirming
285 that in isolation the superficial region is the softest part of the tissue in compression.

286 While the finding of an increased equilibrium modulus upon removal of the
287 superficial region is intuitive, the finding that the dynamic modulus decreased with removal
288 of this soft layer requires further discussion. The reduction in dynamic modulus may be
289 partially explained by geometric effects. Consider first the gel diffusion time, given by
290 $\tau_m = \delta^2 / H_A k$ (s), where δ in confined compression is given by the height (m) of the sample,
291 H_A (Pa) is the aggregate modulus and k ($\text{m}^4 \text{s}^{-1} \text{Pa}^{-1}$) is the hydraulic permeability [17, 47]. By
292 reducing the sample height, this effectively reduces the gel diffusion time. Therefore for any
293 given loading frequency, this would imply lower interstitial fluid pressurisation based on the
294 assumptions of a linear biphasic model of articular cartilage [17, 48]. This reduction in fluid
295 pressurisation could contribute to the lower dynamic modulus observed upon removal of the
296 superficial region. The reduced sample height may also partially explain the lower dynamic
297 modulus values of the isolated regions in confined compression versus the full thickness
298 osteochondral cores.

299 Another explanation for this reduction in dynamic modulus is the significantly lower
300 ($p=0.028$) permeability in the superficial region compared to the remaining cartilage
301 (obtained from fits of our data to a linear biphasic model). This low permeability region
302 essentially acts as a barrier to fluid flow and its removal is speculated to lead to reduced fluid
303 pressurisation in the tissue during dynamic loading. It has previously been hypothesised that

304 the permeability of this region of the tissue is due to the high concentration of tightly woven
305 collagen fibrils orientated parallel to the articular surface resulting in a system of much
306 narrower channels offering a greater resistance to fluid flow [1, 14, 26, 49]. Once compressed
307 this fibrillar sheet is compacted and further restricts fluid exudation [50]. After removal of the
308 superficial region, more fluid can escape during cyclic loading than in intact tissues.
309 Histological analysis of the tissues tested as part of this study (Fig. 2) confirmed a high
310 collagen content in the superficial region, with PLM analysis revealing closely packed
311 collagen fibrils orientated parallel to the articular surface [51]. It has previously been found
312 that with removal of the upper most 100 microns of tissue, equilibrium deformation is
313 reached sooner compared to the intact articular cartilage specimen and furthermore removal
314 of the top surface caused a substantial increase in the quantity of fluid exchanged during each
315 loading cycle, also suggesting an increase in the permeability of the tissue [32] and
316 supporting our observations.

317 Overall, the present results indicate that removal of the superficial region leads to a
318 deterioration in the transient, i.e. fluid mediated, load bearing properties of cartilage tissue via
319 both geometric and permeability effects. Decoupling these geometric and permeability effects
320 further requires additional work.

321 During unconfined compression fluid will exude radially out of the sides of the
322 cylindrical samples. As all sample diameters were identical geometric effects will play no
323 role. It should be noted that with this testing modality the tissue is allowed to bulge radially
324 and collagen fibres, particularly those orientated perpendicular to the direction of loading in
325 the superficial region, can play a key role in maintaining fluid load support by preventing
326 lateral expansion of the tissue [11, 28, 52-54]. This may explain the significant drop
327 ($p=0.006$) in the ratio of peak stress to equilibrium stress at 10% strain observed during
328 unconfined compression upon removal of the superficial region of the tissue (Fig. 6).

329 A significant increase in dynamic stiffness with increasing magnitudes of the offset
330 compressive strain was observed in confined and unconfined compression for osteochondral
331 tissue cores and isolated layers (Figs. 5, 7). This strain dependent increase may be due to a
332 deformation induced decrease in tissue permeability, i.e. increasing compaction of the
333 collagen network offering increased frictional resistance to the flow of interstitial fluid [21,
334 55-57]. In a similar manner, compressive strain of the cartilage will cause compaction of the
335 proteoglycans in the tissue which will in turn increase the fixed charge density in the tissue
336 further decreasing tissue permeability [25, 26].

337 There are potential limitations associated with this study. The porcine tissue tested
338 was not skeletally mature and therefore its collagen architecture does not equate that of fully
339 mature samples, although our analysis confirmed a tissue organisation that mimics key
340 aspects of the architecture of adult cartilage (Fig. 2C). Slicing the cartilage into layers may
341 have an impact on the functional properties of the tissue by disturbing the intrinsic continuum
342 structure [30] and possibly damaging the tissue. Although scanning electron microscopy has
343 previously demonstrated that the surface of both cut and intact articular cartilage display
344 similar collagen fibril architecture [31], artefacts due to cutting cannot be ruled out and the
345 isolation of specific zones may have compound effects on their functional properties. In an
346 effort to ensure full removal of the superficial region the top 25 (19.01, 31.95) % of the total
347 cartilage thickness was cut from the articular surface. Whilst a larger proportion than what is
348 classically defined as the superficial zone, this percentage ratio was based on previous studies
349 [17, 35-37] and our own analysis of picrosirius red stained histological sections (Fig.2) and
350 pixel intensity profiles (Fig.4) which show a dense collagen content in the upper ~25% of the
351 total cartilage thickness. In tissue that has reached full skeletal maturity, the superficial zone
352 of the tissue is thinner, pointing to the dynamic nature of collagen modelling and remodelling
353 during skeletal development [58]. Preliminary studies whereby only the top 10% of the total

354 cartilage thickness was removed (data not shown) showed no statistically significant decrease
355 in the dynamic modulus of the remaining tissue upon its removal. This suggested that the
356 entire superficial region with its high collagen content was not removed, although
357 geometrical effects as discussed above may also play a role. Another possible limitation to
358 the experimental approach adopted in this study is that part of the transitional zone of the
359 tissue may also have been removed during slicing. The biomechanical function of the
360 transitional zone has yet to be fully elucidated. Therefore as it isn't possible to accurately
361 separate the superficial region from the transitional zone using the present experimental
362 setup, we cannot exclude the possibility that removal of part of this region of the tissue is also
363 contributing to our experimental findings.

364 A biomechanical failure of the collagen network in the superficial tangential zone is
365 postulated in many hypotheses as related to the development of osteoarthritis [14, 59, 60]
366 Computational models have also been used to demonstrate how a viable superficial zone is
367 critical to achieving long term survival in repairing articular cartilage [61, 62]. This study
368 demonstrates that although this layer is less stiff than the remainder of the tissue in
369 compression, it plays a key role in elevating the dynamic material properties of the tissue.
370 This is presumably achieved by generating higher fluid load support due to its dense collagen
371 fibre network and organisation and hence low permeability. Taken together these studies
372 highlight the importance of understanding the role of the superficial region in articular
373 cartilage development and degeneration, and in the design of novel tissue engineering
374 approaches to cartilage regeneration. Future studies will explore how and why these
375 structural, compositional and functional changes emerge in articular cartilage with skeletal
376 maturity and what role the local environment within the developing joint plays in driving
377 these changes.

378

379 **Conflict of interest**

380 The author declares that there is no conflict of interest.

381

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385

386 **Author Contribution**

387 All authors contributed equally to the conception and design of this study. Acquisition and

388 analysis of data and article drafting was carried out by A.G. All authors approved the final

389 draft of the manuscript.

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576 of loading conditions and the superficial tangential zone. *Biomechanics and Modeling*
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580 **List of Figures**

581

582 Fig.1. Schematic representation of testing configurations. (A) Diagram illustrating confined
583 compression; σ_a indicating axial stress is applied in the arrow direction, (B) loading protocol
584 at increasing strain levels (10, 20 and 30 % strain). In addition, cyclic loading was applied at
585 each strain level after equilibrium had been reached at 1% amplitude and 1Hz frequency (C)
586 Graphic of an osteochondral core divided into layers: intact osteochondral core, less
587 superficial region: remaining cartilage and subchondral bone, superficial region and
588 remaining cartilage (D) diagram illustrating unconfined compression. During unconfined
589 compression of the osteochondral cores radial displacement of the deepest cartilage layers are
590 prevented by the attached subchondral bone.

591 Fig.2. Osteochondral sections stained with picosirius red and safranin O from the femoral
592 trochlear ridges. (A) Picosirius red stained magnifications of a full thickness cartilage
593 section, scale bar 200 μ m (Left). (B) Picosirius red stained magnifications imaged using
594 polarised light microscopy (PLM). A change in the direction of the polarised light known as
595 birefringence occurs close to the articular surface and in the deep zone, allowing the
596 visualisation of the differentiation between the highly organised superficial and deep zone
597 and the non-birefringent middle zone; scale bar 50 μ m. (C) Safranin O stained magnifications
598 displaying an increase in staining with depth correlating to an increase in sGAG content,
599 scale bar 50 μ m.

600 Fig.3. (A) Sulphated glycosaminoglycan (sGAG) content and (B) total collagen content of
601 superficial region and remaining cartilage disks of porcine articular cartilage per wet weight
602 [%] obtained from the femoral trochlear ridges. Bars show the mean with 95% CI; n=6;
603 donors=3. * p = 0.027.

604 Fig.4. Pixel intensity profiles of histological samples with depth from the articular surface of
605 (A) safranin o stain and (B) picosirius red stain. Figure (C) displays the average of these
606 values correlating to specific regions; superficial region (SR) and the remaining cartilage.
607 Bars show mean with 95% CI. The dashed line indicates the top 25% of the total cartilage
608 thickness i.e. from the articular surface to the base of the cartilage. *p=0.026.

609 Fig.5. (A) Aggregate moduli and dynamic moduli in confined compression of full thickness
610 osteochondral cores and less the superficial region of porcine articular cartilage obtained
611 from the femoral trochlear ridges. Bars show the mean \pm 95% CI, n=9. (B) Compressive
612 stiffness ($\sigma_{\text{equil}}/\epsilon$) and dynamic stiffness in **unconfined** compression of the same testing
613 configuration as outlined above. Bars show the mean \pm 95% CI, n=9. All dynamic testing
614 was carried out at 1Hz freq. and 1% amplitude at increasing levels of offset strain ϵ : 10, 20
615 and 30%. Connecting line does not imply linear relationship with strain. In A and B, 'a'
616 indicates a significant difference vs. 'Intact Osteochondral Core' (10% ϵ), 'b' indicates a
617 significant difference vs. 'Intact Osteochondral Core' (20% ϵ), 'c' indicates a significant
618 difference vs. 'Intact Osteochondral Core' (30% ϵ), 'd' indicates a significant difference vs.
619 'Less Superficial Region' (10% ϵ). Specific *p* values for these differences are as follows:
620 α :p=0.0035, β :p=0.0053, γ :p<0.0001, δ :p=0.0093, ϵ :p=0.0049, ζ :p=0.0015, η :p=0.0001,
621 θ :p=0.0016, ι :p=0.0037, κ :p=0.0032, λ :p=0.0033.

622 Fig.6. Peak stress: equilibrium stress ratio in **unconfined** compression at increasing levels of
623 offset strain ϵ : 10, 20 and 30% of full thickness osteochondral cores and less the superficial
624 region of porcine articular cartilage obtained from the femoral trochlear ridges. Bars show the
625 mean \pm 95% CI, n=9. Connecting line does not imply linear relationship with strain.

626 Fig.7. (A) Aggregate moduli and dynamic moduli in confined compression of the superficial
627 region and remaining cartilage disks of porcine articular cartilage obtained from the femoral
628 trochlear ridges. Bars show the mean \pm 95% CI, n=7. (B) Equilibrium moduli and dynamic

629 moduli in unconfined compression of the same testing configuration as outlined above. Bars
630 show the mean \pm 95% CI, n=7. All dynamic testing was carried out at 1Hz freq. and 1%
631 amplitude at increasing levels of offset strain ϵ : 10, 20 and 30%. Connecting line does not
632 imply linear relationship with strain. In A and B, 'a' indicates a significant difference vs.
633 'Superficial Region' (10% ϵ), 'b' indicates a significant difference vs. 'Superficial Region'
634 (20% ϵ), 'c' indicates a significant difference vs. 'Superficial Region' (30% ϵ). Specific p
635 values for these differences are as follows: α : $p=0.05$, β : $p=0.0401$, γ : $p=0.0386$, δ : $p=0.0001$,
636 ϵ : $p=0.0147$, ζ : $p=0.0185$, η : $p=0.0104$.

Figure 1
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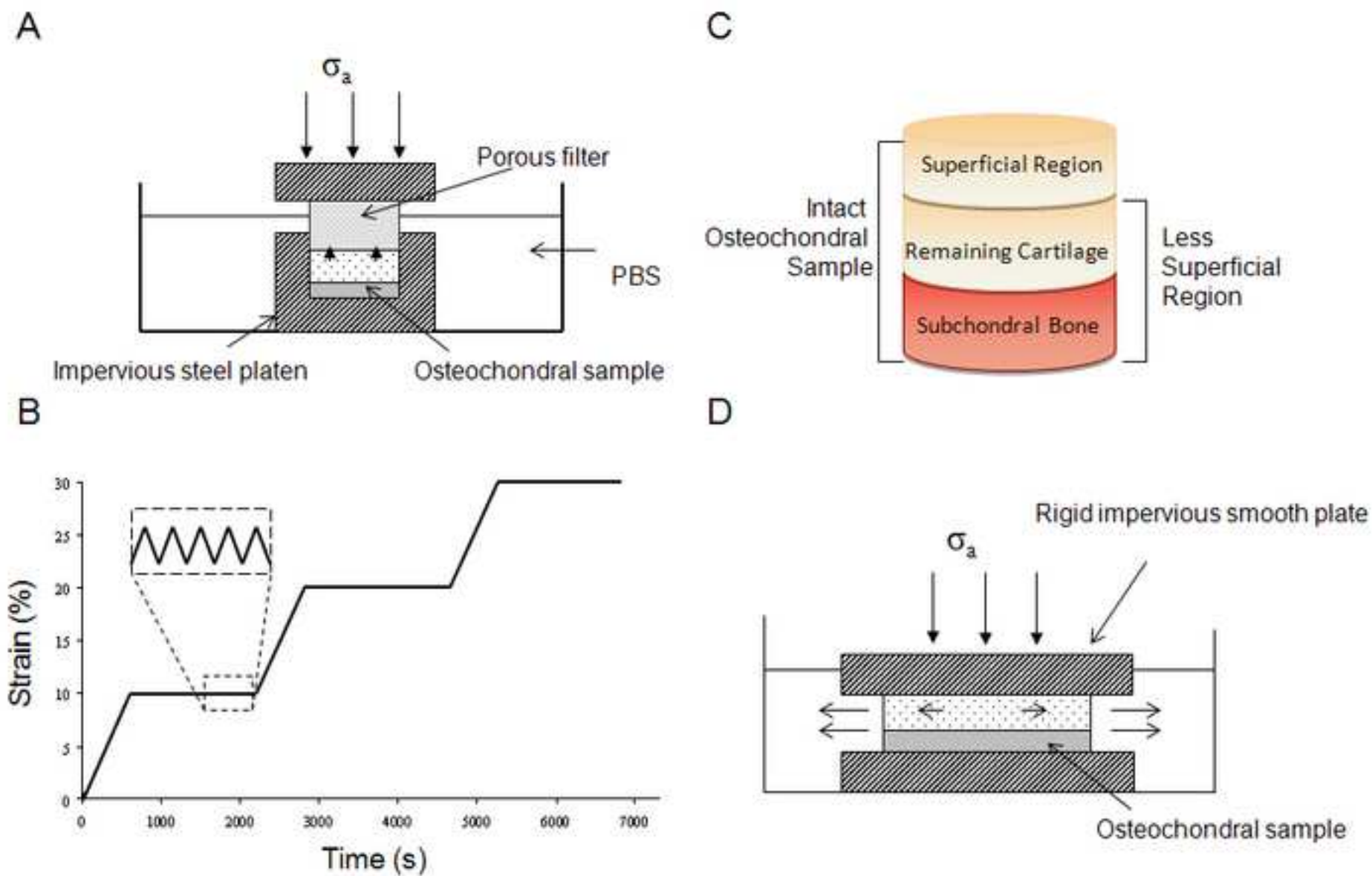


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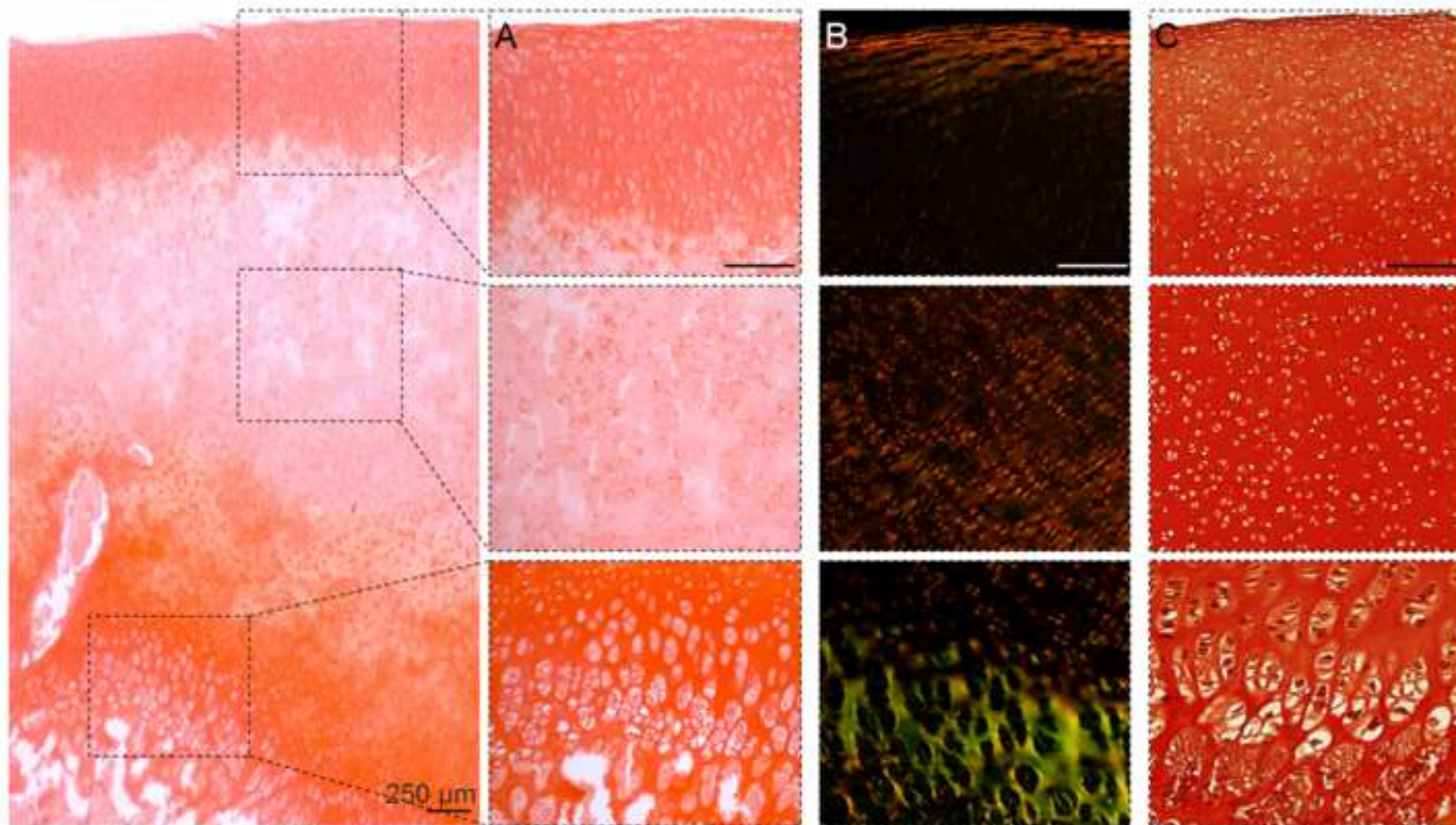


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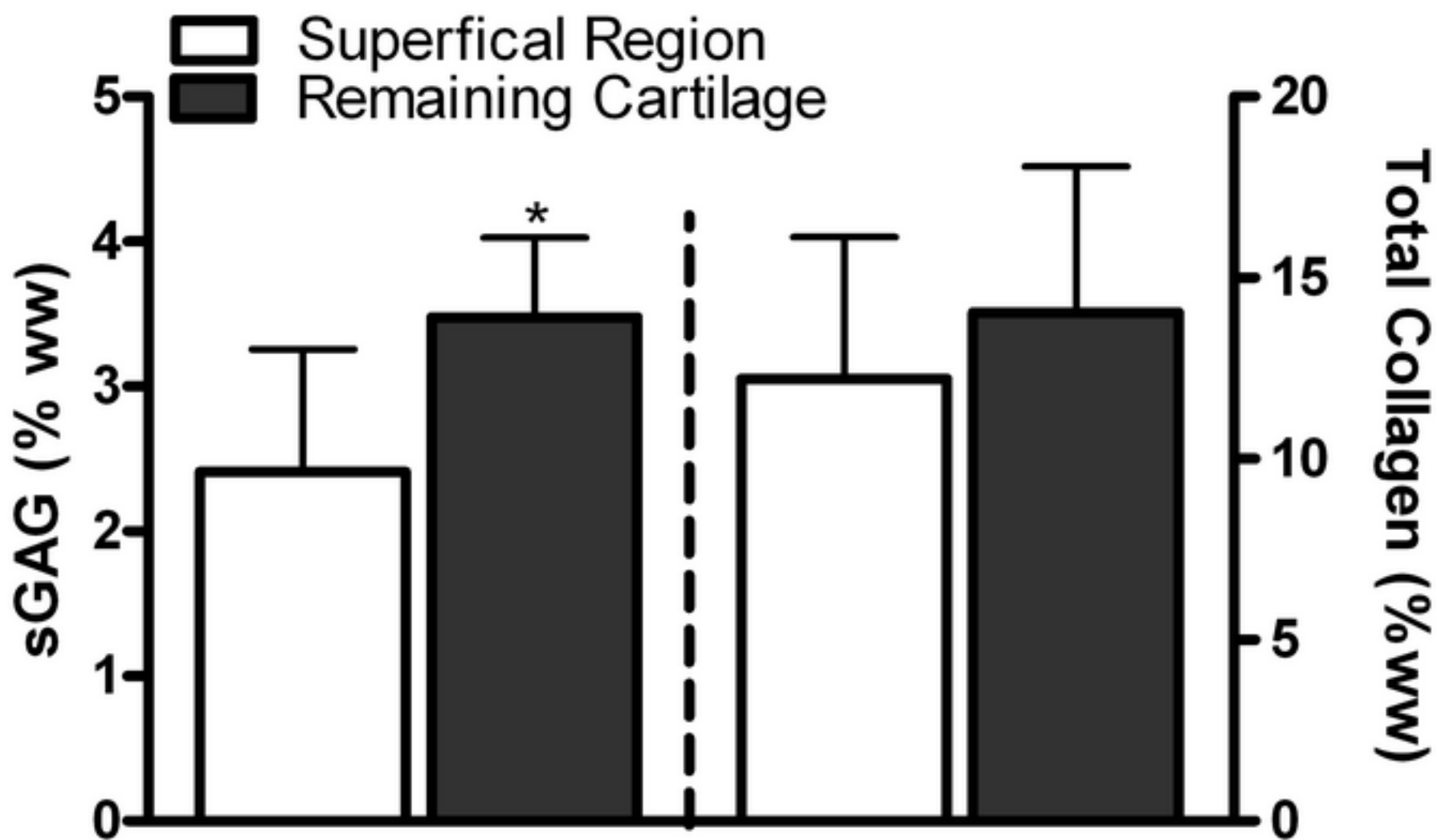


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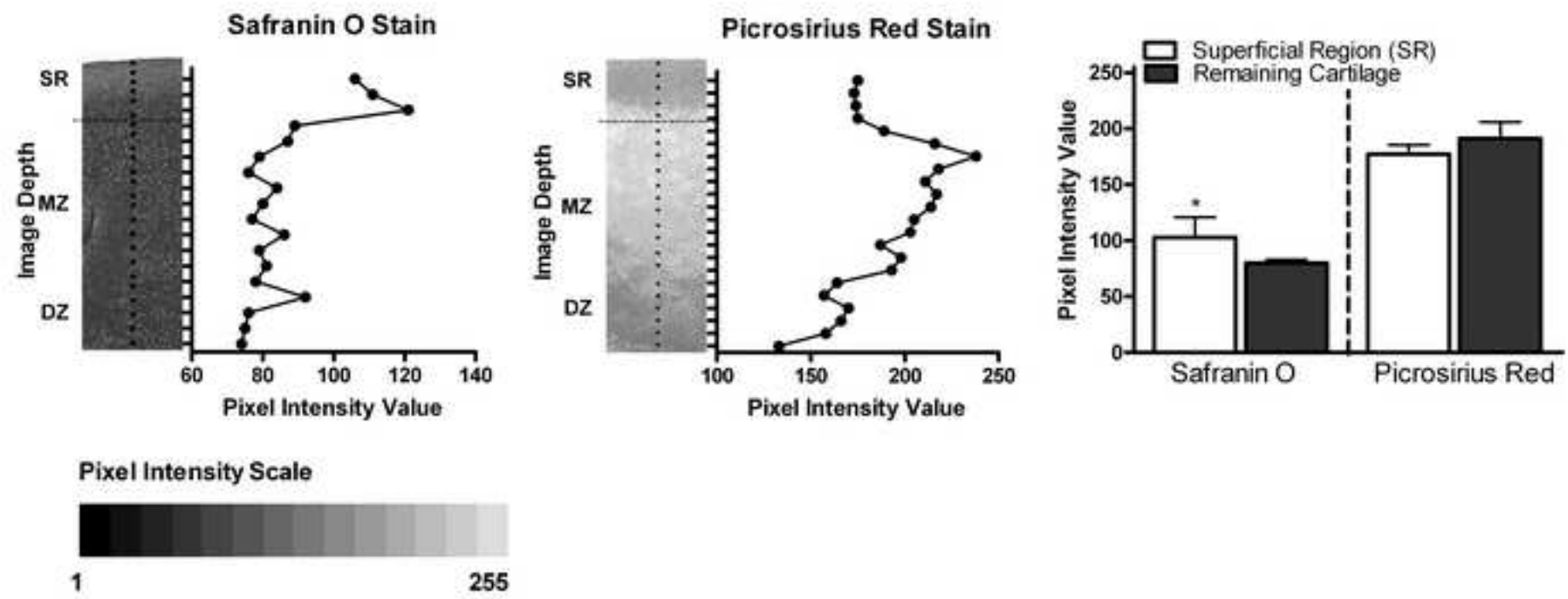


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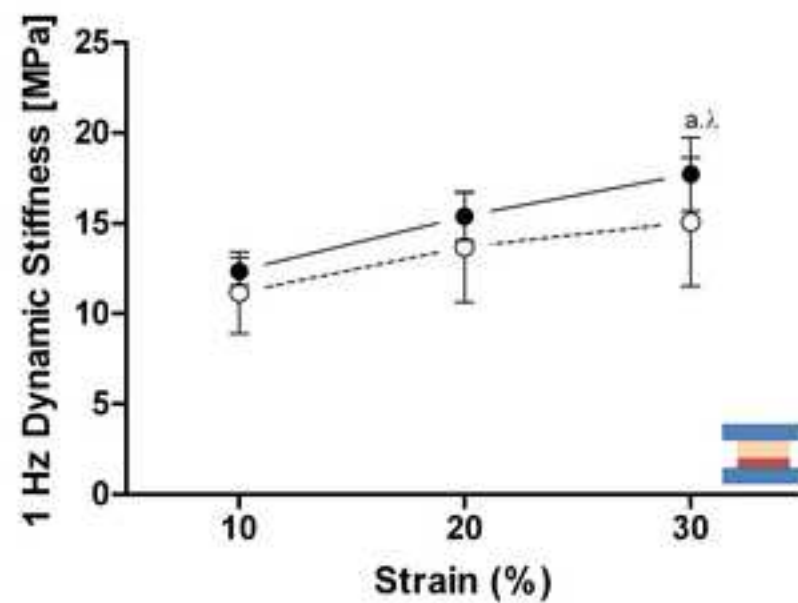
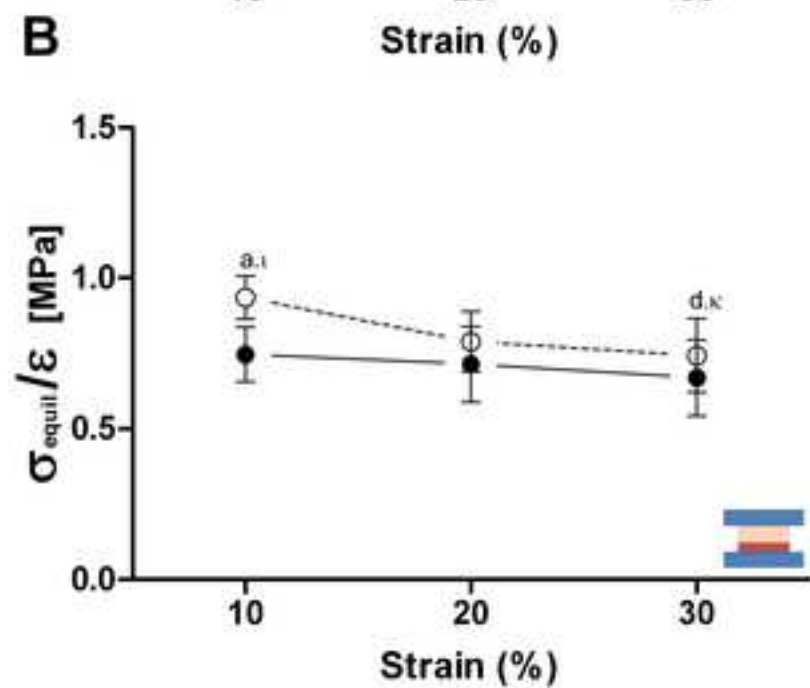
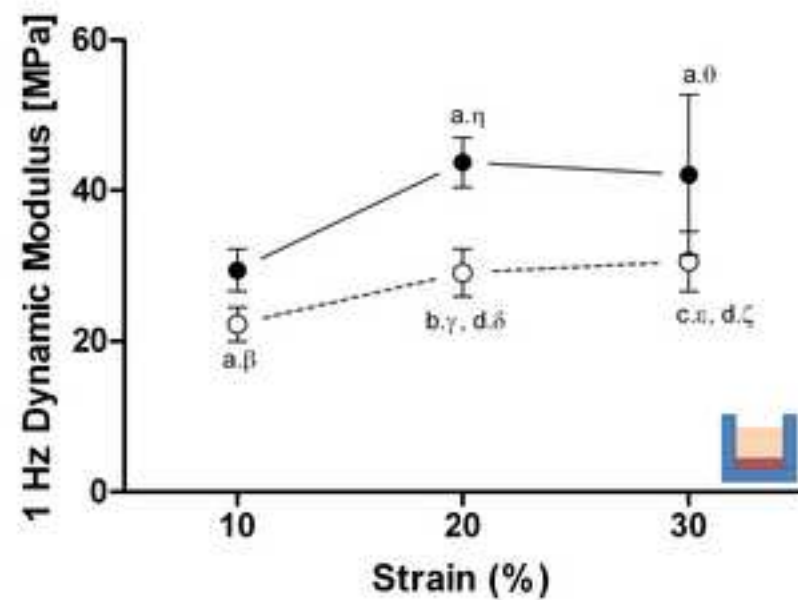
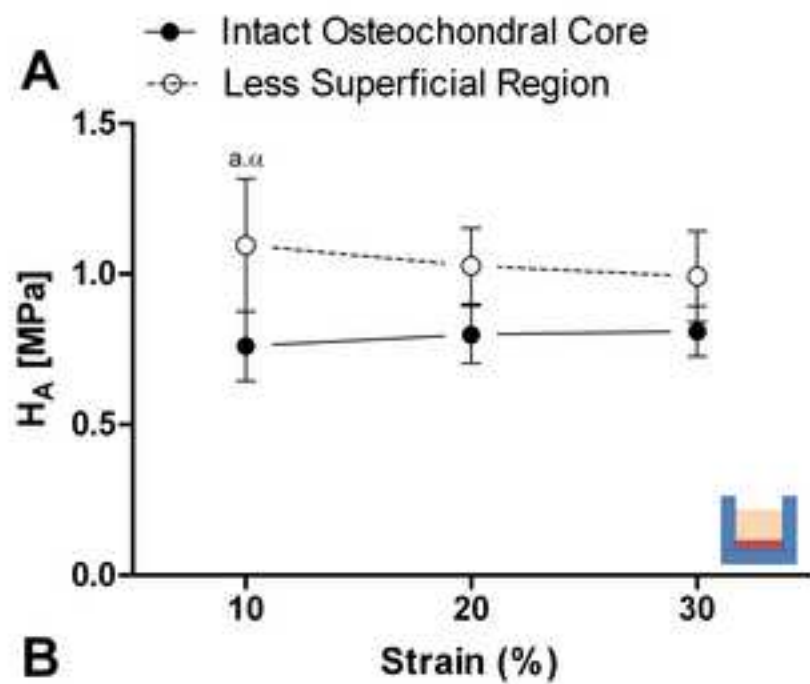


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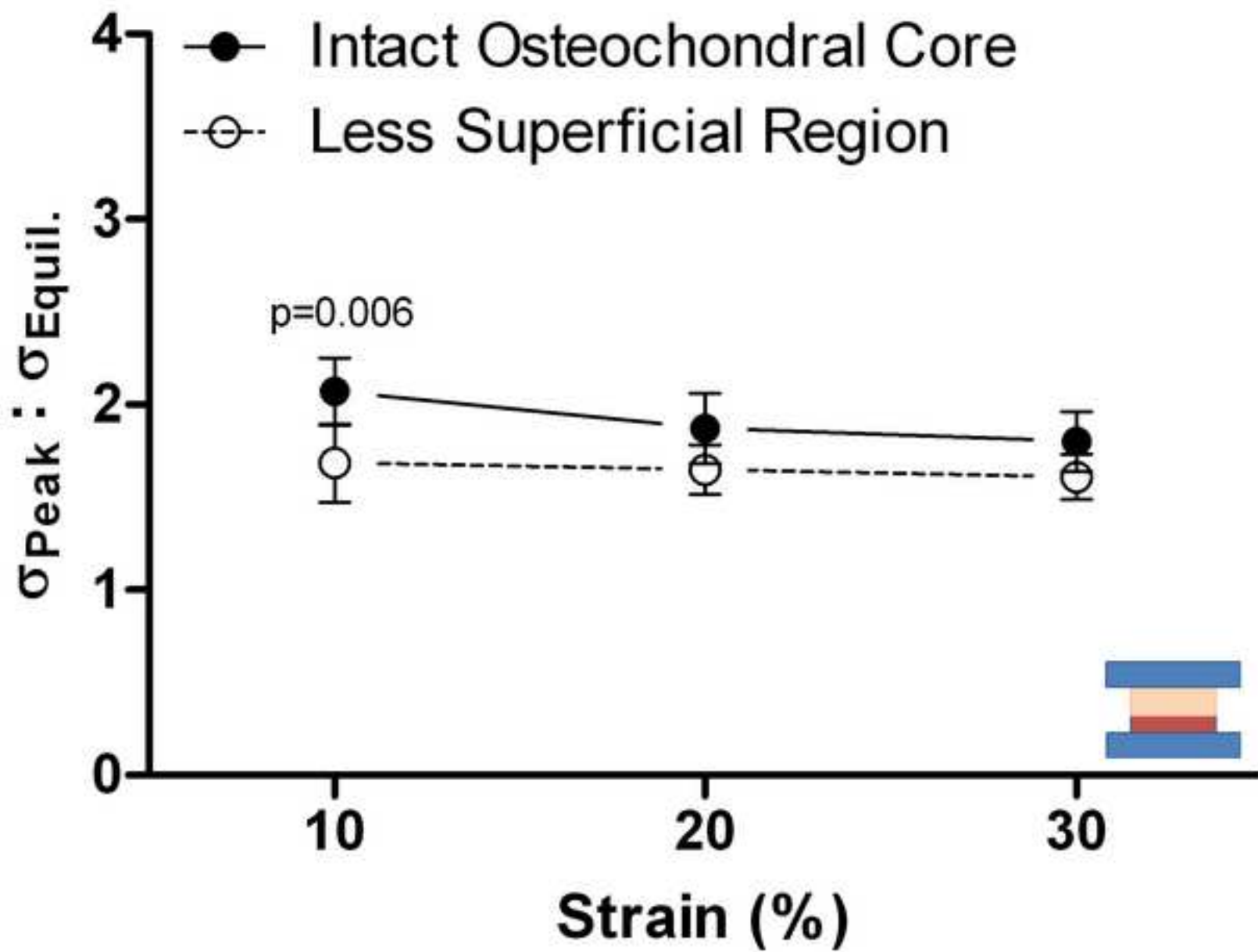


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